



Results from KamLAND/ KamLAND-Zen

13 June 2022

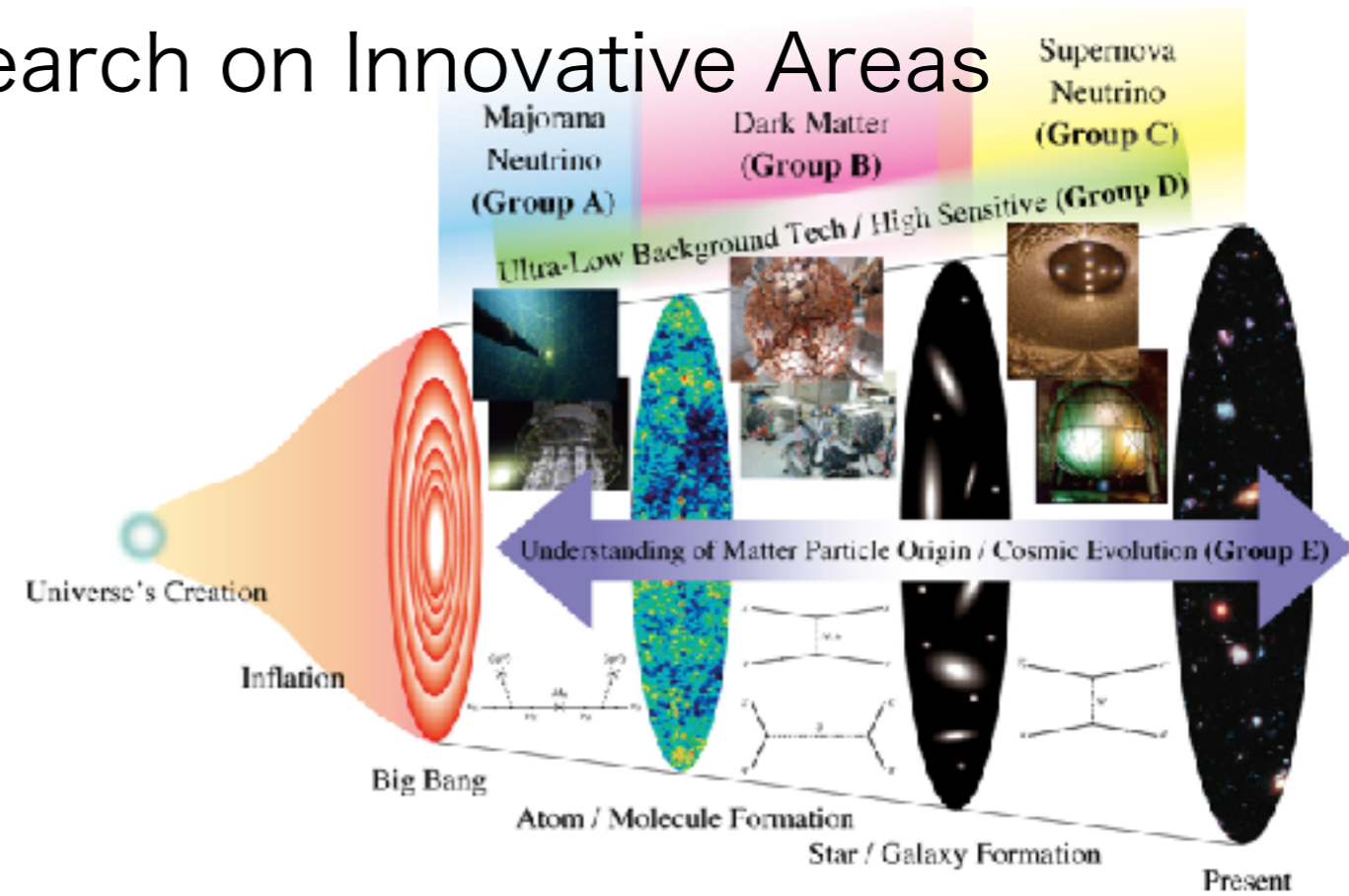
Noda Campus, Tokyo University of Science

Kunio Inoue

Research Center for Neutrino Science, Tohoku University

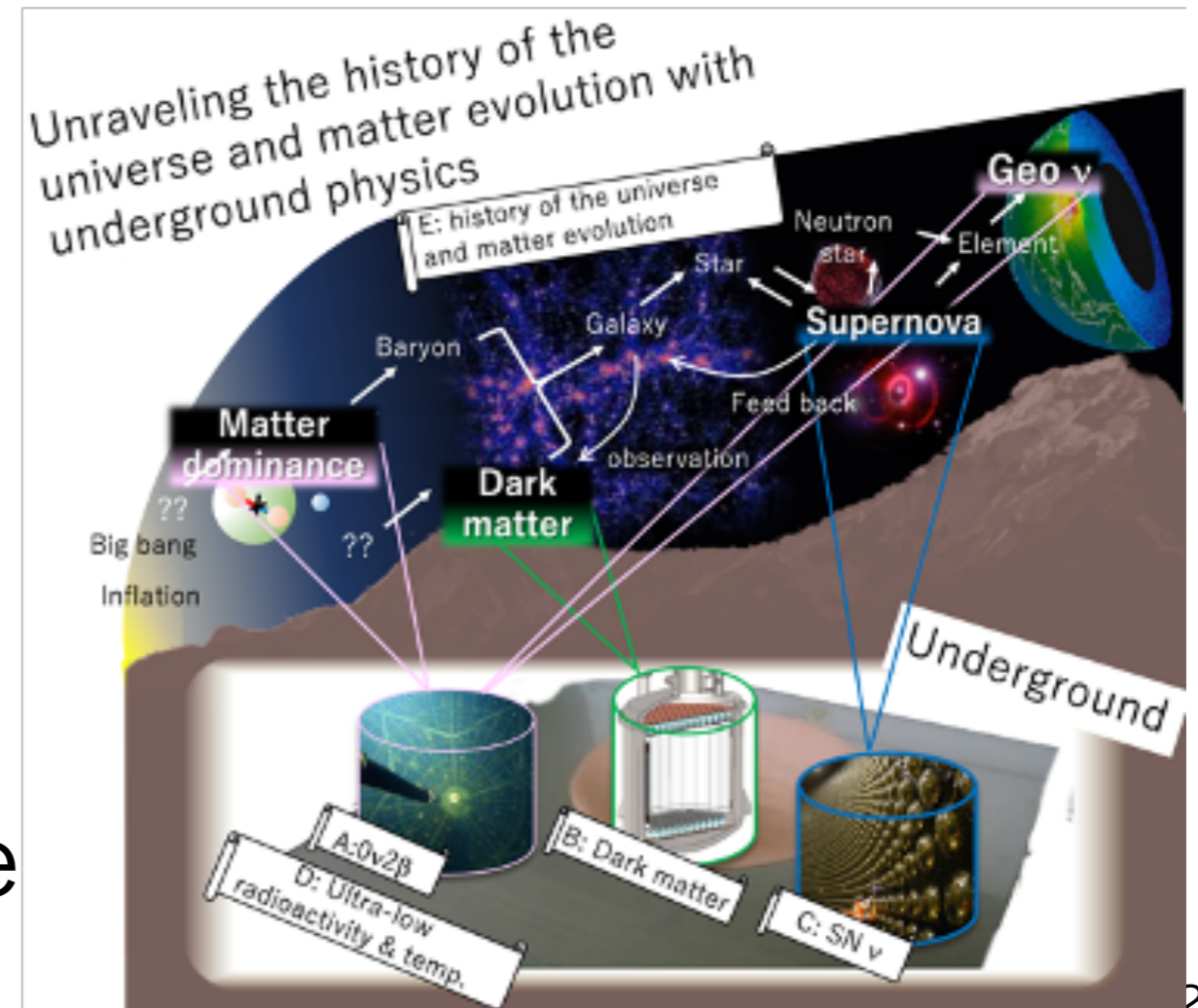
Grant-in-Aid for Scientific Research on Innovative Areas

“Revealing the history of the universe with **underground** particle and nuclear research” (FY2014-2018)



“Unraveling the History of the Universe and **Matter Evolution** with Underground Physics” (FY2019-2023)

A01 covers the beginning and **present** of the universe



The beginning: matter creation

New results from KamLAND-Zen 800

First Search for the Majorana Nature of Neutrinos in the
Inverted Mass Ordering Region with KamLAND-Zen

arXiv: 2203.02139

The present: realized our dwelling earth

Geo-neutrino updates

Abundances of uranium and thorium elements in Earth
estimated by geoneutrino spectroscopy

arXiv: 2205.14934

In-between: dark matter, star activities

Astrophysical subjects

- A Search for Correlated Low-energy Electron Antineutrinos in KamLAND with Gamma-Ray Bursts, ApJ 927, 69 (2022)
- Limits on Astrophysical Antineutrinos with the KamLAND experiment, ApJ 925, 14 (2022)
- Search for Solar Flare Neutrinos with the KamLAND Detector, ApJ 924, 103 (2022)
- Search for Low-Energy Electron Antineutrinos in KamLAND Associated with Gravitational Wave Events, ApJ 909, 116 (2021)

KamLAND

KamLAND-Zen Collaboration



~50 physicists

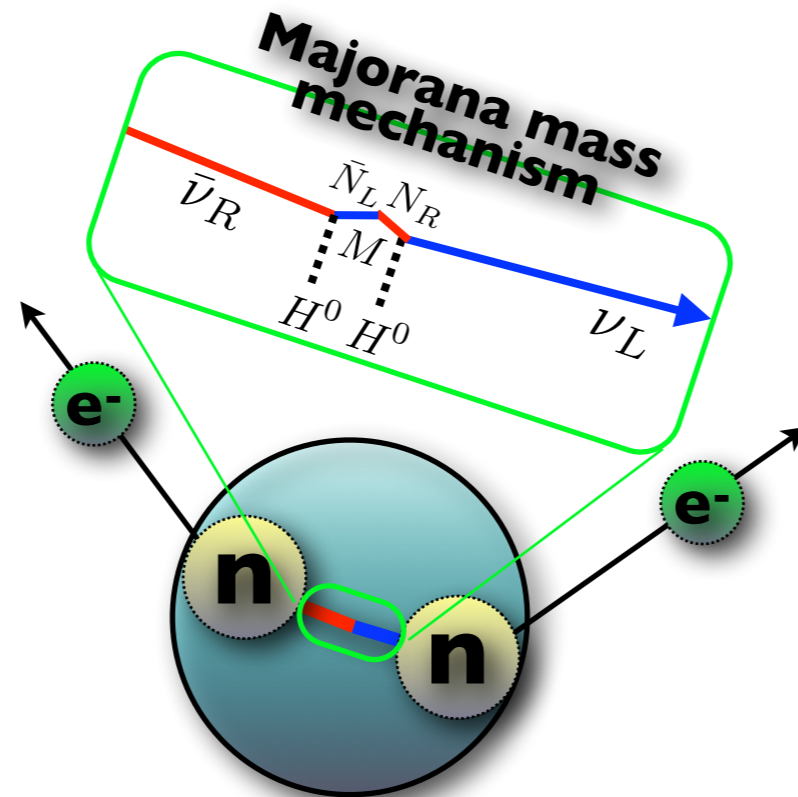
Collaboration meeting in March, 2022



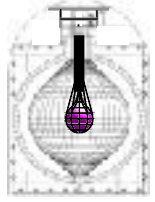
KamLAND-Zen: physics with xenon

KamLAND: all the other subjects

$0\nu 2\beta$



List of publications



KamLAND-Zen 800

1.90-m-radius clean inner balloon, ~750 kg of Xenon (2019-)

1st paper [$0\nu\beta\beta$]: [arXiv:2203.02139v1 \[hep-ex\]](#), KamLAND-Zen Collaboration

“[First Search for the Majorana Nature of Neutrinos in the Inverted Mass Ordering Region with KamLAND-Zen](#)”

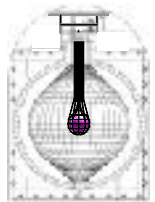
Hardware [IB construction]: [JINST 16 P08023 \(2021\)](#), KamLAND-Zen Collaboration

“[The nylon balloon for xenon loaded liquid scintillator in KamLAND-Zen 800 neutrinoless double-beta decay search experiment](#)”

Machine learning [KamNet]: [arXiv:2203.01870v1\[physics.ins-det\]](#)

A. Li, Z. Fu, L. Winslow, C. Grant, H. Song, H. Ozaki, I. Shimizu, A. Takeuchi

“[KamNet: An Integrated Spatiotemporal Deep Neural Network for Rare Event Search in KamLAND-Zen](#)”



KamLAND-Zen 400

1.54-m-radius inner balloon

Phase I: 320 kg of Xenon (2011-2012), Phase II: 383 kg of Xenon (2013-2015)

1st paper [$0\nu\beta\beta$ & $2\nu\beta\beta$]: [Phys. Rev. C 85, 045504 \(2012\)](#), KamLAND-Zen Collaboration

“[Measurement of the double- \$\beta\$ decay half-life of \$^{136}\text{Xe}\$ with the KamLAND-Zen experiment](#)”

2nd paper [$0\nu\beta\beta$, $2\nu\beta\beta$ & Majoron]: [Phys. Rev. C 86, 021601\(R\) \(2012\)](#), KamLAND-Zen Collaboration

“[Limits on Majoron-emitting double- \$\beta\$ decays of \$^{136}\text{Xe}\$ in the KamLAND-Zen experiment](#)”

3rd paper [$0\nu\beta\beta$]: [Phys. Rev. Lett. 110, 062502 \(2013\)](#), KamLAND-Zen Collaboration

“[Limit on Neutrinoless Decay of \$^{136}\text{Xe}\$ from the First Phase of KamLAND-Zen and Comparison with the Positive Claim in \$^{76}\text{Ge}\$](#) ”

4th paper [excited states]: [Nucl. Phys. A 946 \(2016\) 171–181](#), KamLAND-Zen Collaboration

“[Search for double-beta decay of \$^{136}\text{Xe}\$ to excited states of \$^{136}\text{Ba}\$ with the KamLAND-Zen experiment](#)”

5th paper [$0\nu\beta\beta$ & $2\nu\beta\beta$]: [Phys. Rev. Lett. 117, 082503 \(2016\)](#), KamLAND-Zen Collaboration

“[Search for Majorana Neutrinos Near the Inverted Mass Hierarchy Region with KamLAND-Zen](#)”

6th paper [gA]: [Phys. Rev. Lett. 122, 192501 \(2019\)](#), KamLAND-Zen Collaboration

“[Precision Analysis of the \$^{136}\text{Xe}\$ Two-Neutrino \$\beta\beta\$ Spectrum in KamLAND-Zen and Its Impact on the Quenching of Nuclear Matrix Elements](#)”

R&D for KamLAND2-Zen

Scintillation balloon: [PTEP. Volume 2019, Issue 7, 073H01](#), S Obara, Y Gando, K Ishidoshiro

“[Scintillation balloon for neutrinoless double-beta decay search with liquid scintillator detectors](#)”

Dirac vs. Majorana

Discovery of neutrino mass requires right-handed composition.

| | | |
|----------|-------------------------|-------------------------|
| e | LH electron (e^-_L) | RH electron (e^-_R) |
| | LH positron (e^+_L) | RH positron (e^+_R) |

Matter particle (Fermion) has at least 4 components.

It is naturally derived from quantum mechanics and special relativity (Dirac equation).

| | | |
|-------------------------|--|------------------------------------|
| ν | LH ν_L | RH $\nu_R (N_R)$ not discovered |
| | LH $\bar{\nu}_L (\bar{N}_L)$ not discovered | RH $\bar{\nu}_R$ |

Dirac neutrino



$$\nu_L \quad \underbrace{\nu_R \quad \bar{\nu}_L}_{\text{unobservable}} \quad \bar{\nu}_R$$

$$\nu \neq \bar{\nu}$$

Majorana neutrino (1937)



$$\nu_L \quad \bar{\nu}_R \quad \underbrace{\bar{N}_L \quad N_R}_{\text{just heavy}}$$

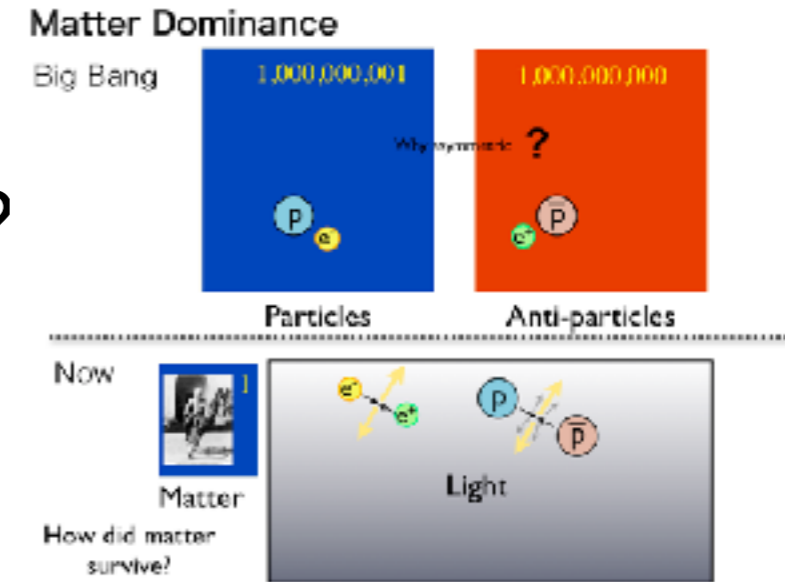
$$\nu = \bar{\nu}$$

Majorana neutrino violates Lepton #.

Big mysteries of the universe/ particle physics

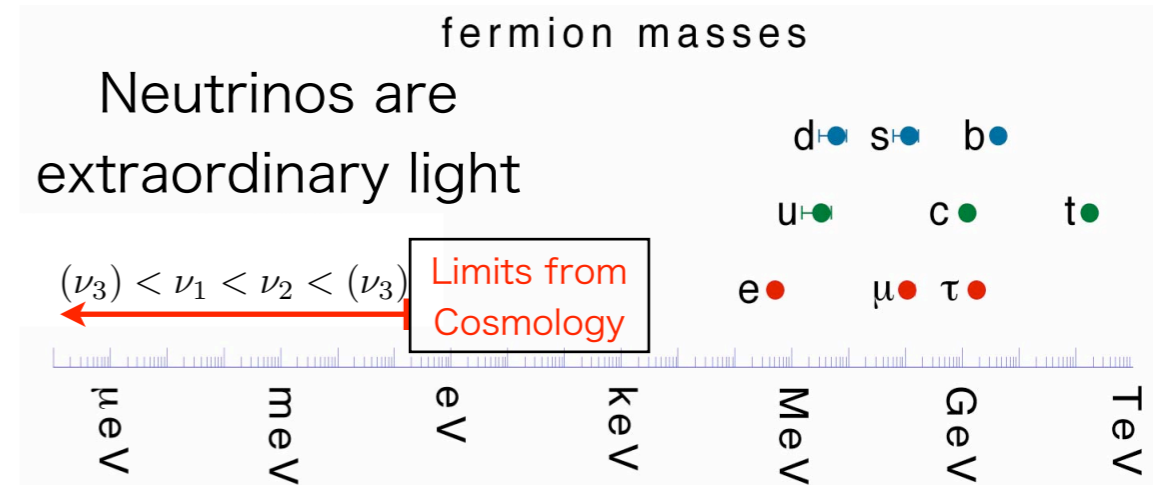
How did the matter created from nothing?

Heavy neutrino (N_R) can explain it with the [Leptogenesis](#) theory.



How does neutrino get its extraordinarily light mass?

N_R can explain it with the [Seesaw](#) mechanism.

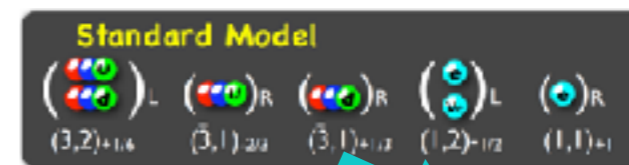


What is the origin of dark matter?

If N_R exists, . . .

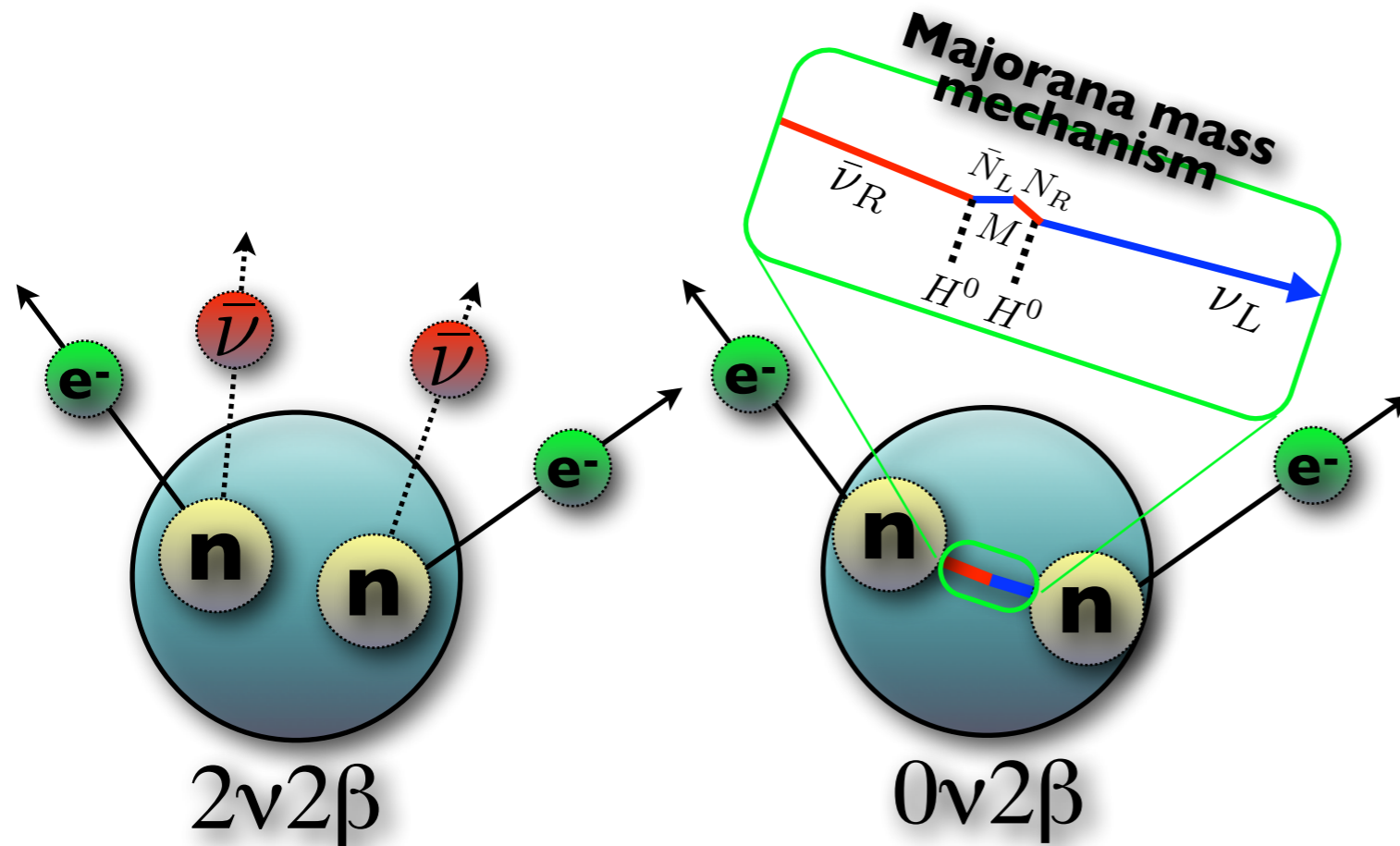
$$\left[SU(3)_C \times SU(2)_L \times U(1)_Y \Rightarrow SU(5) \Rightarrow SO(10) ? \right]$$

If N_R exists, . . .



**N_R is great, but Current technology cannot create N_R .
Is there any supporting evidence?**

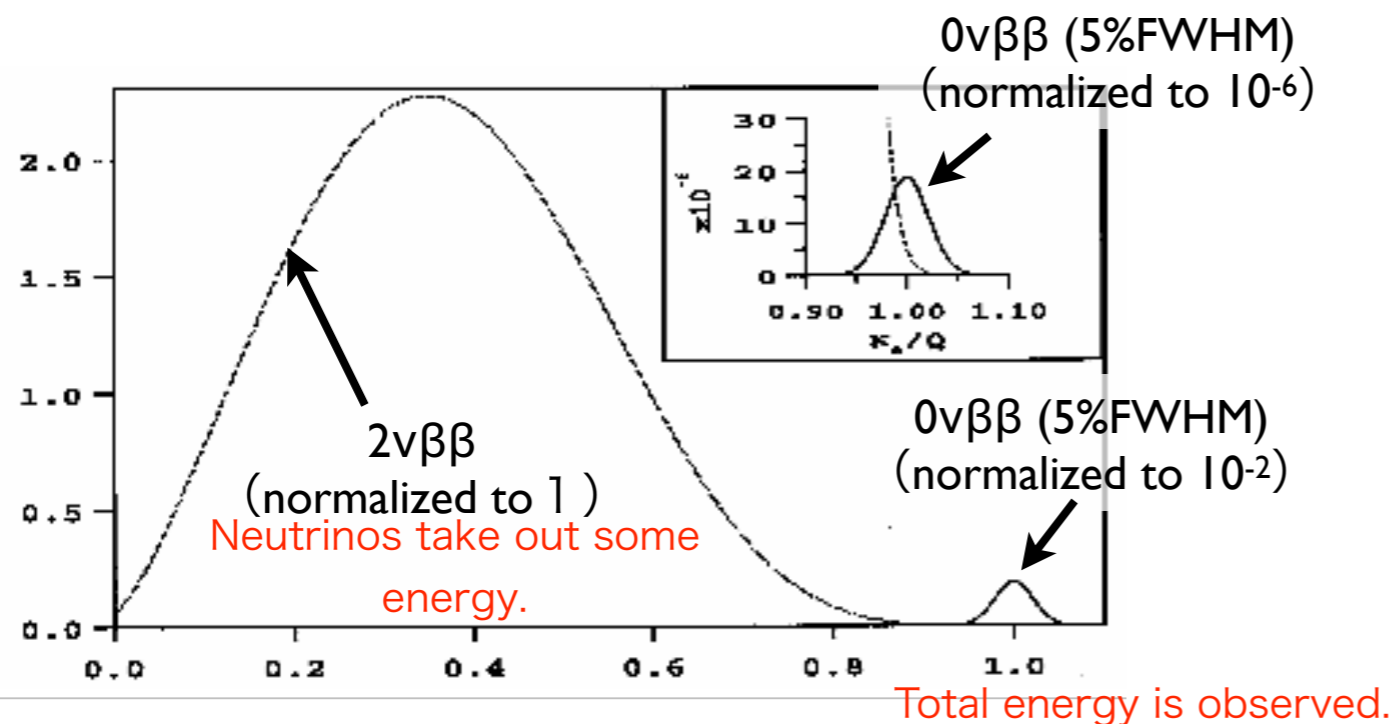
If neutrinos are Majorana, N_R is naturally introduced.
 And neutrino less double beta decay ($0\nu 2\beta$) can happen.



theoretical history

- 1930 light neutral particle (W.Pauli)
- 1933 β decay theory (E.Fermi)
- 1935 $2\nu 2\beta$ (M.Goeppert-Mayer)
- 1937 Majorana neutrino (E.Majorana)
- 1939 $0\nu 2\beta$ (W.H.Furry)

W.Pauli E.Fermi M.Goeppert-Mayer E.Majorana W.H.Furry



Larger the mass, easier to observe $0\nu 2\beta$

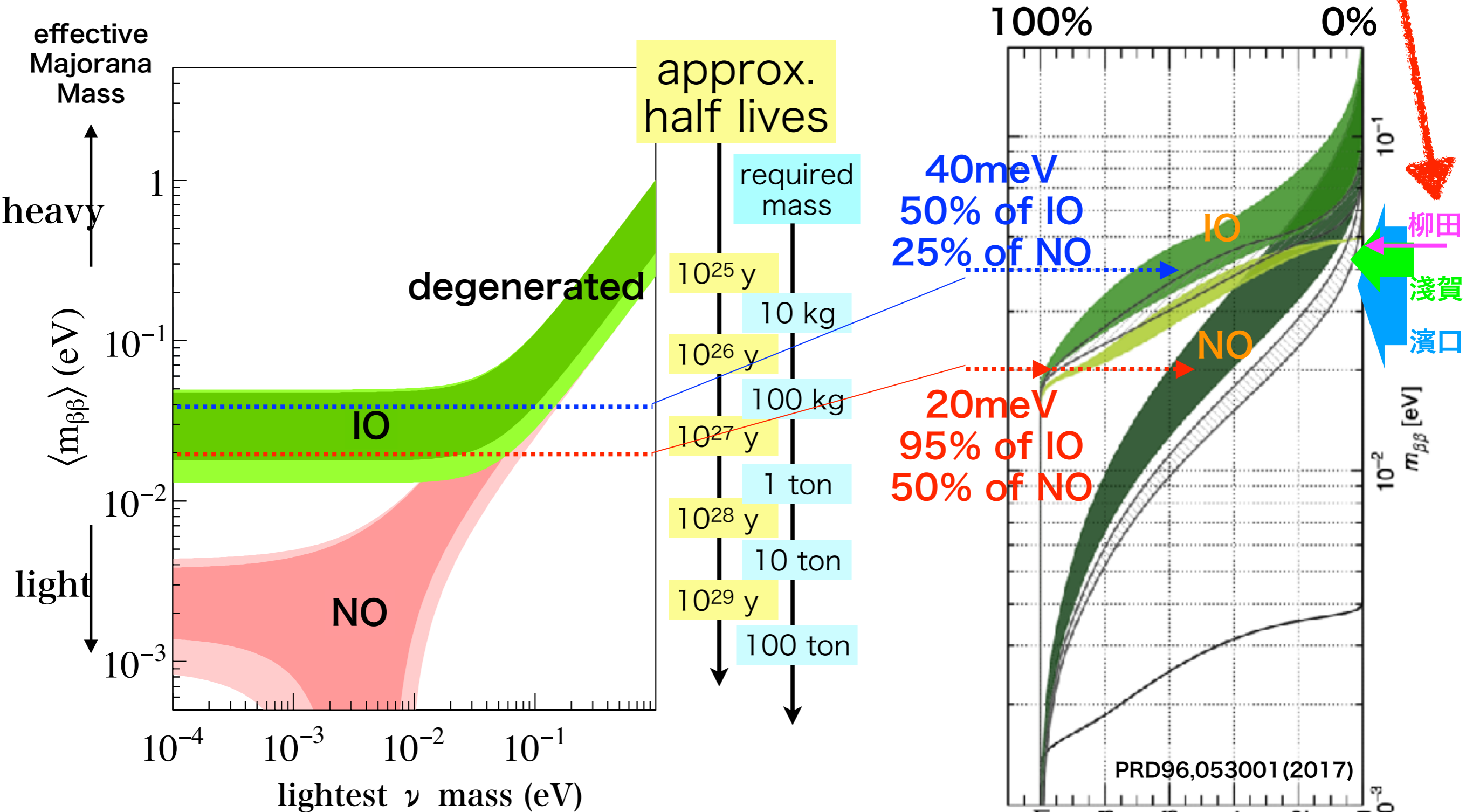
$$\langle m_{\beta\beta} \rangle = \left| \sum m_i |U_{ei}|^2 \epsilon_i \right|$$

$$\frac{1}{T_{1/2}} = G_{0\nu} |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

Majorana CP

How large is the experiment?

model predictions



● ●
 allowed by neutrino oscillation

degenerated: 3 types are heavy
 inverted ordering (IO): 2 types are heavy
 normal ordering (NO): 1 type is heavy

cumulative probability

Strategy

Without signal any high performance detectors
cannot find the signal.

Mass first!

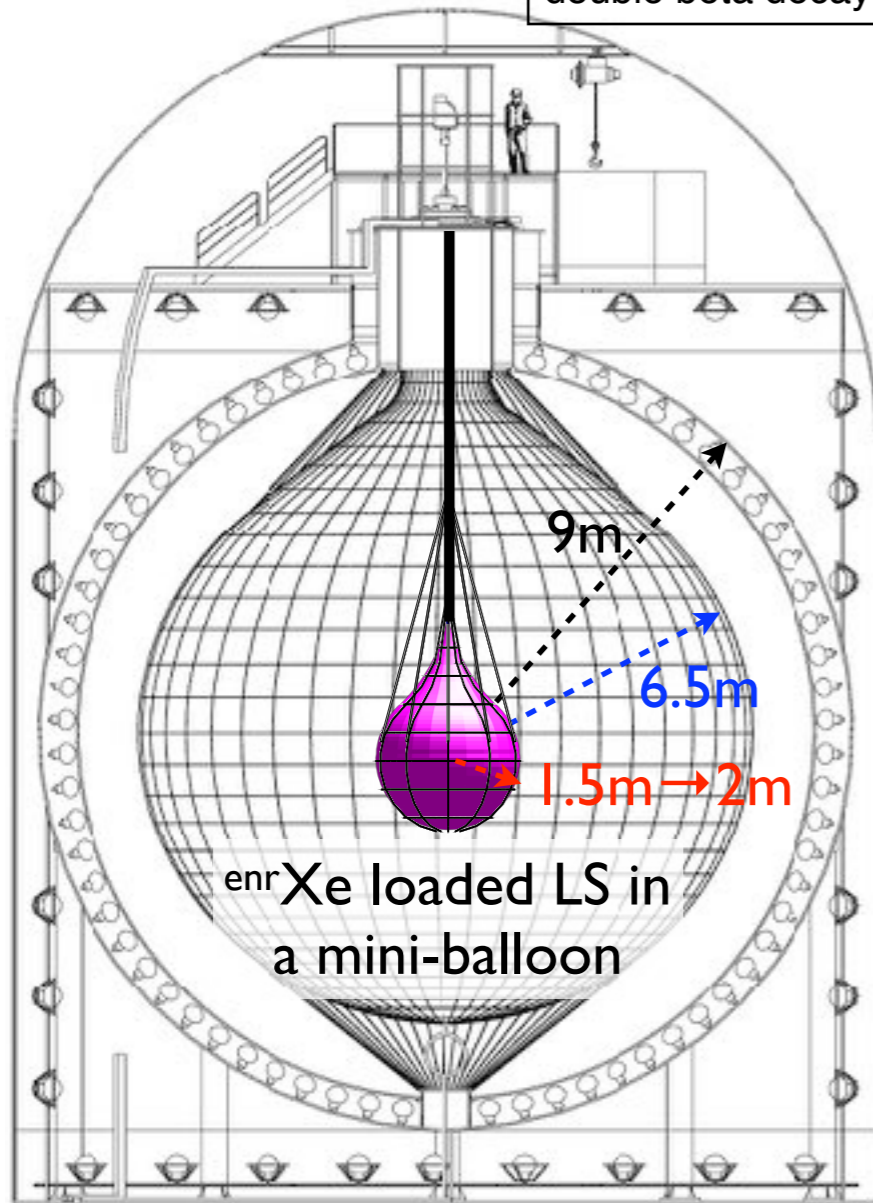
Then performance necessary.

KamLAND-Zen has moderated performance
but deployed the largest amount of double
beta decay nuclei,
and provides ultra low radioactive
environment!

We chose ^{136}Xe as it can be loaded in LS up to ~3 wt%.

KamLAND-Zen

Zero Neutrino
double beta decay search



^{136}Xe

Noble gas

Centrifugal enrichment possible

$Q_{\beta\beta} = 2459 \text{ keV}$

(below ^{208}Tl 3198-5001 keV)

Advantages of using KamLAND

- ① low cost and quick start
(running detector)
- ① BG can be identified
(full active thick shielding)
- ② In-situ purification possible
(liquid media)
- ③ On/Off measurements possible
(xenon is removable)
- ④ multi-purpose
(geo-neutrino)
- ⑤ easily scalable
(mini-balloon)

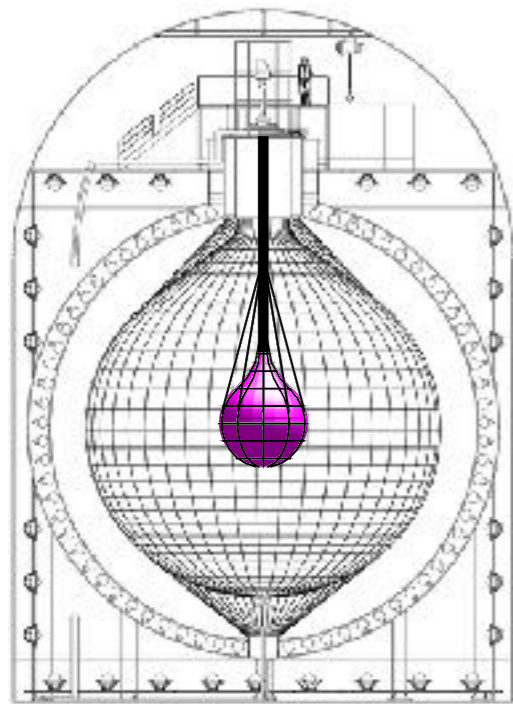
90% enriched ^{136}Xe

320kg for phase-I (2011-2012)

380kg for phase-II (2013-2015)

745kg for Zen 800 (2019-) ← New Results

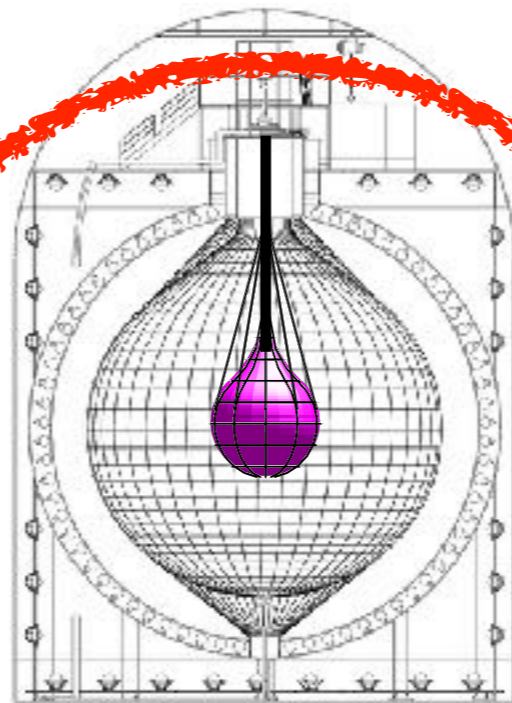
KamLAND-Zen 400



380kg deployed

World top performance

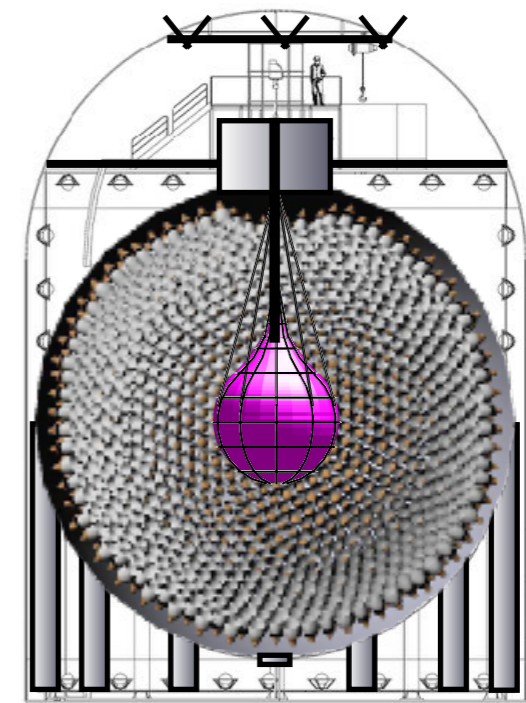
KamLAND-Zen 800



Now, 745kg deployed

target 40meV

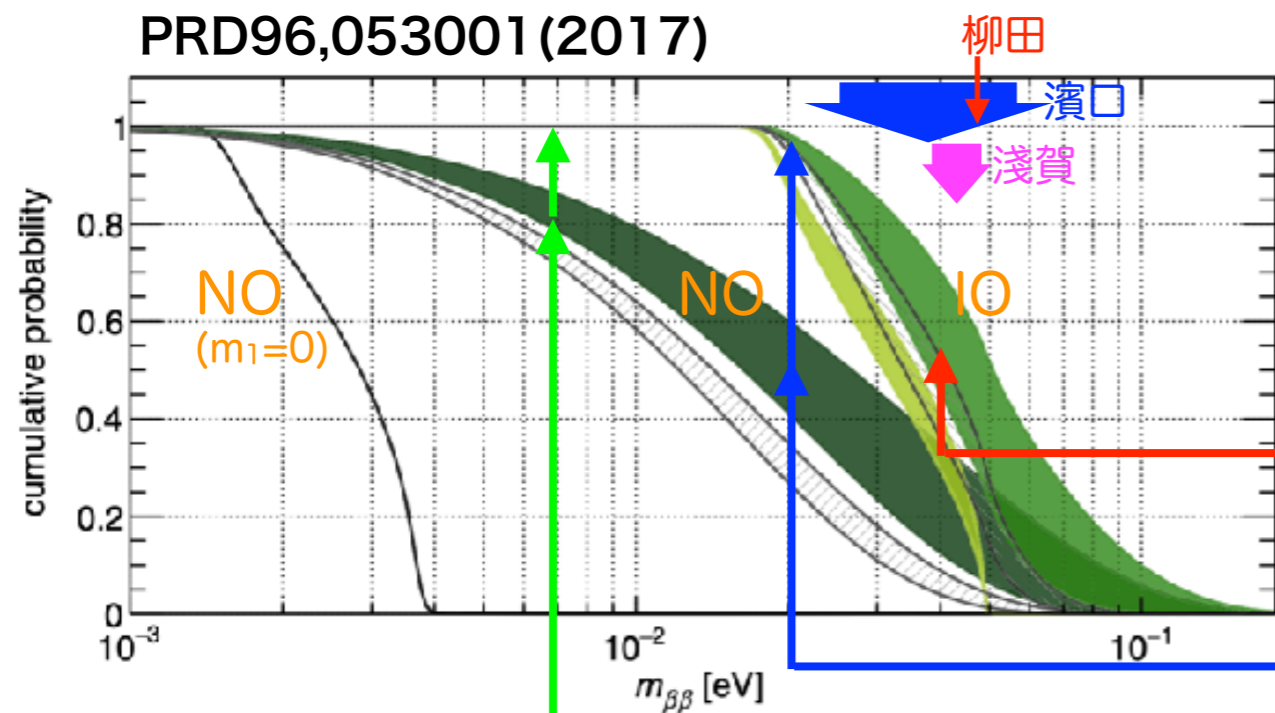
KamLAND2-Zen



High resolution future plan

target 20meV

Mirror
HQE-PMT } x5
new LS } p.e.
scintillation film

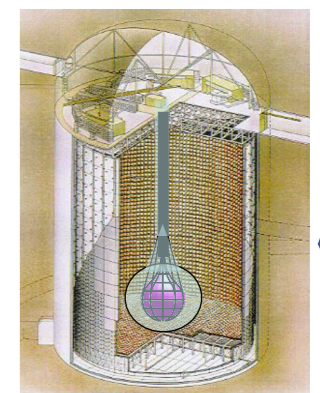


Parameter Coverage

40meV 50% of IO
25% of NO

20meV 95% of IO
50% of NO

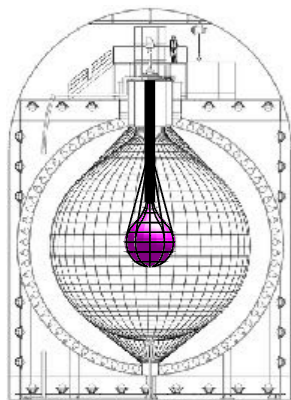
8meV 100% of IO
80% of NO



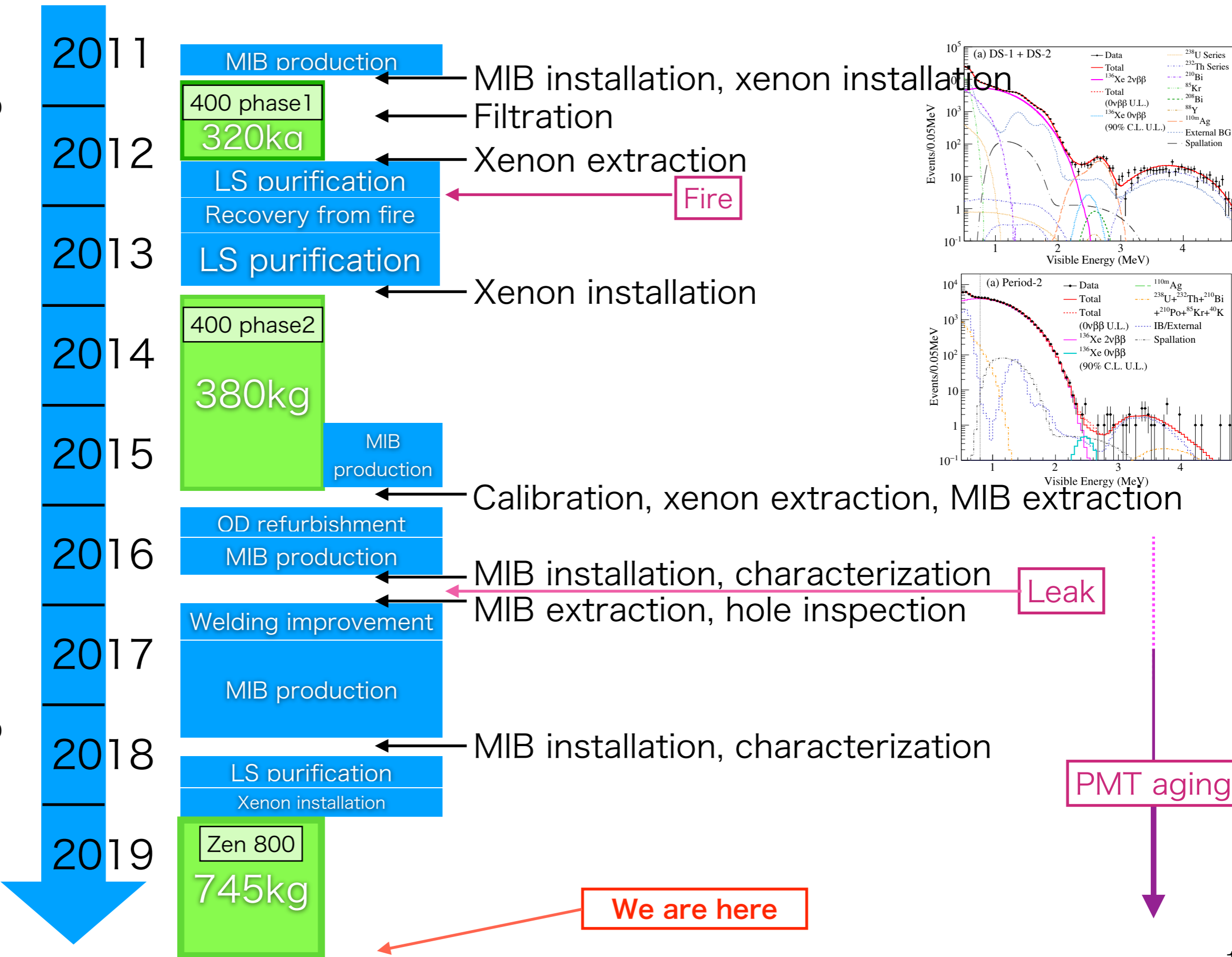
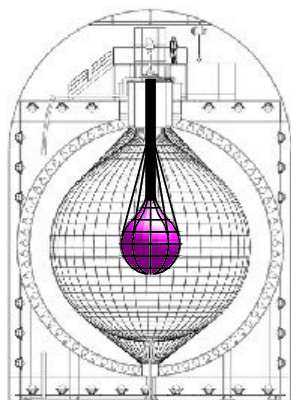
Super-KamLAND-Zen
after Hyper-K starts
in 2027 !?

Timeline of KamLAND-Zen

KamLAND-Zen 400



KamLAND-Zen 800

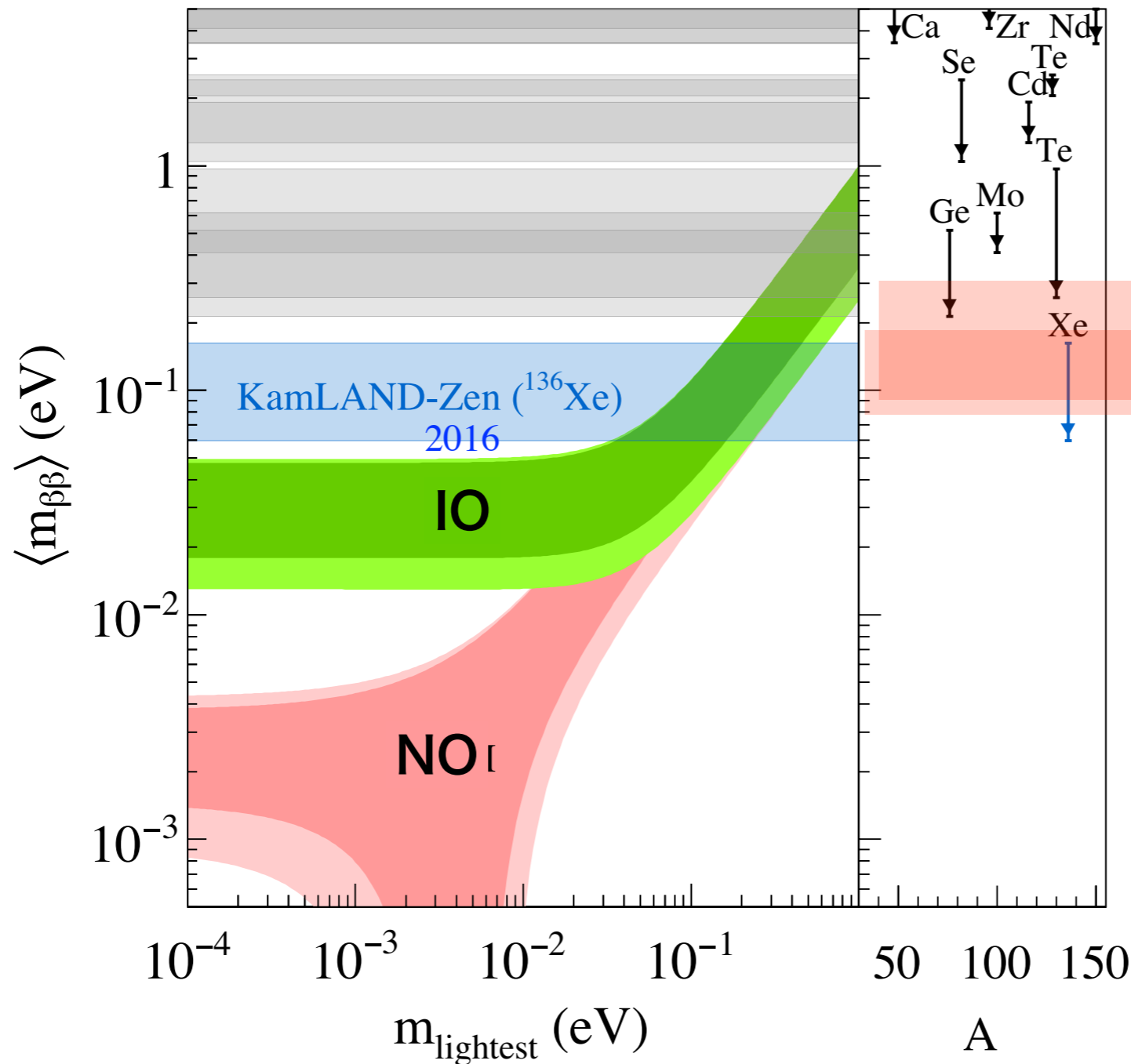


KamLAND-Zen 400 Phase 1+2 combined

2011-2015

$$T_{1/2}^{0\nu} > 1.07 \times 10^{26} \text{ yr}$$

(sensitivity $5.6 \times 10^{25} \text{ yr}$)



Down selection of next generation projects is going on in the US.
nEXO, LEGEND, CUPID
→ Jason's talk

CUORE 2021 $<90-305 \text{ meV}$
GERDA 2021 $<79-180 \text{ meV}$

It also provides upper limit of m_{lightest} at 180-480 meV.

$$\langle m_{\beta\beta} \rangle < (61 - 165) \text{ meV} \quad \text{PRL117, 082503 (2016)}$$

Big leap toward IO region !

Hardware improvement from KL-Zen 400

Almost **doubled xenon amount** (~745 kg, 91% enriched)

Bigger, cleaner Xe-LS container (made of 25-um-thick nylon, radius=1.9 m)



Production@class-1 clean room
with **very very** careful dust control

JINST 16 P08023

Background level

²¹⁴Bi: one of main BG

²³⁸U ~3×10⁻¹² g/g_{film}

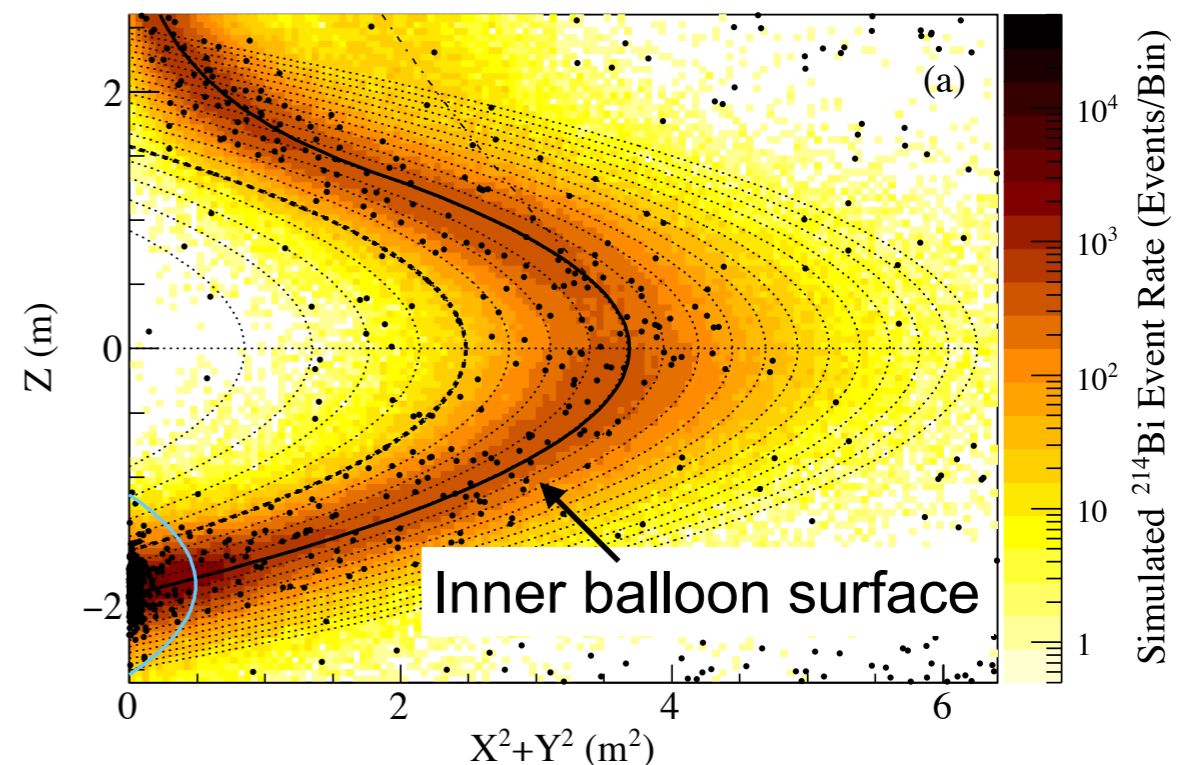
ref. initial film (after washed)

²³⁸U ~2×10⁻¹² g/g_{film} Almost same level

²³²Th ~4×10⁻¹¹ g/g_{film}

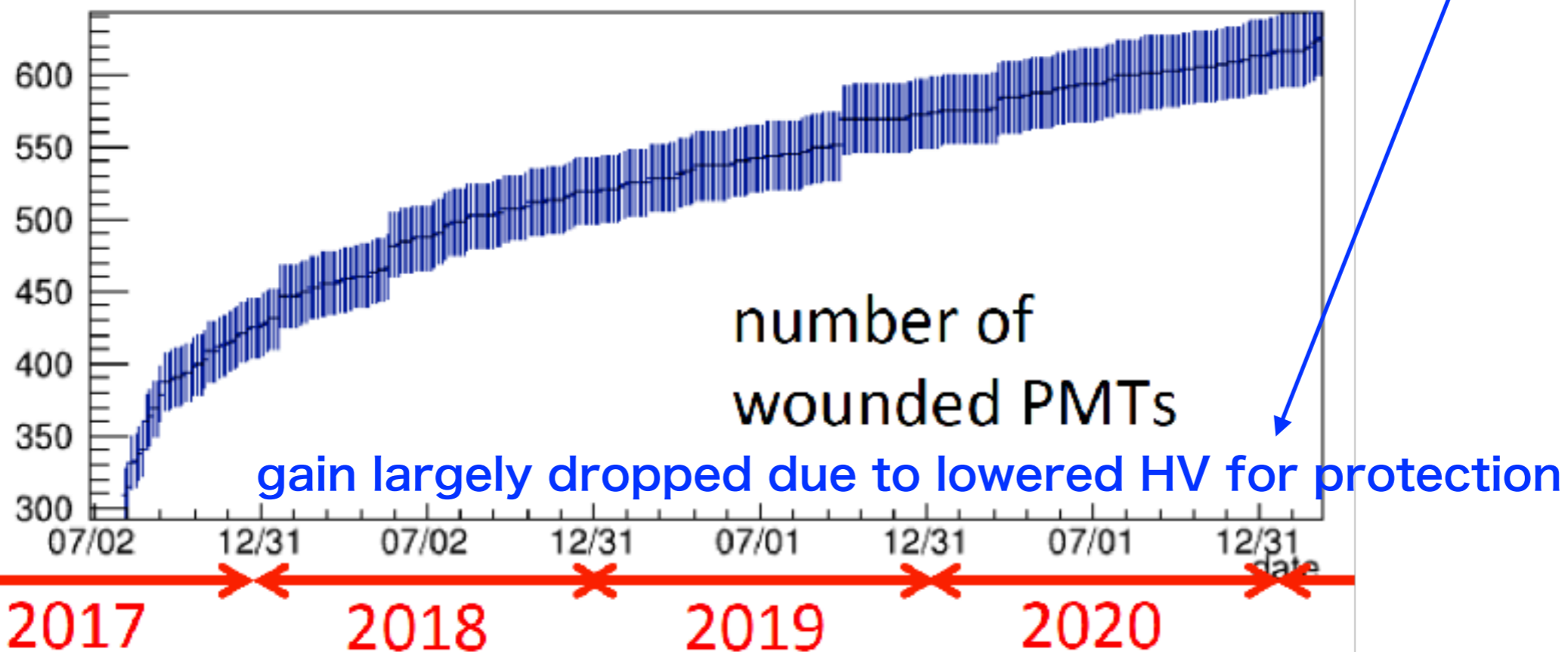
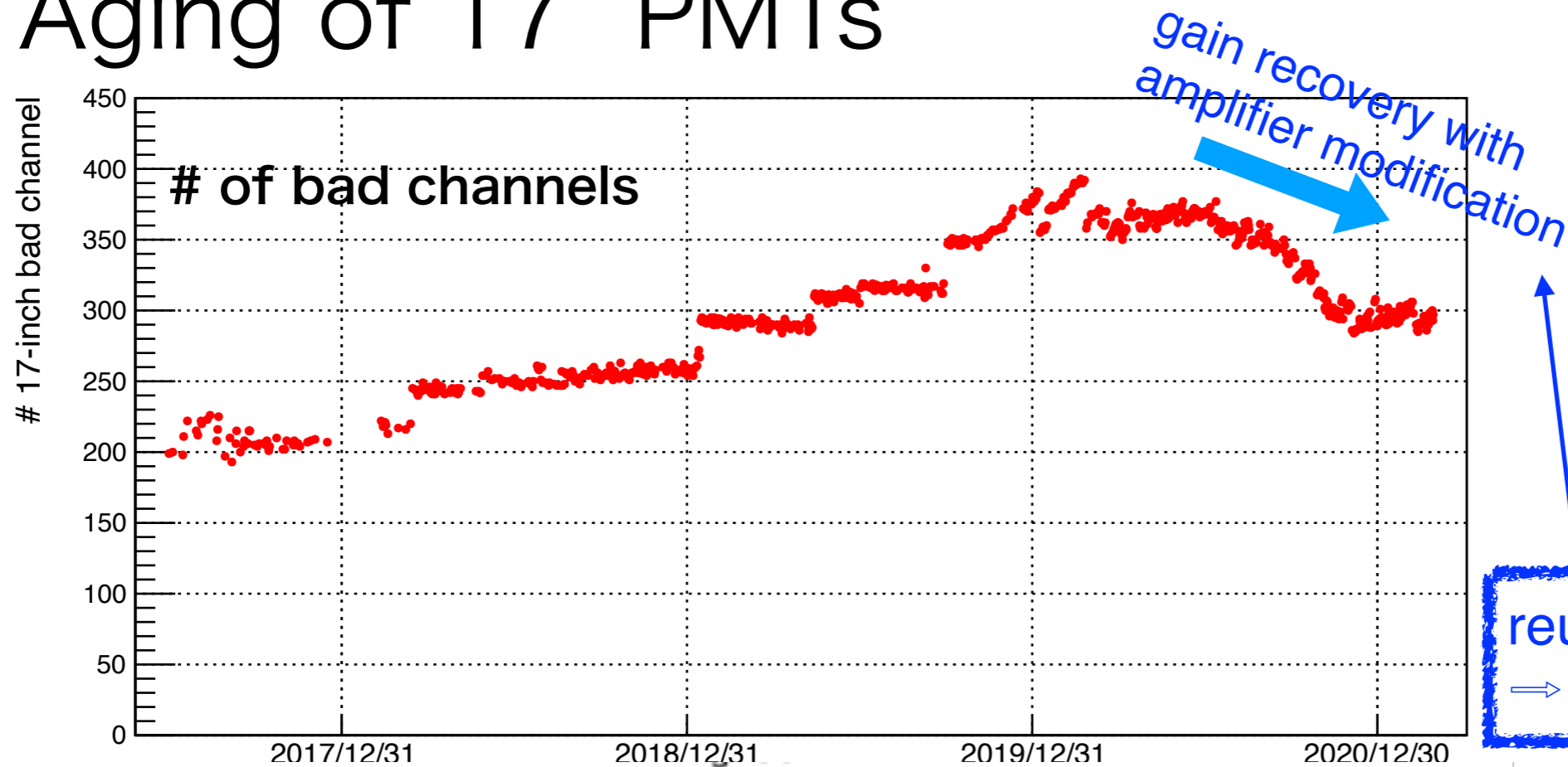
×10 reduction of RI
compared to KL-Zen 400

Vertex distribution in ROI & ²¹⁴Bi MC

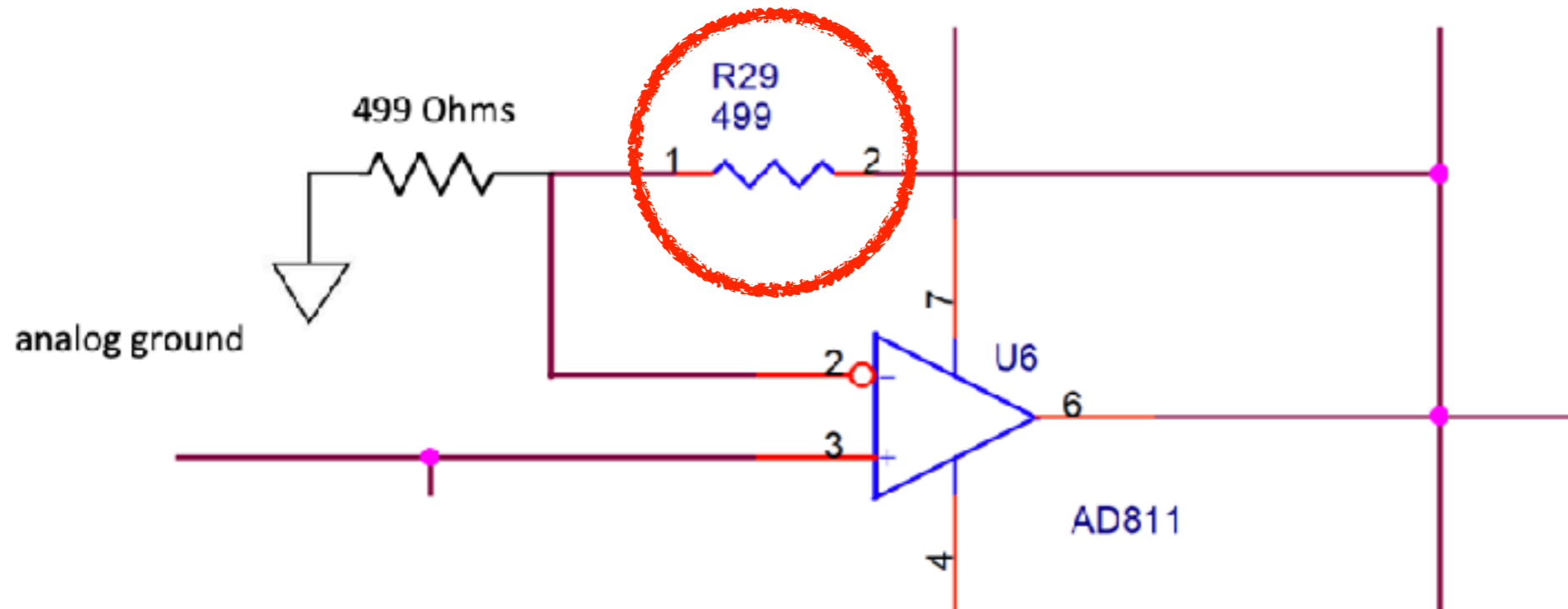


New issues in KLZ 800

1. Aging of 17" PMTs



Change the first amplifier gain of KamFEE



MoGURA amplifier is modified similarly.

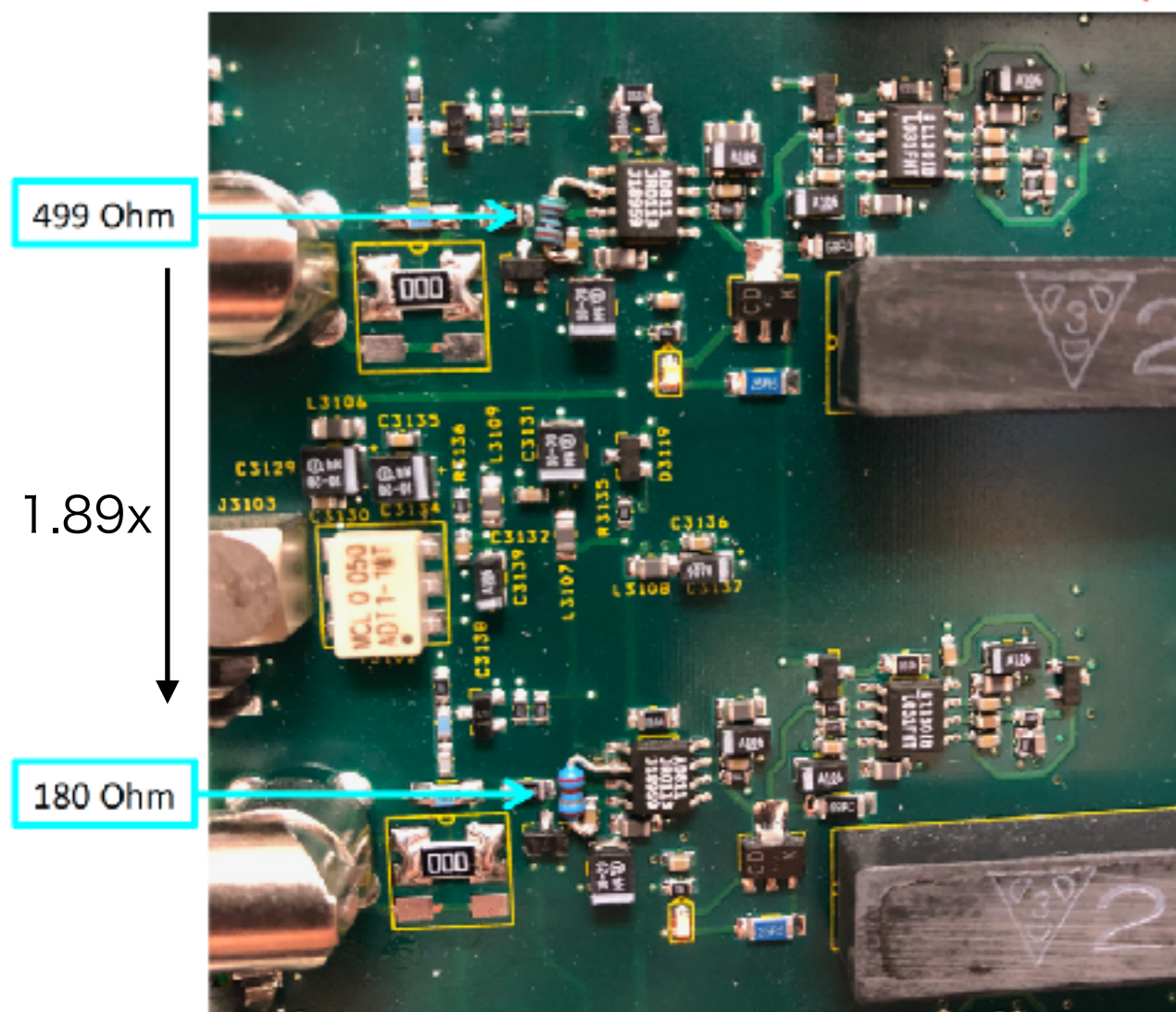
Wounded channels are connected to 2x, 4x, 10x gains.

All modifications done by one researcher in the US

New energy fitter uses individual time-dependent no-hit probabilities as a function of expected PEs.

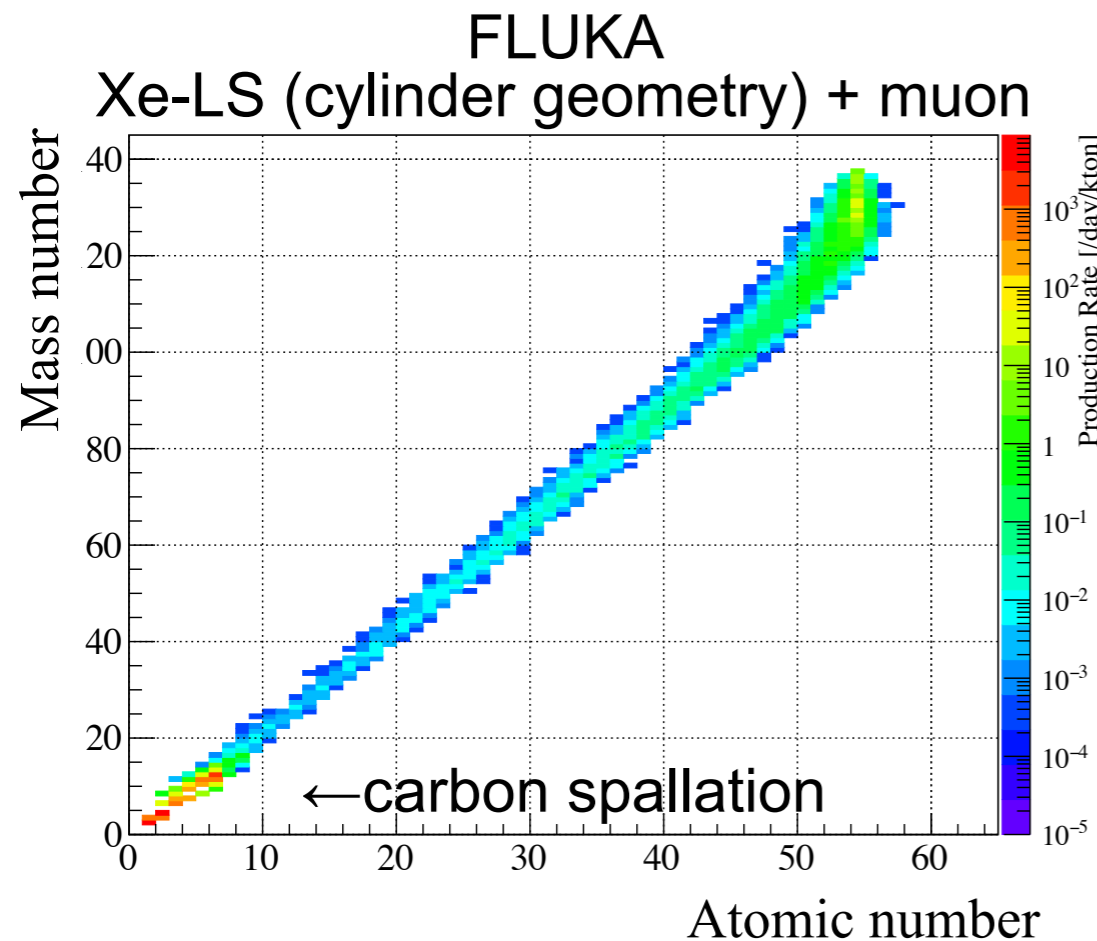
Energy resolution is slightly improved!

$$6.7\% / \sqrt{E}$$

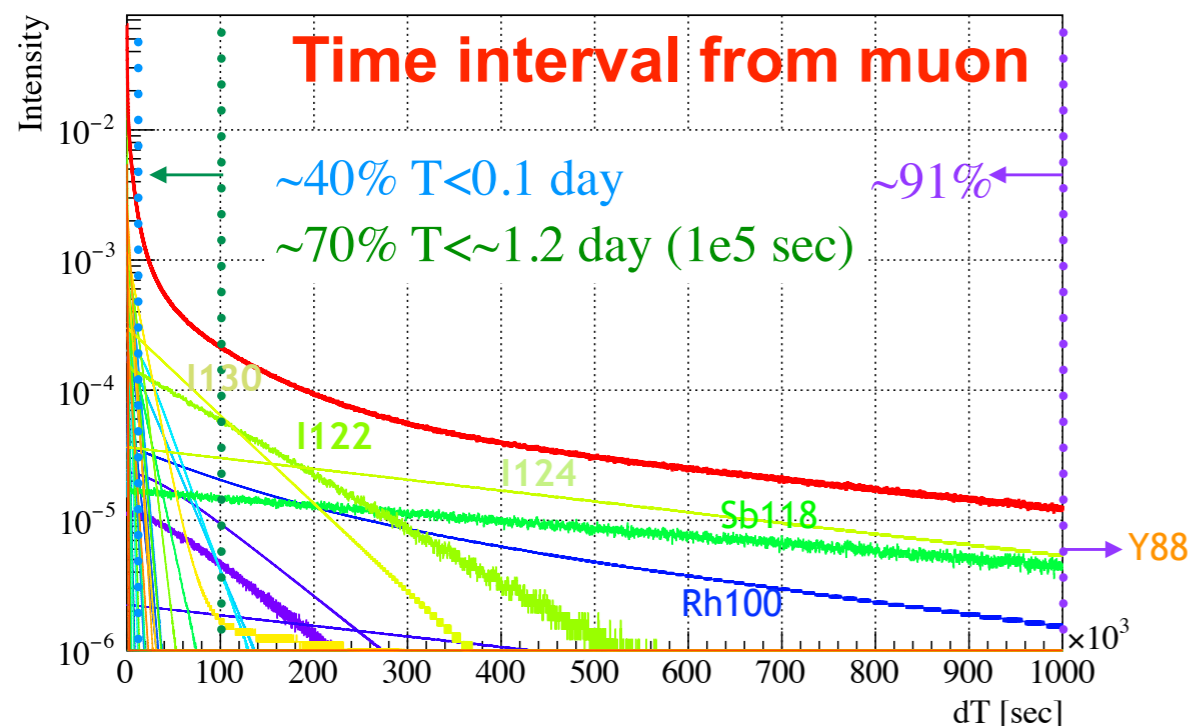
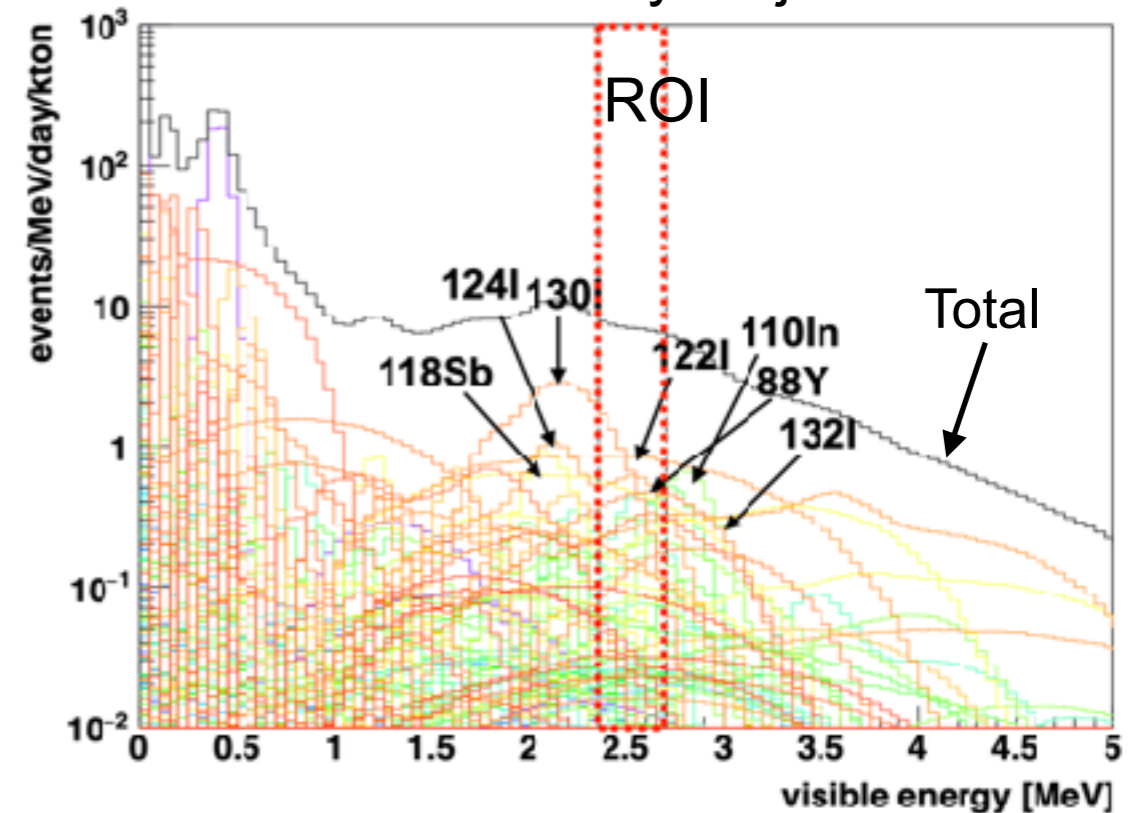


New issues in KL-Zen 800

2. Long-lived Xe spallation BGs

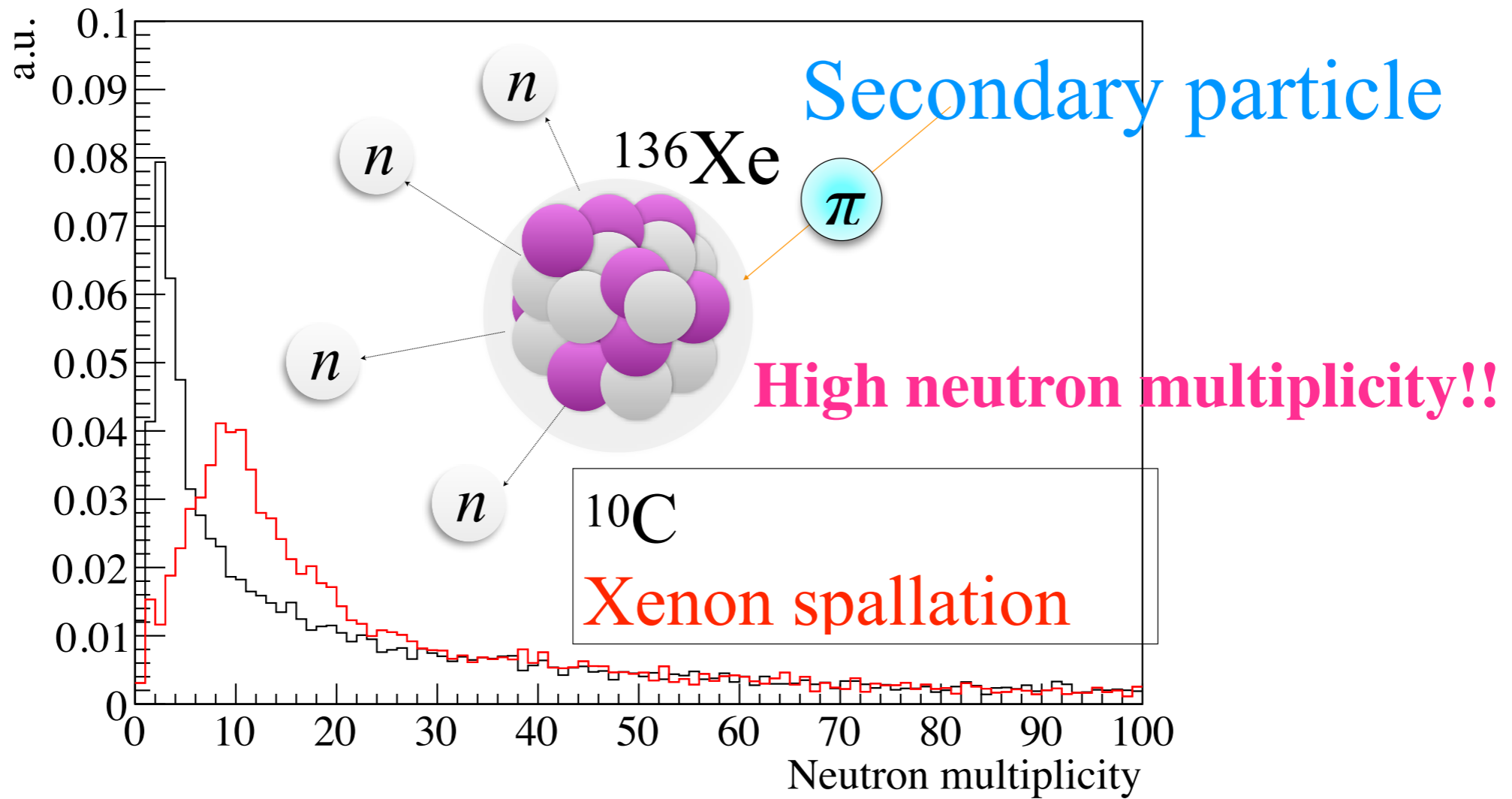


Energy spectra of products
~90% by major 32 nuclei



- Individual yields are small but many candidates are produced
- Total yield become one of the main background → new major background
- In order to mitigate the BG, day scale veto is necessary.

of neighbor neutron captures by FLUKA



$$\text{ENN} = \sum_{\text{neutrons}} \frac{\text{PDF}_{\text{spallation}}(dR)}{\text{PDF}_{\text{spallation}}(dR) + \text{PDF}_{\text{accidental}}(dR)}$$

A new likelihood method using ENN provides a rejection efficiency of $42.0 \pm 8.8\%$.

Parameters: Time difference from muon, distance between Xe-spallation and neutron capture gamma, effective number of neutron

Our dataset is now 970 kg-yr ^{136}Xe exposure!

arXiv:2203.02139

Simultaneous fit has been performed to the entire volume and LL tagged and untagged events.

| | | |
|--|--|--|
| <p>Binning: 3 time bins LL tagged/untagged 20 radius bins upper/lower 86 energy bins</p> | <p>Parameters: each BG rates LL efficiency, LL shape Energy scales (each time, non-linear responses)</p> | <p>BGs Xe LS: 2ν, Xe-spallation, ν-ES, ^{238}U, ^{232}Th, etc IB film: ^{238}U, ^{232}Th, ^{40}K, ^{210}Bi, etc Kam LS: C-spallation, ^{85}Kr, ^{40}K, etc</p> |
|--|--|--|

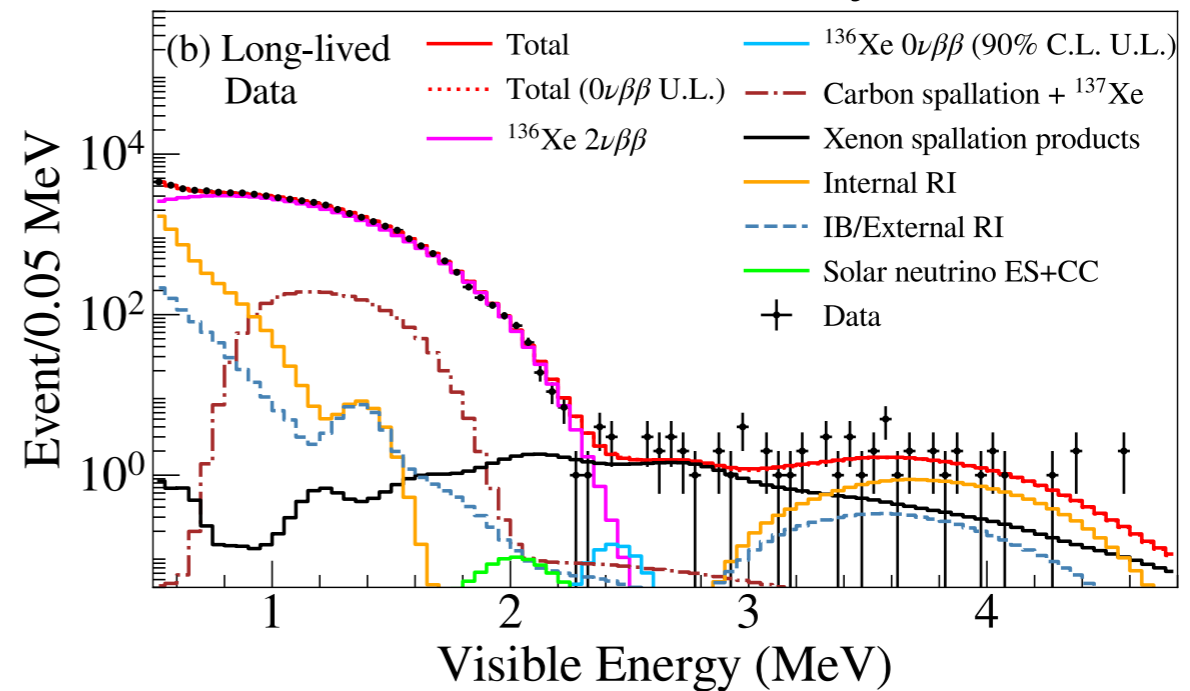
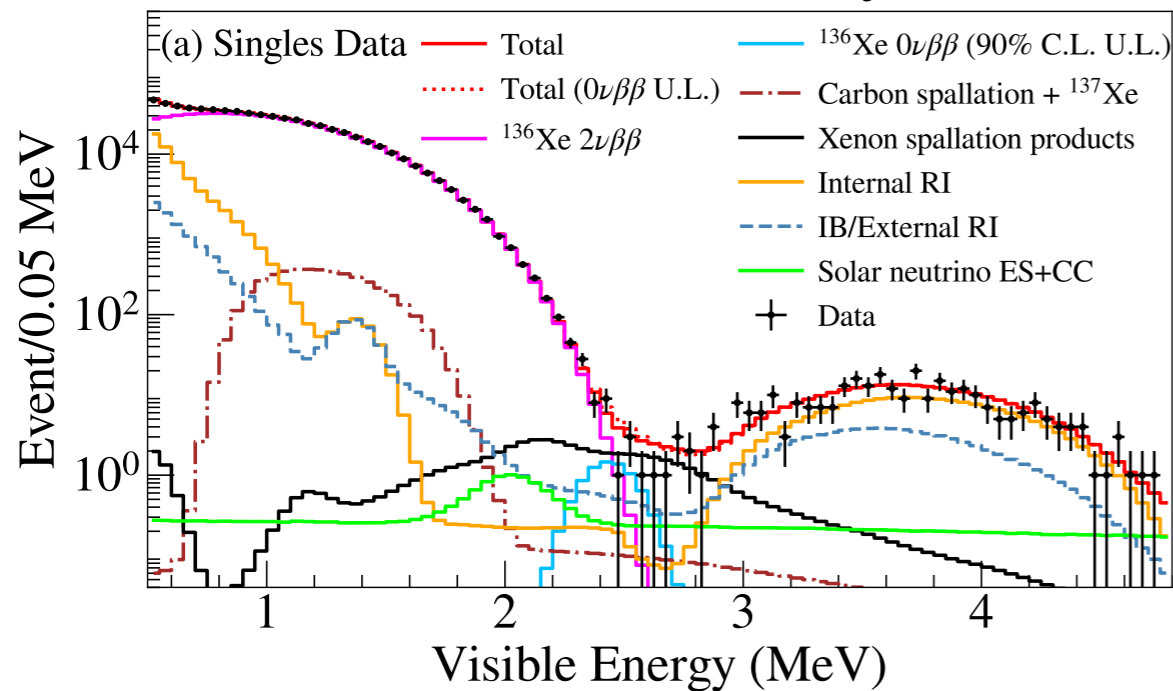
Singles data
(LL untagged, spallation suppressed)

Livetime = 523.4 days

$R < 1.57\text{m}$

Long-lived product data
(LL tagged, spallation-rich)

Livetime = 49.3 days



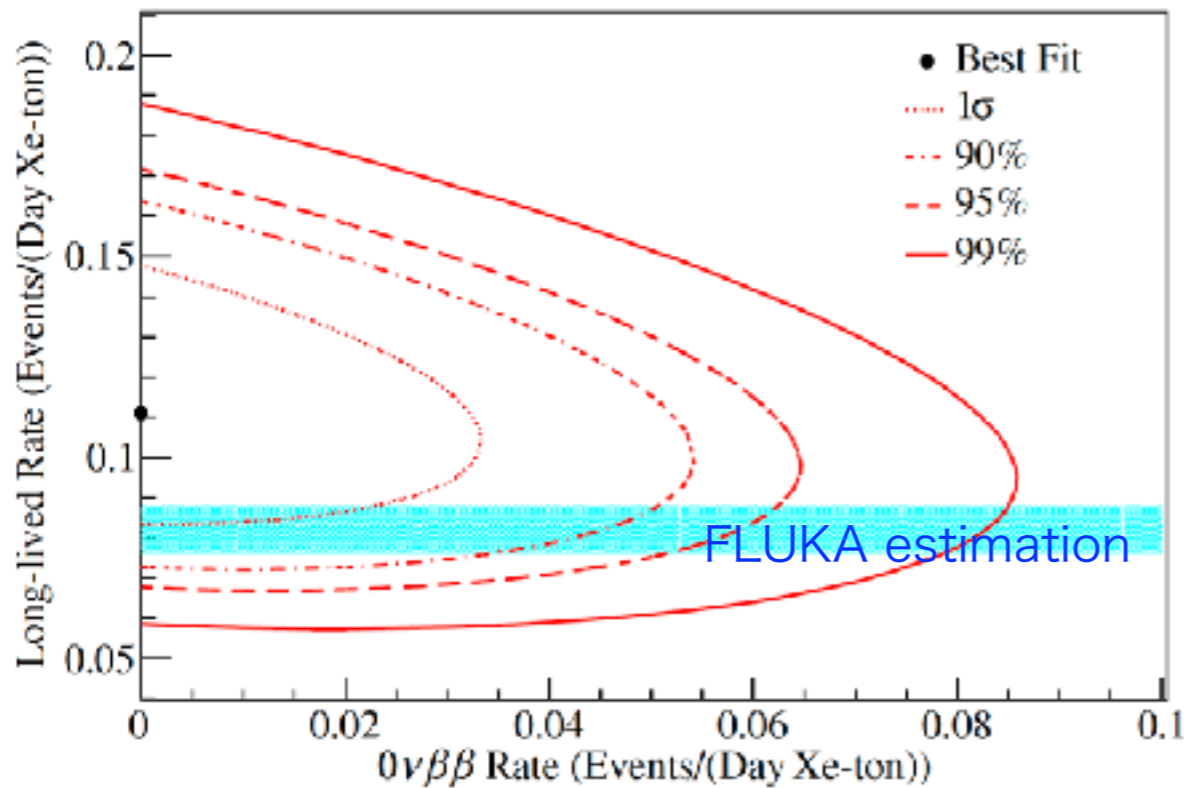
Best fit $0\nu 2\beta : 0$

90% CL upper limit : < 7.9 events/Xe-LS(30.5m³)

$T^{1/2} > 2.0 \times 10^{26}$ yr (90% C.L.)

^{136}Xe Half-life limit (KL-Zen 400 + 800)

KL-Zen 400 data reanalyzed with new tools (spallation shower tagging, neural net gamma tagging) and new knowledge of long-lived spallation, then combined with KL-Zen 800 data.



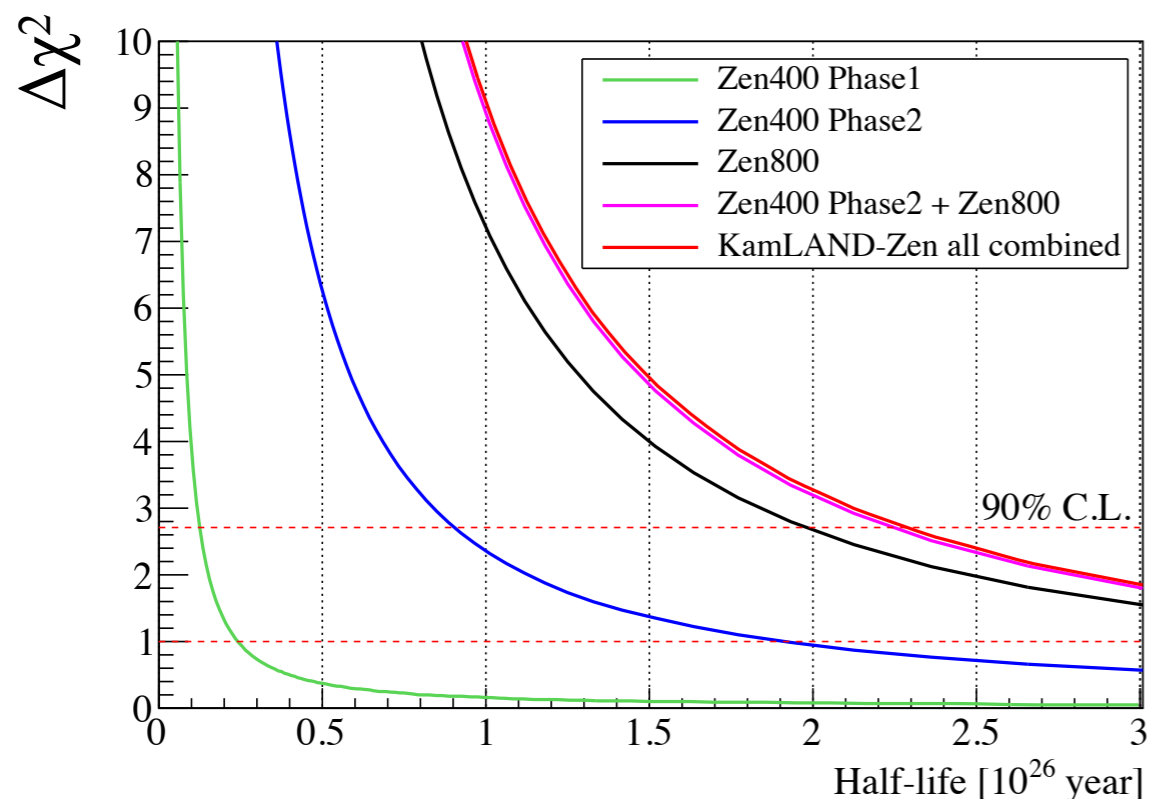
LL rate (2.35-2.79MeV)

measured : 0.111 ± 0.019 ev/d/Xe-ton

FLUKA estimation : 0.082 ± 0.006 ev/d/Xe-ton

$\sim 1.5 \sigma$ discrepancy

Obtained LL tagging efficiency $40.1^{+10.2}_{-8.2} \%$ is consistent with the estimation $42.0 \pm 8.8 \%$



Combined result (90% C.L.)

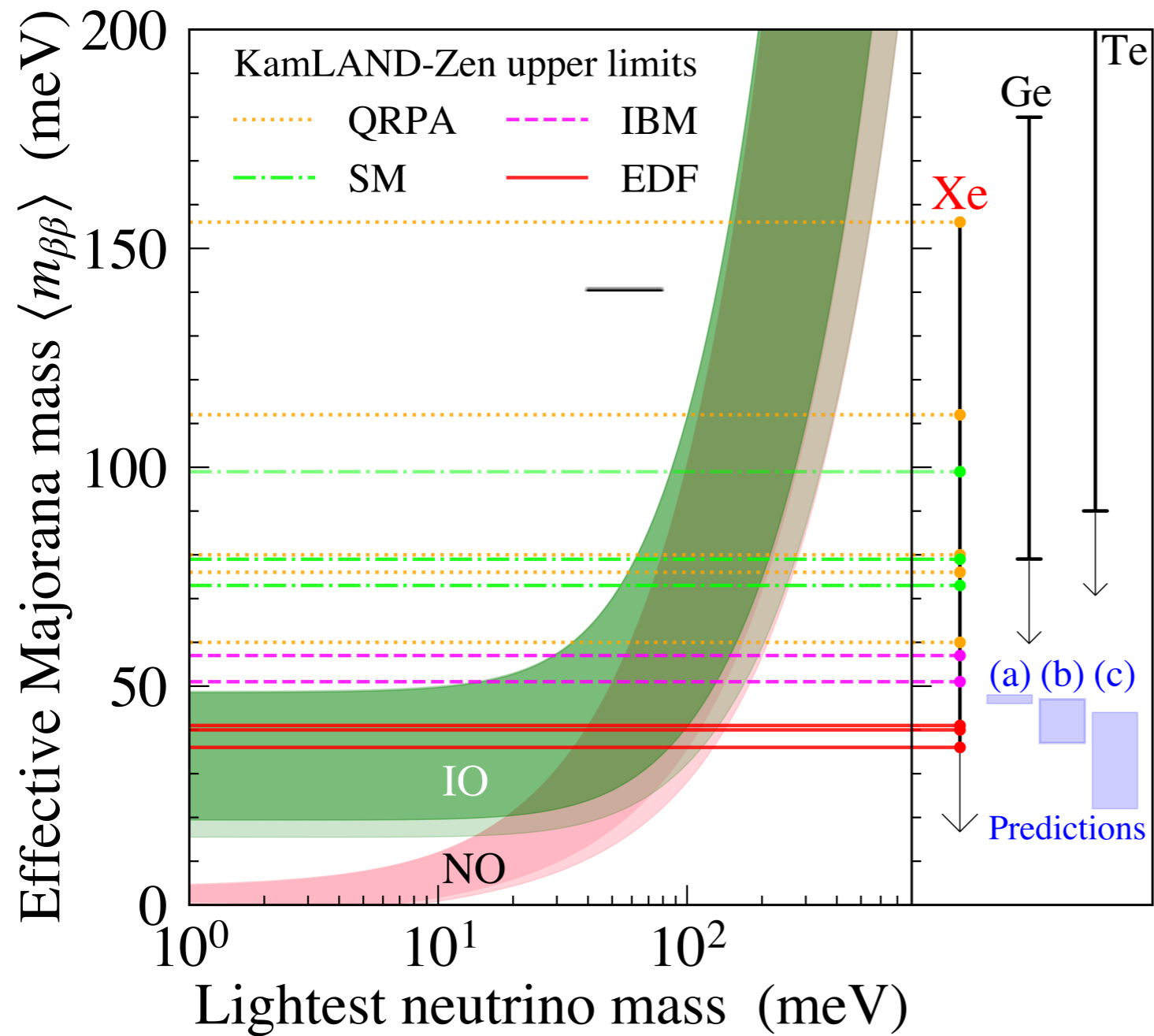
$T_{1/2} > 2.3 \times 10^{26}$ yr

Sensitivity (90% C.L.)

$T_{1/2} > 1.5 \times 10^{26}$ yr

The probability of obtaining a stronger limit : 23%

Limit on the effective neutrino mass



$$T^{1/2} > 2.3 \times 10^{26} \text{ yr}$$

$$\left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu} |M^{0\nu}|^2 \langle m_{\nu} \rangle^2$$

$$\text{NME } (M^{0\nu}) : 1.11 - 4.77$$

$$(g_A \sim 1.27)$$

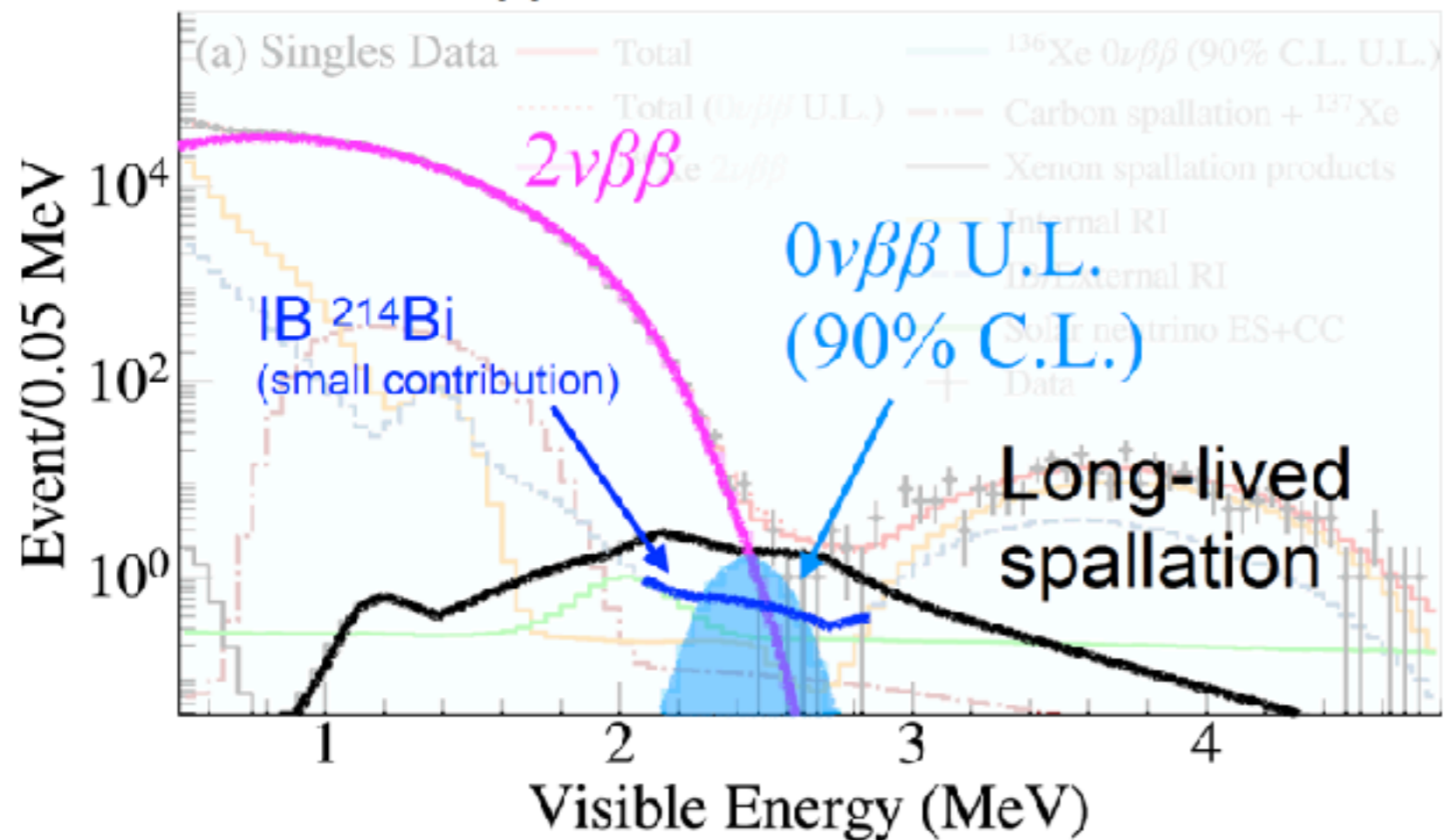
$$\langle m_{\beta\beta} \rangle < 36 - 156 \text{ meV}$$

Entering the IO region

Experimental limit for Ge & Te:
 (Ge) GERDA: Phys.Lett. **125** 252502
 (Te) CUORE: arXiv: 2104.06906v1

Theoretical predictions:
 (a) Phys. Rev. D 86, 013002
 (b) Phys. Lett. B 811, 135956
 (c) Euro. Phys. J. C 80, 76

BG summary and future



| BG summary (events) | |
|----------------------------------|-------|
| (2.35 < E < 2.70 MeV, R < 1.57m) | |
| $2\nu 2\beta$ | 11.98 |
| RI in Xe-LS | 0.98 |
| RI in mini-balloon | 3.06 |
| Solar ν | 1.65 |
| Spallation | 12.52 |

Possible measures

$2\nu 2\beta \rightarrow$ better energy resolution \rightarrow KamLAND2-Zen

$^{214}\text{Bi} \rightarrow$ scintillation balloon,
neural net (enlarging FV)

Spallation \rightarrow new electronics, new muon tracking,
neural net (beta/gamma discrimination)

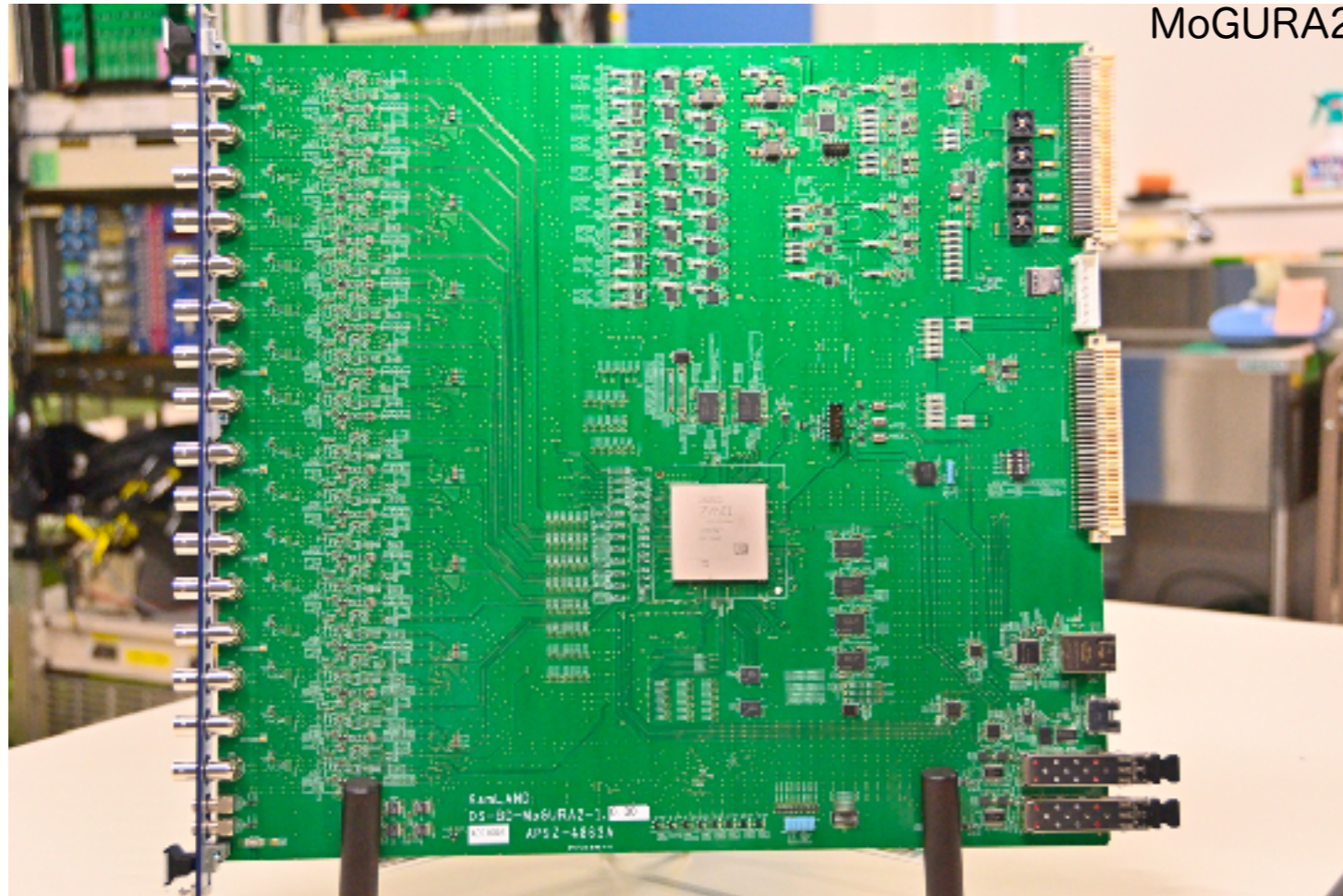
Improvement of neutron tagging efficiency (new front-end electronics)

Full-size prototype delivered in September 2020

2002- KAMFEE



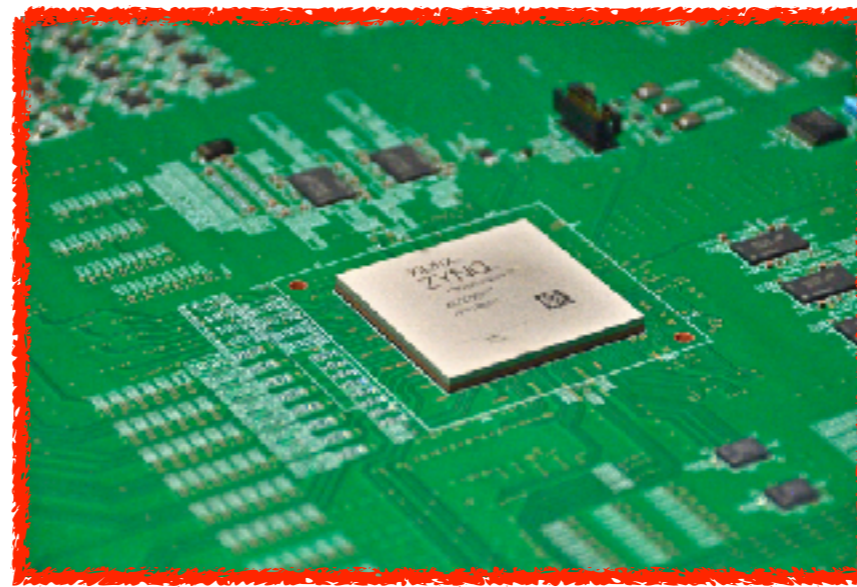
2010- MoGURA



H-gain for 1p.e.
- 1GS/s, 12bit
(2GS/s and averaging)
- Vin: -150mV - +50mV
- Vnoise: <0.12mVrms
- BW: < 65-100MHz

L-gain for muon
- 250MS/s, 16bit
- Vin: -7.5V - +0.5V
- Vnoise: <1.2mVrms
- BW: < 65-100MHz

Xilinx Zynq®Ultrascale+™ RFSoc

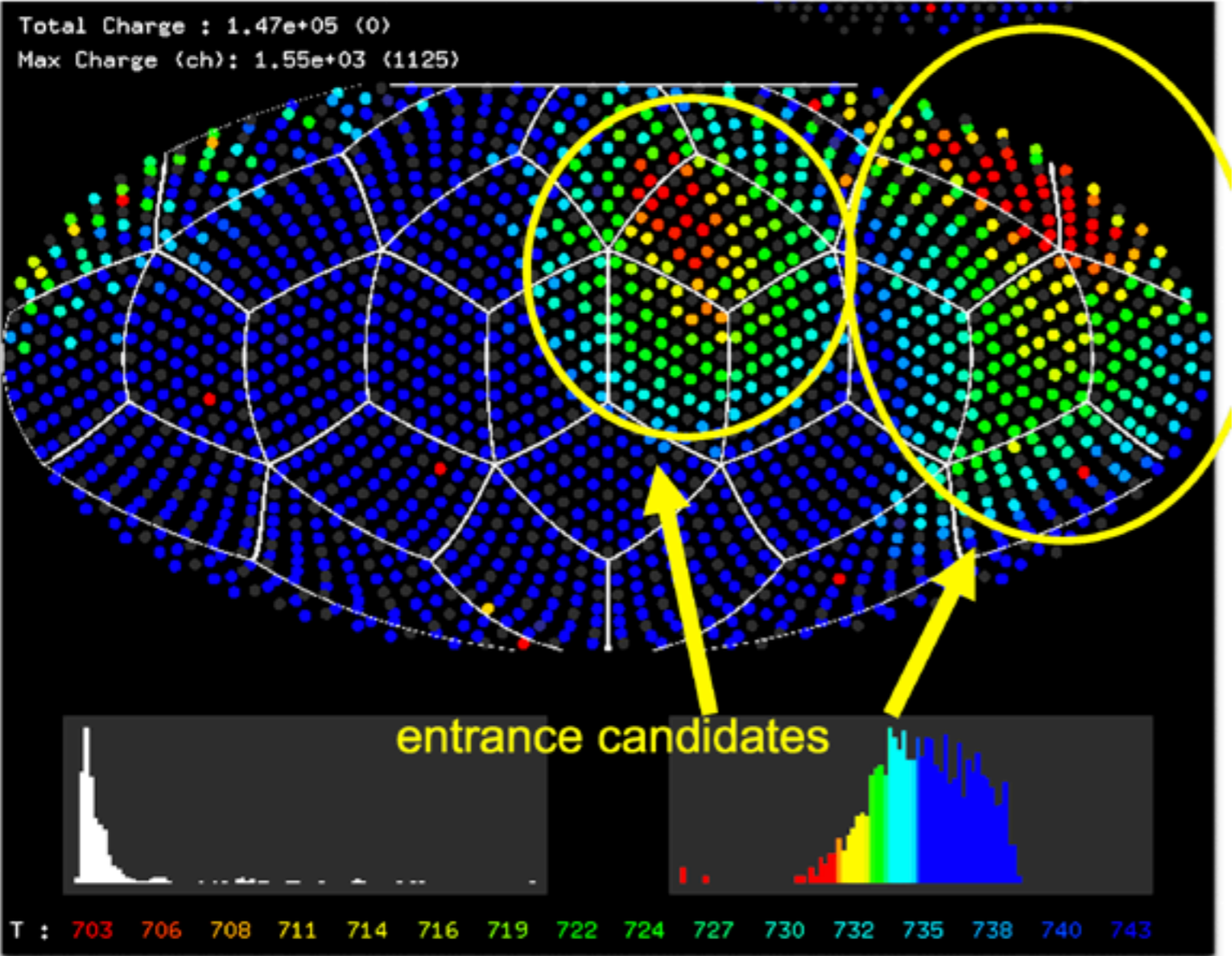


16ch×1GS/s×12bit

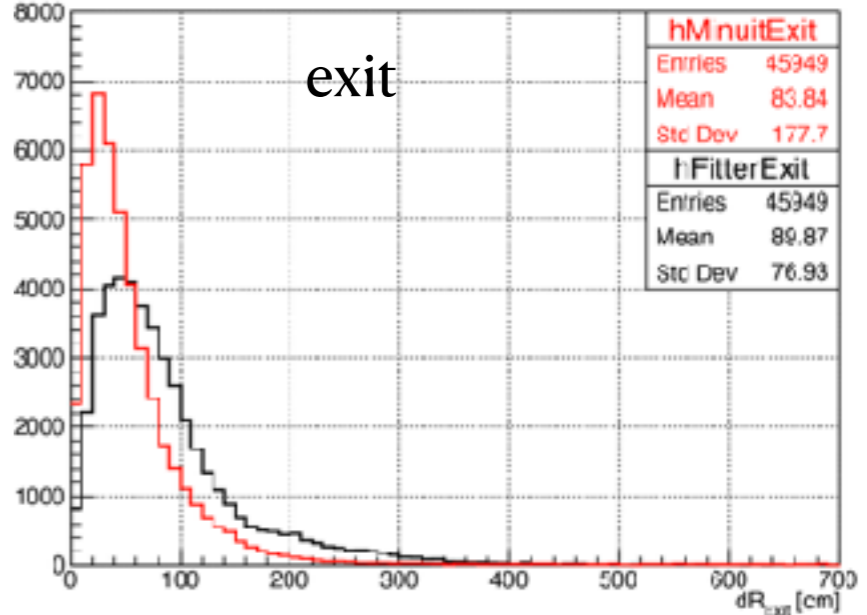
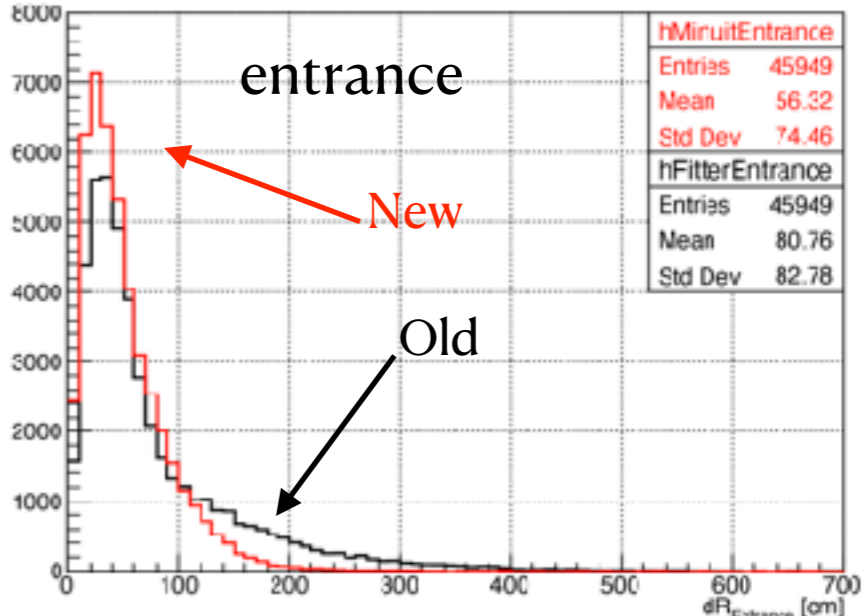
Technology innovation from spread of 5G

New muon tracking

muon bundle @ KamLAND ~4%



performance with singles

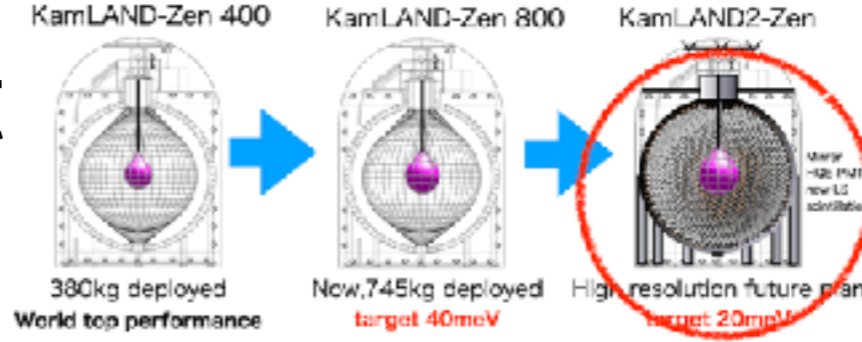


| | | |
|------------|-------|-----|
| entrance | <1.5m | <1m |
| old single | 85% | 66% |
| multi new | 90% | 76% |

Muon bundle identification is ~90% efficiency, and better track reconstruction than the current single muon fitter.

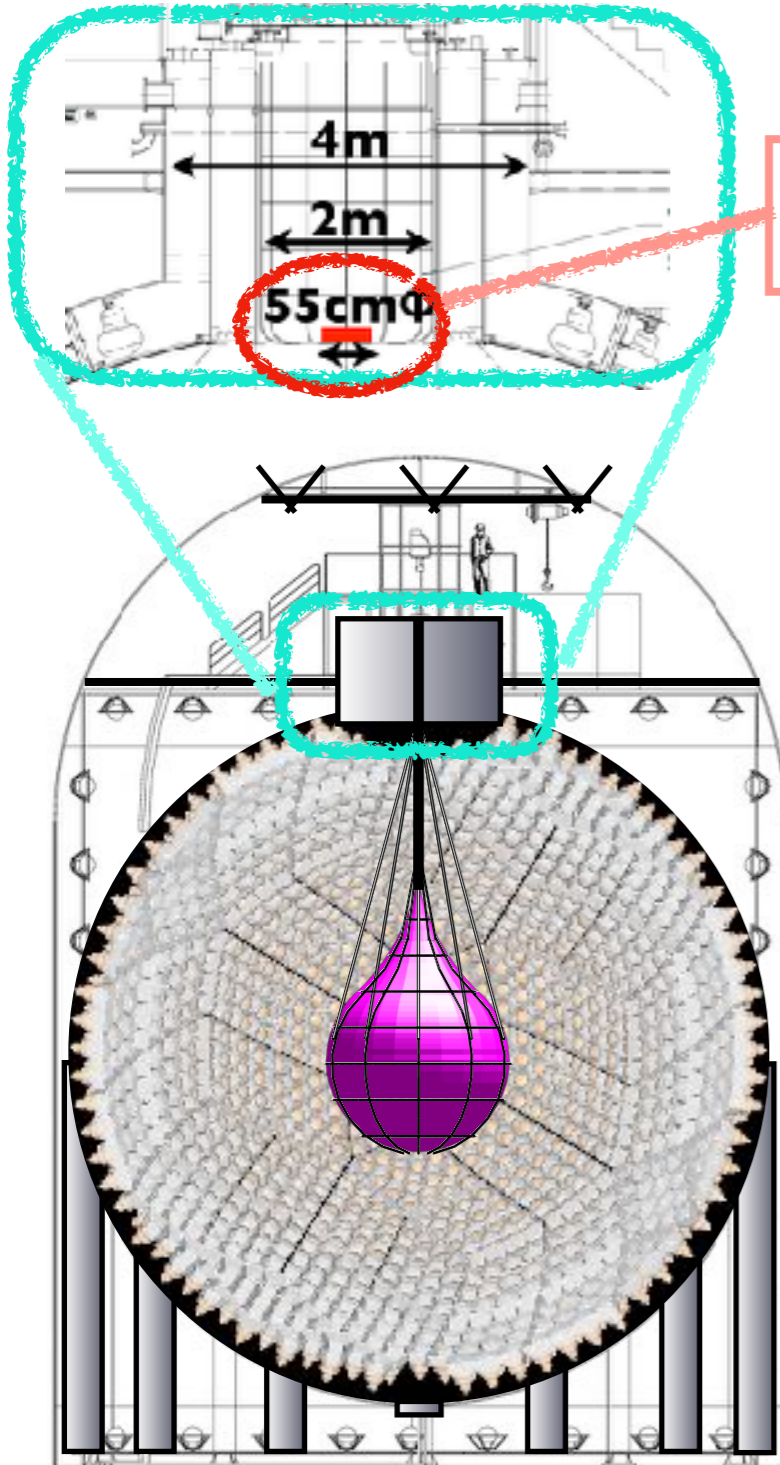
KamLAND2-Zen project

Ranked as "highest priority" in cosmic ray community



Even x2.4 is possible with an optimization

Higher energy resolution for reducing 2ν BG

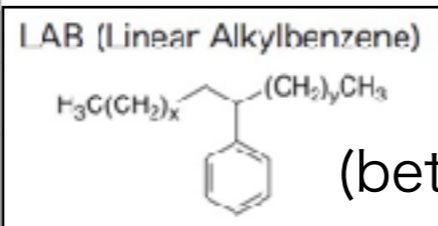


Expansion of entrance



Winston cone light collection $\times 1.8$

high q.e. PMT light collection $\times 1.9$
 $17'' \phi \rightarrow 20'' \phi \quad \epsilon = 22 \rightarrow 30+\%$



LAB LS light collection $\times 1.4$

(better transparency)

expected $\sigma (2.6MeV) = 4\% \rightarrow \sim 2\%$

target sensitivity 20 meV (2×10^{27} yrs)

20meV 95% of IO
 50% of NO

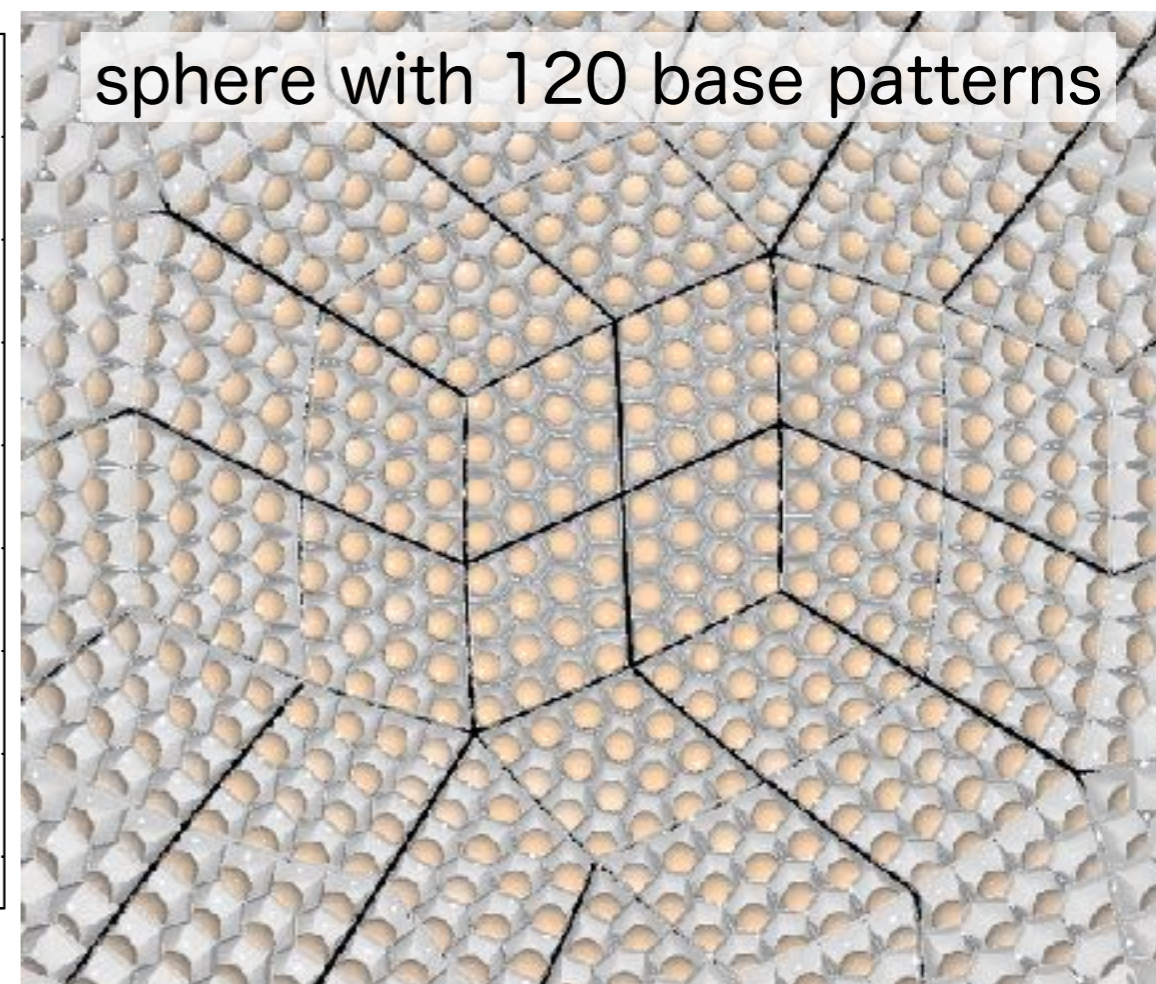
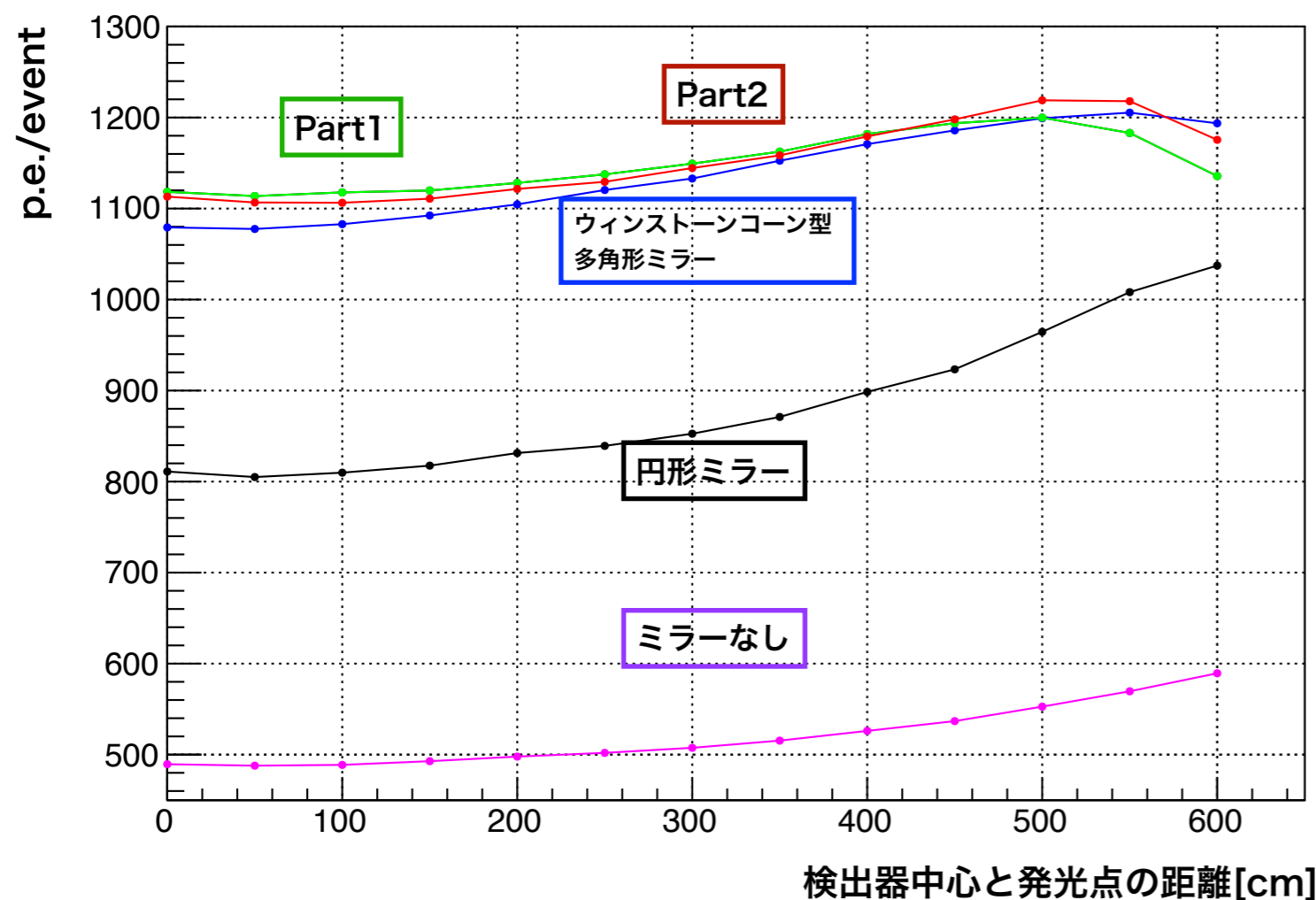
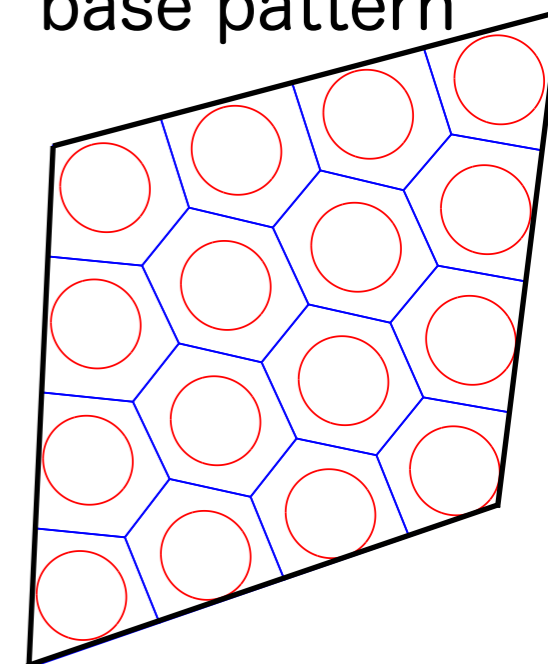
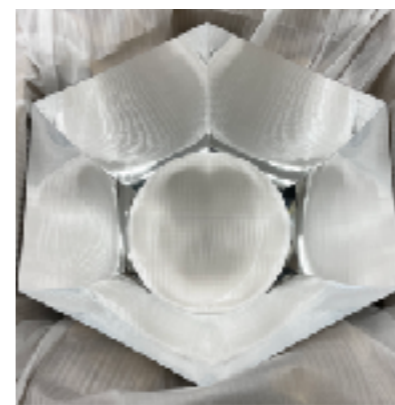
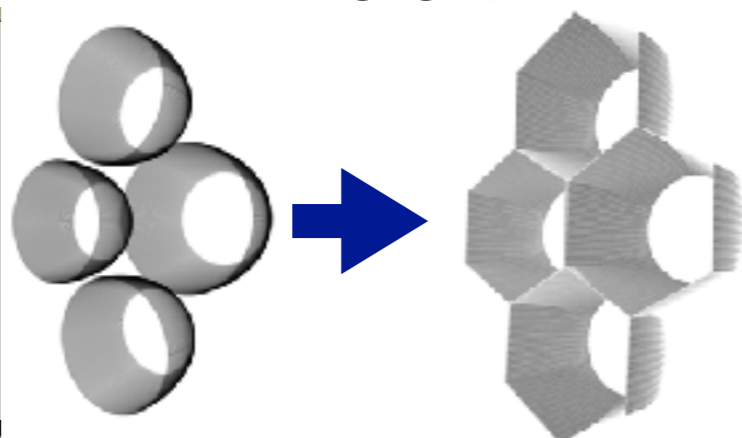
1000+ kg xenon

Improvement of energy resolution

circle to polygon shape
for eliminating gaps

base pattern

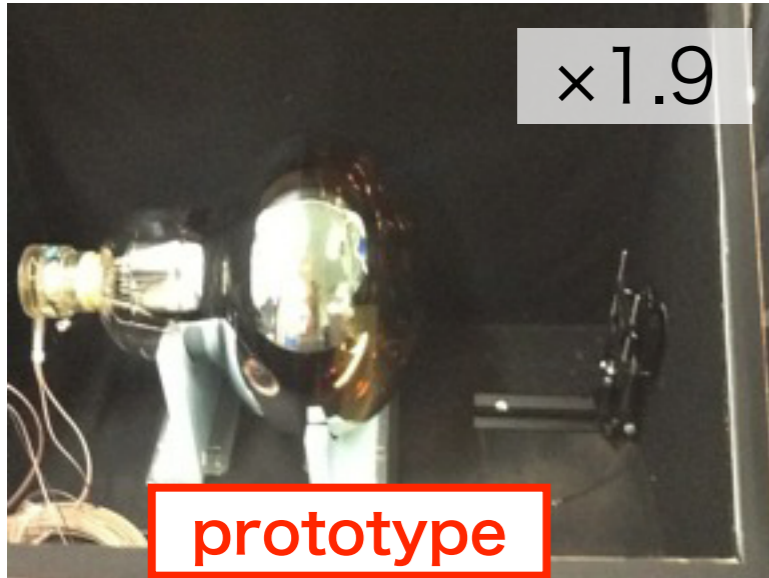
trial product



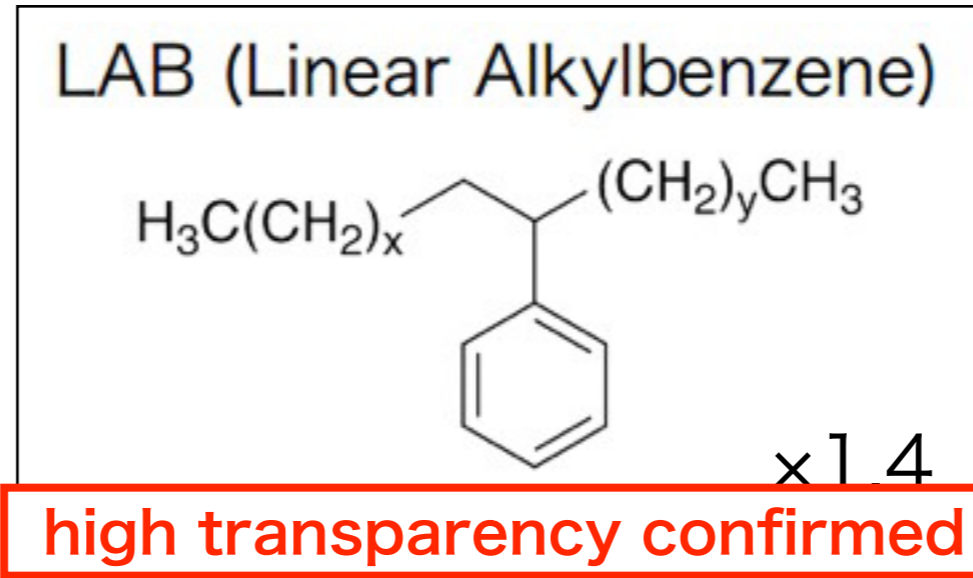
x2.2~2.3 light collection w.r.t. no mirror

The other R&Ds for KamLAND2-Zen

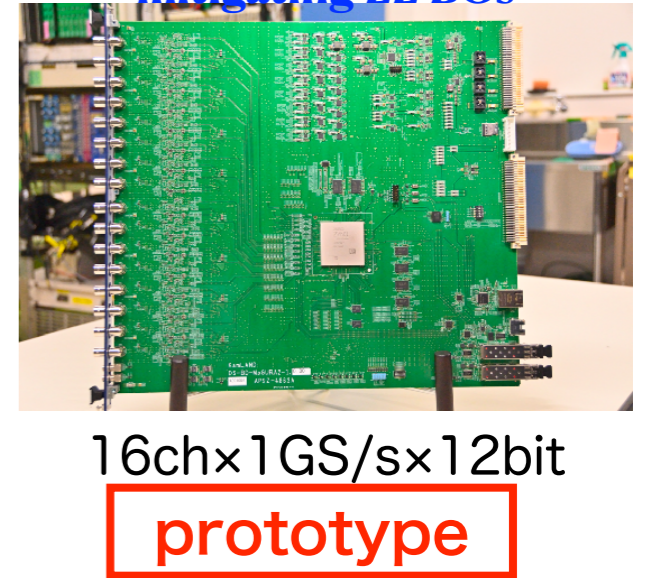
○ high QE PMT



○ New LS

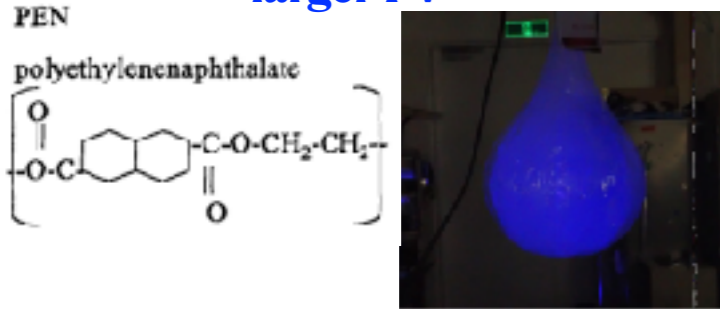


○ New electronics mitigating LL BGs



○ Scintillator film

larger FV



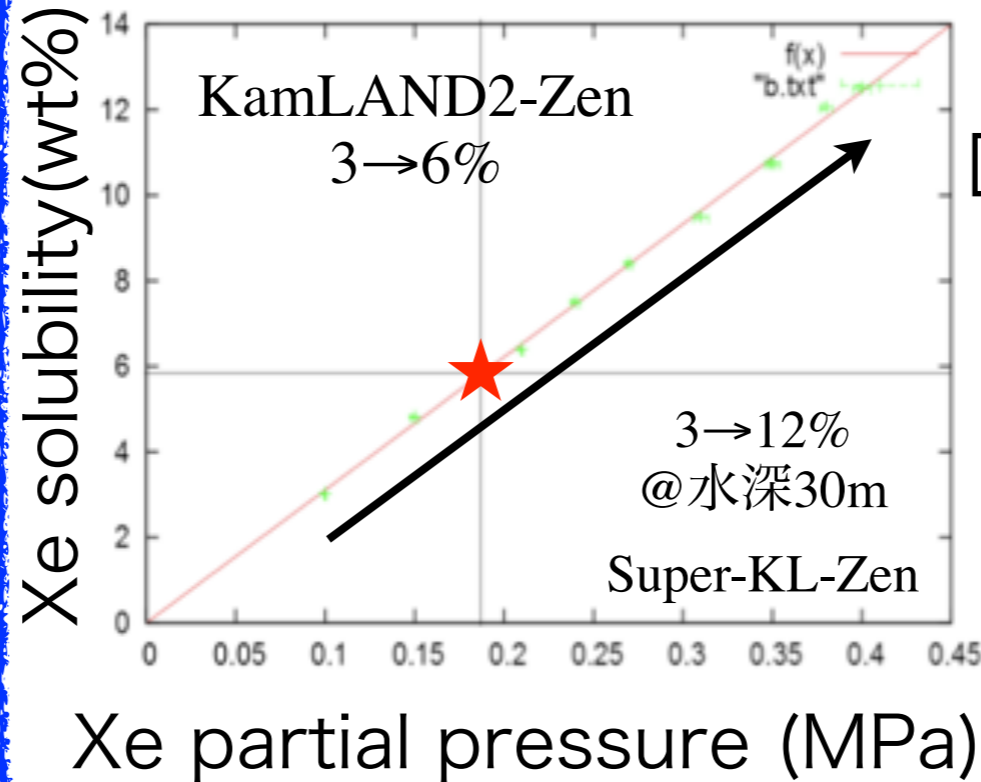
tag α in the film

^{214}Po ^{214}Bi
reduction

X

prototype

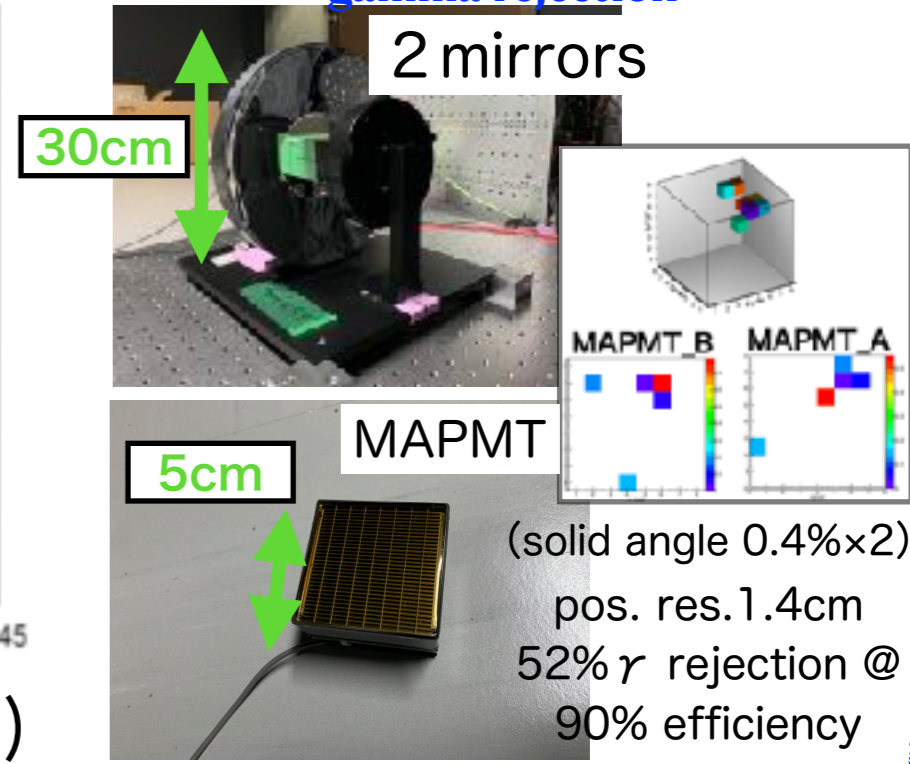
○ high concentration



principle verification

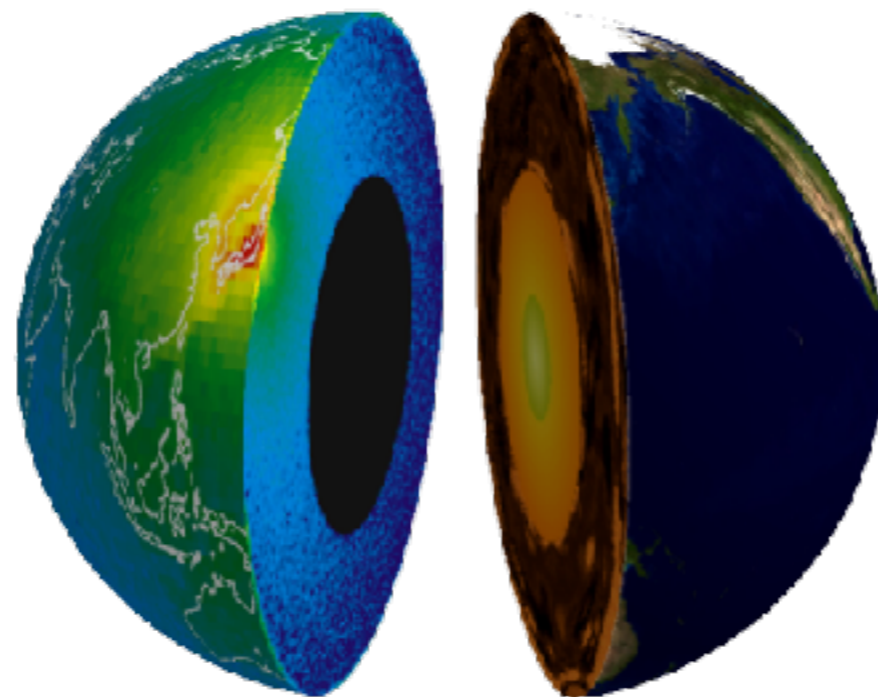
○ imaging

gamma rejection



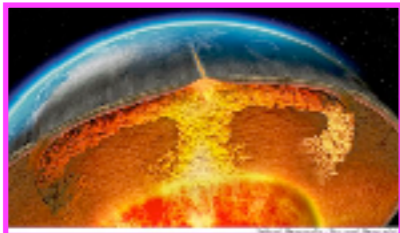
principle verification

geoneutrino

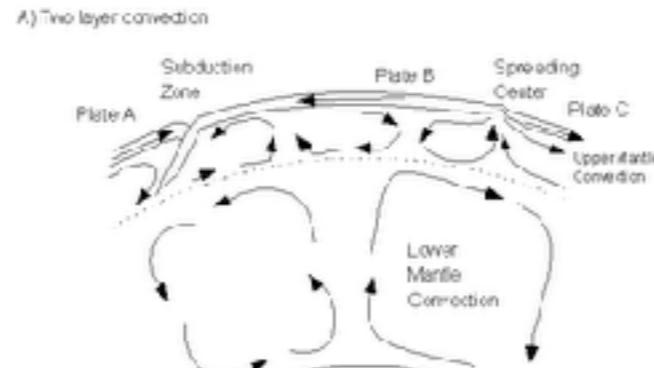


Big arguments

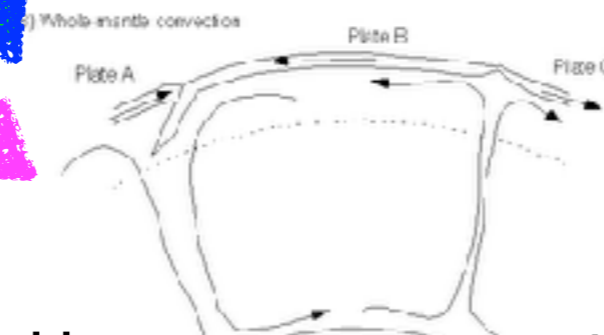
Mantle convection



geophysical model predicts single convection
 geochemical model predicts multi-layer convection



U/Th rich lower mantle



Homogeneous mantle

Middle-Q

Primordial meteorite



chemical abundance implies "C1 chondrite" (geochemical model)

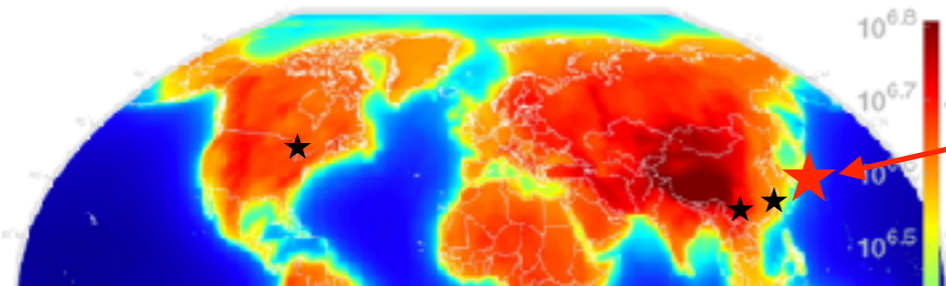
✓ U,Th amount



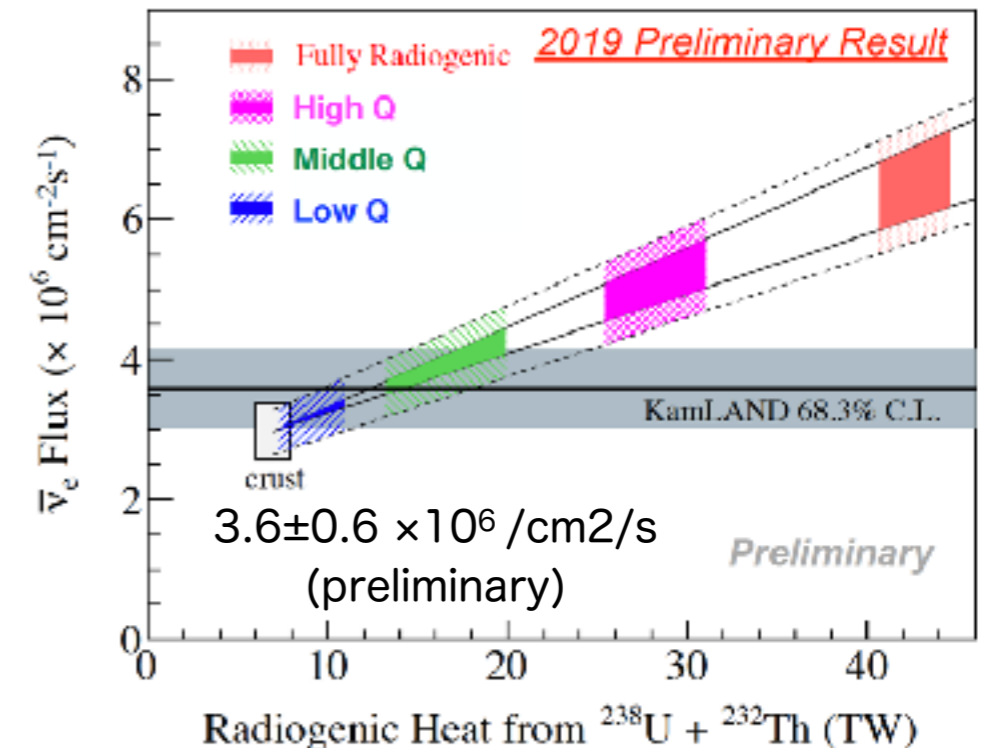
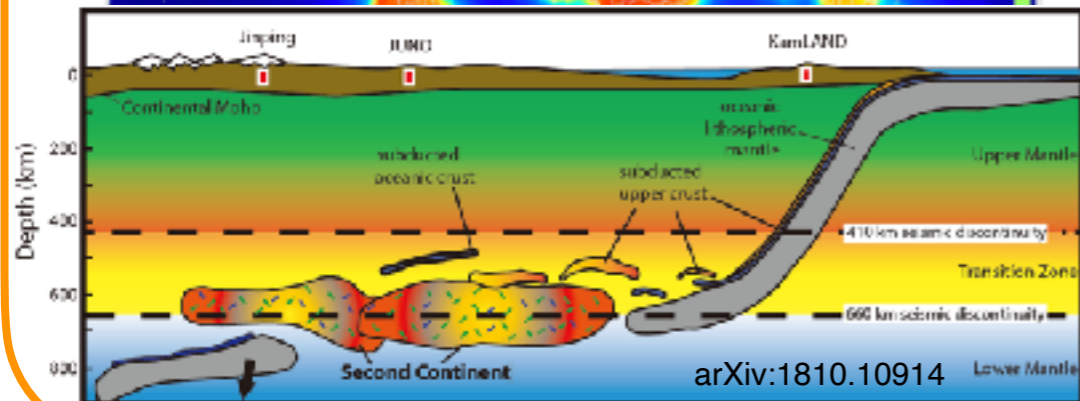
isotope ratio implies "enstatite chondrite" (geochemical model)

Low-Q

Destination of subducted crust



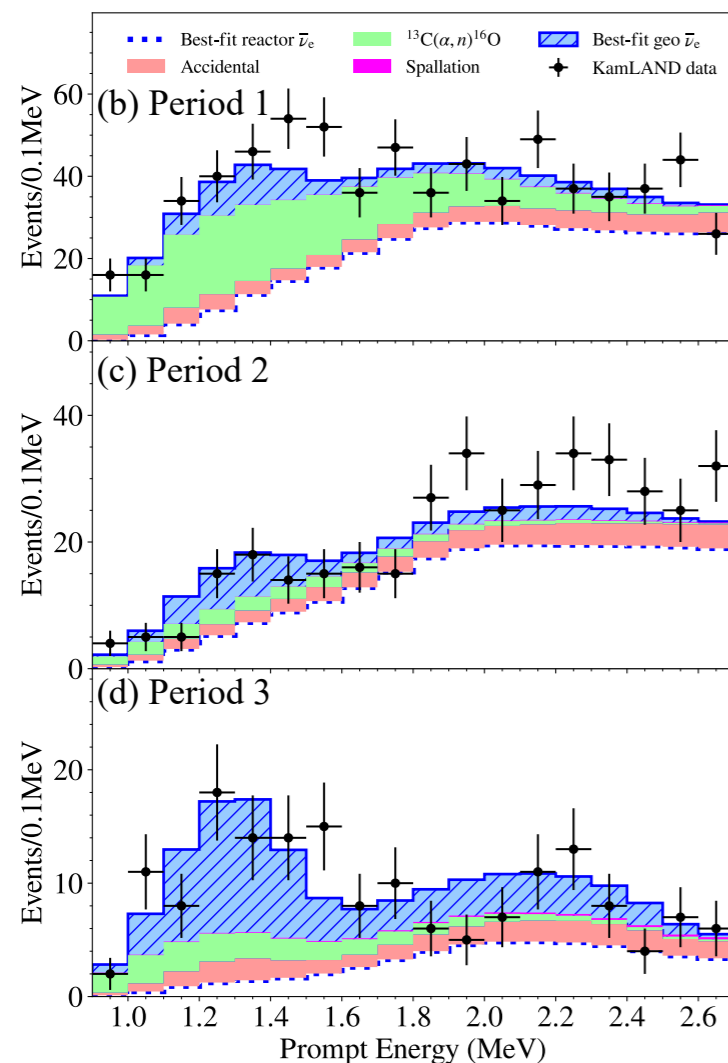
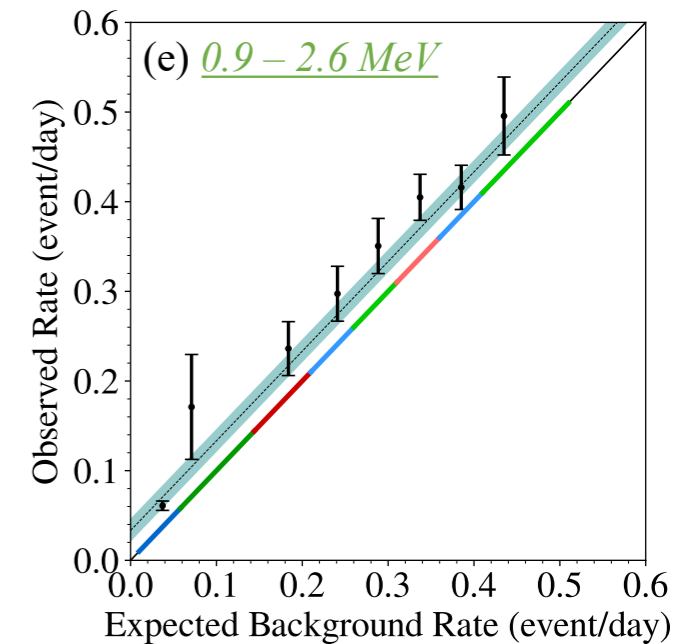
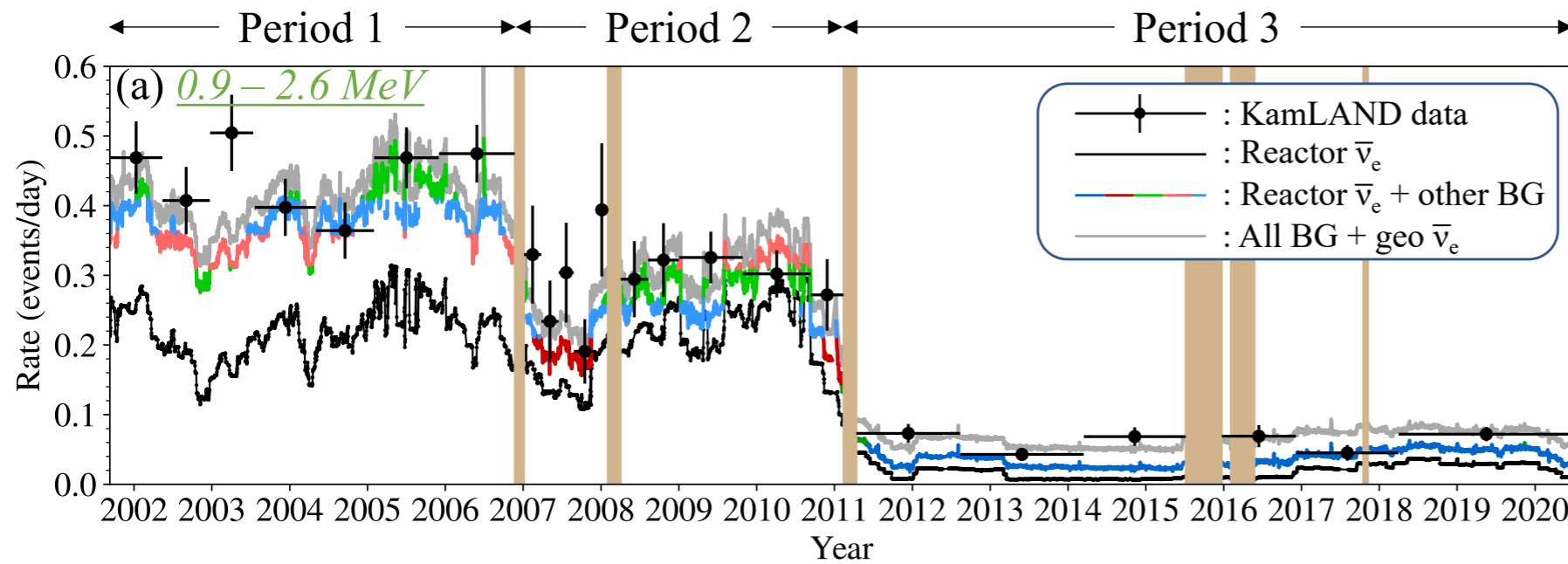
Unique location (edge of continent)



In the past publications, standard mass ratio Th/U=3.9 has been assumed.

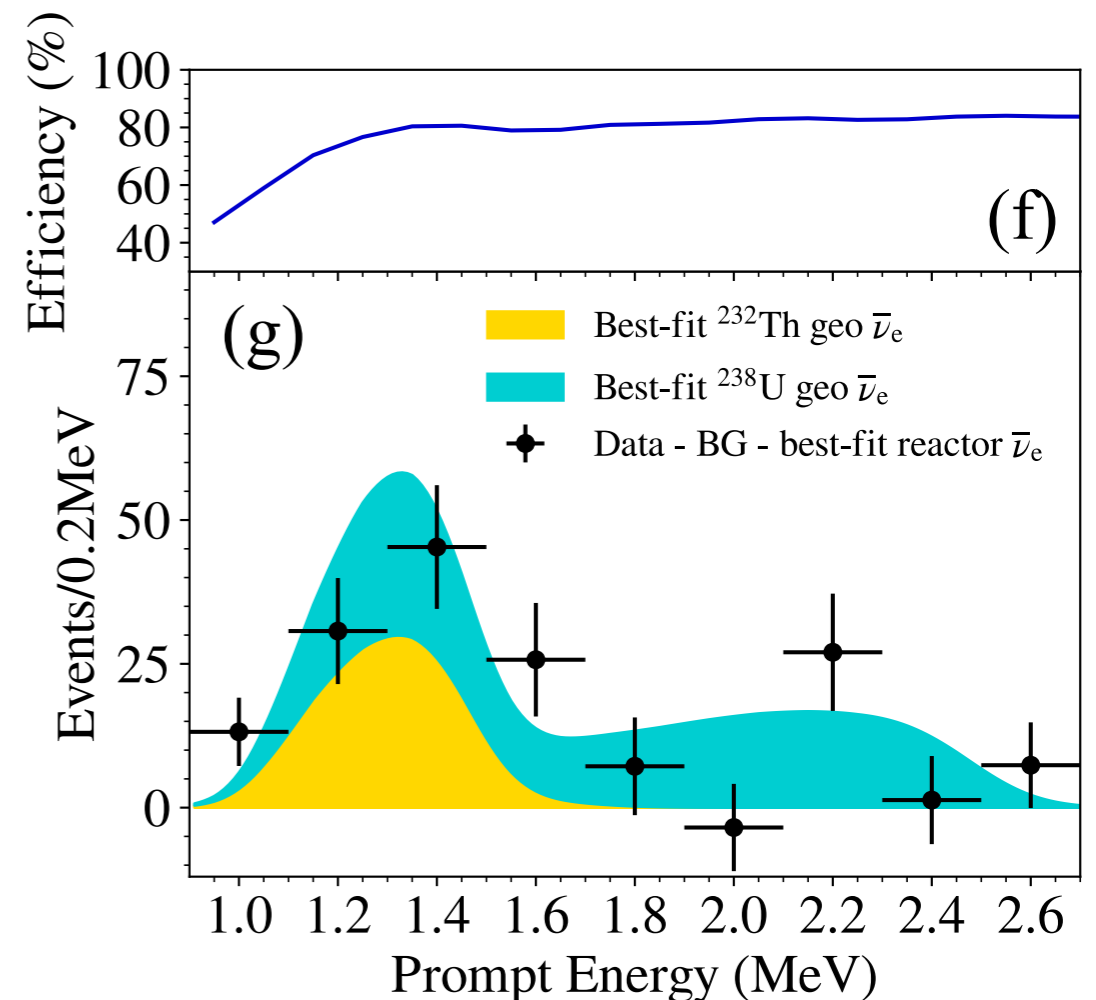
KL is accumulating low reactor data

arXiv: 2205.14934

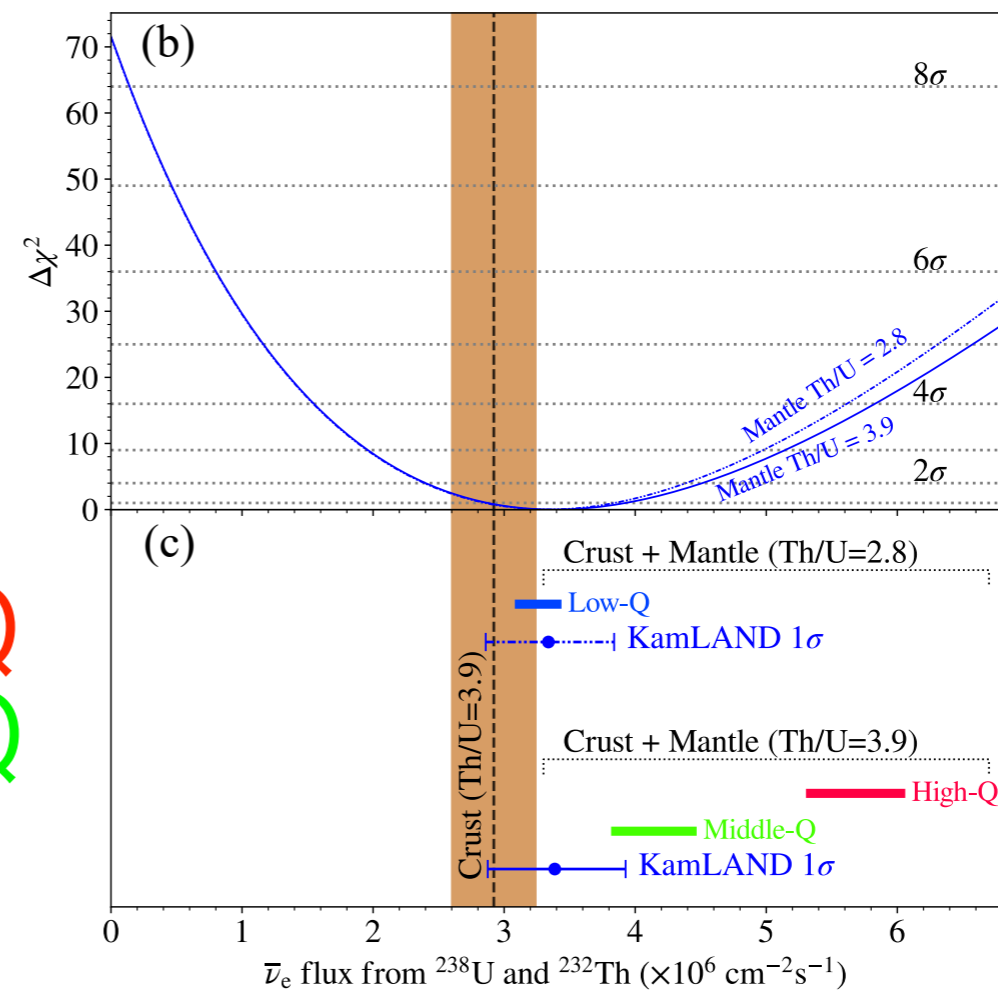
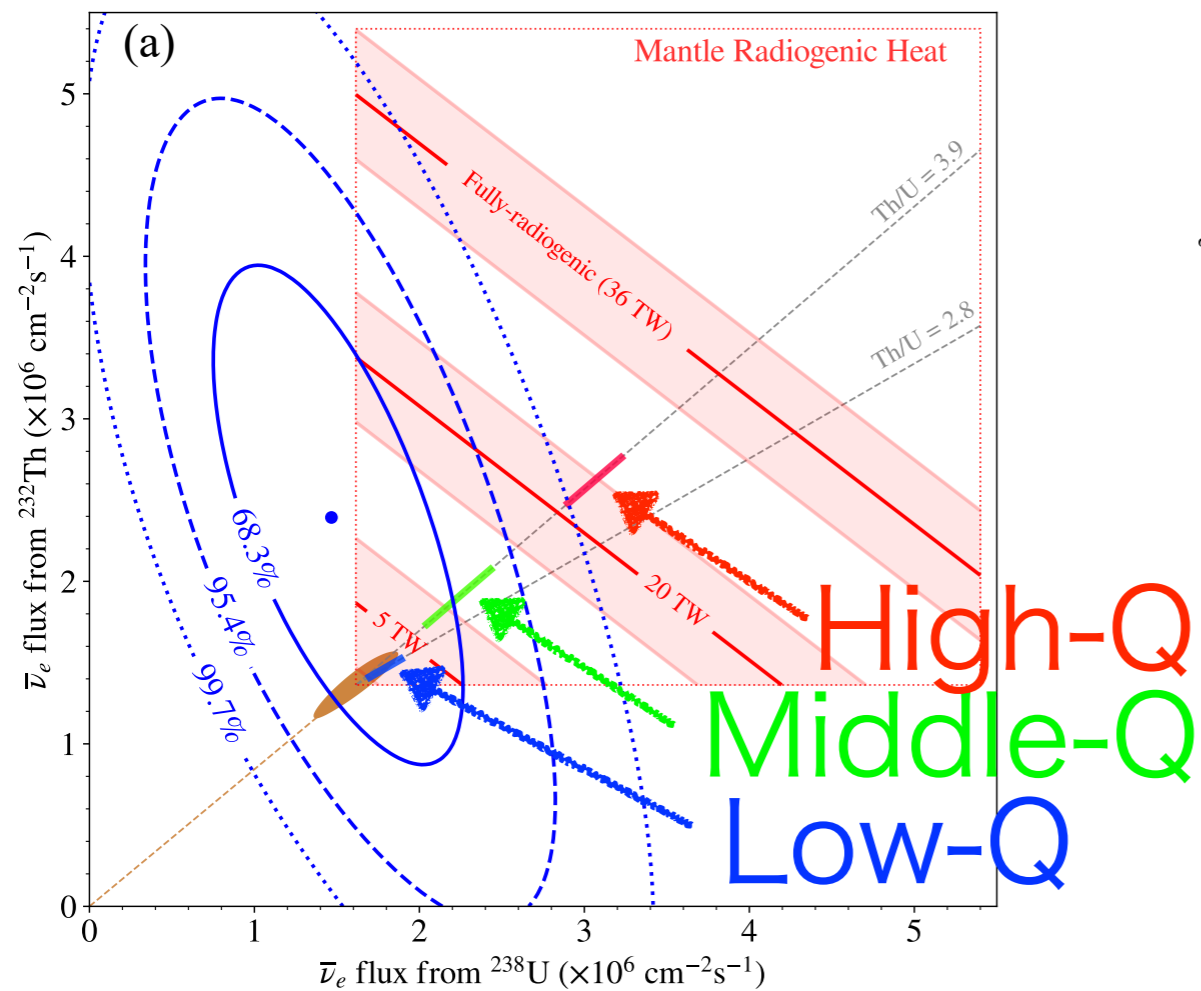


distillation

low reactor



Results from 18 years of observation (8 years low reactor period)



117⁺⁴¹₋₃₉ events from ²³⁸U
 58⁺²⁵₋₂₄ events from ²³²Th
 Zero Uranium excluded at 3.3 σ .

Fully radiogenic excluded at 5.2 σ .

Assuming Th/U mass ratio of 3.9

183⁺²⁹₋₂₈ events observed **8.3 σ**

$\bar{\nu}$ flux of $3.4 \pm 0.5 \times 10^6 \text{ cm}^{-2}\text{s}^{-1}$.

High-Q (geophysical) disfavored at 99.76% CL.

Model discrimination started!!

Summary

- KamLAND-Zen 800 started to explore the IO region.

$$T_{1/2}^{0\nu} > 2.3 \times 10^{26} \text{ yr}$$

arXiv: 2203.02139

$$\langle m_{\beta\beta} \rangle < 36-156 \text{ meV}$$

The goal of the A01 program is achieved ahead of schedule.

- Geoneutrino measurement has been updated with 8 years of low reactor data.

183^{+29}_{-28} events observed with 8.3σ significance.

Model discrimination has started.

Fully radiogenic model excluded at 5.2σ .

High-Q model disfavored at 99.76% CL.

The progress is beyond the goal of the A01 program.

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Thank you!