

**Measurement of the atmospheric neutrino-oxygen neutral-current quasielastic cross section and study of nucleon-nucleus interaction model in the SK-Gd experiment**

**SK-Gd**実験における大気ニュートリノ**-**酸素原子核 中性カレント準弾性散乱反応断面積の測定および核子**-**原子核反応モデルの研究

> The 10th Supernova Neutrino Workshop February 29th - March 1st, 2024 Seiya Sakai (Okayama Univ.)

# **Super-Kamiokande Gadolinium (SK-Gd)**

- Started from July 2020
- Load 0.011% mass concentration of Gd in SK
	- $\rightarrow$  Aiming the first observation of the diffuse supernova neutrino background (DSNB)

#### **Why Gd?**

- Largest thermal neutron capture cross section among natural elements
	- $\rightarrow$  High capture rate at low concentrations
- Emit a total of ~8 MeV of gamma rays
	- $\rightarrow$  Neutron tagging efficiency is largely improved





#### **DSNB search in SK-Gd Dumber of events [bin**] 12

- Search for the inverse beta decay by electr $\mathscr{H}_{\mathscr{H}}$
- Detect positron (prompt signal) and neutrof  $\mathbb{Z}/\mathbb{Z}_{q}$ 
	- $\rightarrow$  Can remove many backgrounds witho $\frac{4}{2}$   $\frac{1}{2}$   $\frac{1}{2}$   $\frac{1}{2}$

14 **SK-VI Data (552.2 days) Atmospheric-**ν **(non-NCQE) Atmospheric-**ν **(NCQE) Spallation** <sup>9</sup>Li **Reactor-**ν **Accidental coincidence DSNB (Horiuchi+09 6-MeV, Maximum)** 111111N1111<del>1</del>

MeV1  $^8$  DSNB search result lising the observed data of 0.011% mass concentration of Gd



0

2

10

 $E_{rec}$  [MeV]

# **NCQE events in DSNB search**

- Neutral-current quasielastic scattering (NCQE) reaction Atmospheric neutrino knocks out a nucleon (neutron) of the oxygen nucleus
- Gamma ray and neutron pairs mimic DSNB events
	- Difficult to distinguish from DSNB events
	- Important to estimate NCQE events precisely







### **NCQE events in DSNB search**

- Neutron energy by neutrino (primary) interaction:  $O(10)$   $O(10^3)$  MeV
	- $\rightarrow$  Additional gamma rays and neutrons are generated by nucleon-nucleus (secondary) interaction
- Impossible to distinguish from primary interaction



#### **To estimate NCQE events precisely, we must understand NCQE cross section and secondary interaction**

# **Measurement of the atmospheric neutrino-oxygen NCQE cross section**

### **Data analysis**

- Select NCQE events from a 552.2 day dataset (August 2020 - June 2022, Gd: 0.011%)
- Energy of prompt signal: 8 30 MeV
- Number of delayed signals ≥ 1



#### **Data analysis**

• Cherenkov angle of prompt signal > 50 degrees





**0**

**2**

**4**

**6**

**8**

**10**

**12**

Events



**Results**

#### **NCQE cross section**

• Flux-averaged theoretical cross section

$$
\left\langle \sigma_{\text{NCQE}}^{\text{theory}} \right\rangle = \frac{\int_{160 \text{ MeV}}^{10 \text{ GeV}} \Sigma_{i=v,\overline{v}} \phi_i(E) \times \sigma_i(E)_{\text{NCQE}}^{\text{theory}} dE}{\int_{160 \text{ MeV}}^{10 \text{ GeV}} \Sigma_{i=v,\overline{v}} \phi_i(E) dE} = 1.02 \times 10^{-38} \text{ cm}^2/\text{oxygen}
$$

• Ratio of observed NCQE events to expected NCQE events  $(f_{\text{NCOE}})$ 

$$
f_{\rm NCQE} = \frac{N^{\rm obs} - N_{\rm NC\,non-QE}^{\rm exp} - N_{\rm Others}^{\rm exp}}{N_{\rm NCQE}^{\rm exp}} = 0.725
$$



• Measured cross section

$$
\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = f_{\text{NCQE}} \times \langle \sigma_{\text{NCQE}}^{\text{theory}} \rangle
$$
  
= 0.74 \pm 0.22(stat.) \times 10<sup>-38</sup> cm<sup>2</sup>/oxygen

#### **Systematic uncertainties**



#### **Results**

•  $\langle \sigma_{\rm NCQE}^{\rm measured} \rangle = 0.74 \pm 0.22 \text{(stat.)} ^{+0.85}_{-0.15} \text{(syst.)} \times 10^{-38} \text{ cm}^2 \text{/oxygen}$ 

 $\rightarrow$  Consistent with  $\left< \sigma_{\rm NCQE}^{\rm theory} \right> = 1.02 \times 10^{-38}$   ${\rm cm^2/oxy}$ gen within the uncertainties



#### **Systematic uncertainty is so large, why?**

**Comparison of secondary interaction models using atmospheric neutrinos**

# **Systematic uncertainties**

• Systematic uncertainty of secondary interaction is largest



# **Why so large?**

## **Secondary interaction models**

#### • Intranuclear cascade process

Process of a chain of reactions triggered by a reaction between an incident particle and a nucleon in a nucleus

• Evaporation process

Process of emitting nucleons and gamma rays isotropically when an excited residual nucleus deexcites



### **Secondary interaction models**

• Available secondary interaction models

Bertini Cascade Model (BERT, SK official model)

Binary Cascade Model (BIC)

Liège Intranuclear Cascade Model (INCL++)

**→** Evaporation process is so different

BERT  $\rightarrow$  Continuous transitions till the end

 $BIC$ , INCL++  $\rightarrow$  Continuous to discrete transitions (more realistic)



#### **Comparison of secondary interaction models**

• Compared the following distributions in BERT, BIC, and INCL++ Cherenkov angle of prompt signal  $\leftarrow$  Number of gamma rays Energy of prompt signal  $\leftarrow$  Energy of gamma rays

Number of delayed signals  $\leftarrow$  Number of neutrons



#### **Results**

- Evaluated each distribution using chi-square
	- $\rightarrow$  Not conclusive due to small statistics
	- $\rightarrow \chi^2$  in BIC and INCL++ is smaller than that in BERT in all distributions
	- $\rightarrow$  Suggested that BIC and INCL++ reproduce data better than BERT



#### **Systematic uncertainty**

**Why systematic uncertainty of secondary interaction is large?**

- Compared various secondary interaction models for the first time
	- $\rightarrow$  Suggested that BIC and INCL++ reproduce data better than BERT
- Cannot determine which model is correct at this work
	- $\rightarrow$  The difference in the number of events is taken as the systematic uncertainty



#### **Systematic uncertainty**

- Number of events in Cherenkov angle of prompt signal  $(\theta_C) \in [78, 90]$  degrees
	- $\rightarrow$  BERT is ~2.2 $\sigma$  far from data at this work





### **Systematic uncertainty**

- SK continues to observe at 0.03% Gd mass concentration (SK-VII)
- Evaporation model can be determined at  $5\sigma$  by using ~4 years of data in SK-VII
	- $\rightarrow$  Systematic uncertainty of NCQE cross section can be reduced



#### **Summary**

- Performed NCQE cross section measurement using a 552.2 day dataset in SK-Gd experiment (Gd: 0.011%)
	- $\rightarrow$   $\rm{\langle\sigma_{N CQE}^{measured}\rangle} = 0.74 \pm 0.22(stat.) ^{+0.85}_{-0.15}(syst.) \times 10^{-38}$  cm<sup>2</sup>/oxygen
	- $\rightarrow \;$  Consistent with  $\left< \sigma_{\rm NCQE}^{\rm theory} \right> = 1.02 \times 10^{-38} \; {\rm cm^2/oxygen}$
- Compared several secondary interaction models for the first time
	- $\rightarrow$  Suggested that BIC and INCL++ reproduce data better than BERT
- Evaporation model can be determined at  $5\sigma$  by using ~4 years of data in SK-VII
	- $\rightarrow$  Systematic uncertainty of NCQE cross section can be reduced



# **Super-Kamiokande (SK)**

- Large water Cherenkov detector
- Started in 1996
- Consist of 50 kilotons ultrapure water and photomultiplier tubes (PMTs)



 $n$ : Refractive index  $\beta = v/c$ 

$$
n \sim 1.34
$$
  

$$
\beta \sim 1
$$
  

$$
\rightarrow \theta_{C} \sim 42 \text{ degrees}
$$



#### **Supernova neutrino observation**

• Supernova (SN)

Explosion caused by a star with more than 8 times the solar mass at the end of its life

• More than 99% of released energy is carried away by neutrinos



- Kamiokande, IMB, and Baksan observed neutrinos from SN1987A
	- $\rightarrow$  Next neutrino observation is expected
- Rare in the vicinity where SN neutrinos are observable
	- $\rightarrow$  SN neutrino observation is only SN1987A

# **Diffuse Supernova Neutrino Background**

• Diffuse Supernova Neutrino Background (DSNB)

Superposition of neutrinos emitted from all past SNe

- Floating in space like background radiation
- $\rightarrow$  Small in number, but always potentially observable



 $\sim$  3.2.3. September of the sum in flux from the sum of  $\sim$ Energy spectrum with time information



## **Diffuse Supernova Neutrino Background**

• Diffuse Supernova Neutrino Background (DSNB)

Superposition of neutrinos emitted from all past SNe

• DSNB flux

 $d\Phi(E_v)$  $\frac{d\Phi(E_{\nu})}{dE_{\nu}} = c \int_0^{Z_{\rm max}} \frac{dz}{H_0 \sqrt{\Omega_m (1 + \frac{1}{\mu})}}$  $\frac{dz}{H_0\sqrt{\Omega_m(1+z)^3+\Omega_\Lambda}}\Big[R_{\text{CCSN}}(z)\int_0^{Z_{\text{max}}}\psi_{\text{ZF}}(z,Z)\left\{\int_{M_{\text{min}}}^{M_{\text{max}}}\psi_{\text{IMF}}(M)\frac{dN(M,Z,E'_\nu)}{dE'_\nu}\right.$  $\frac{dE_v}{dE_v'} dM \frac{dZ}{dE_v'}$ 

- $\rightarrow$  Depend on SN rate, metallicity, initial mass function, Number of neutrinos generated by SN, etc. K. ABE et al. PHYS. REV. D 104, 122002 (2021)
- There is a range of one order of magnitude on theoretical predictions of DSNB flux
	- $\rightarrow$  DSNB observation would contribute to our understanding of SN mechanism and star formation



#### **Supernova rate**

- Lifetime of a massive star occurring supernova is short enough compared to the time scale of the evolution of the universe
	- $\rightarrow$  Star formation and supernova can be approximated as the same time
	- $\rightarrow$  Should be possible to predict the supernova rate from the star formation rate
- Rate obtained from optical observations is about half of that predicted from the star formation rate
	- $\rightarrow$  Dark supernovae?
		- Is there light-blocking material?
- Can understand the star formation rate, supernova rate, and supernova mechanism from DSNB energy spectra



#### **Thermal neutron capture cross sections**

**Table 1.** Relative abundances of gadolinium isotopes in natural gadolinium [20] and their radiative thermal neutron capture cross sections [1].



#### **Neutron capture rate**



### **Total energy of gamma rays**



#### **Neutron capture time constant**



### **DSNB search in SK experiment**

- Search for the inverse beta decay by electron antineutrinos  $(\bar{v}_e + p \rightarrow e^+ + n)$ 
	- Cross section is 1-2 orders of magnitude larger than others at  $<$  30 MeV
- Detect positron (prompt signal) and neutron (delayed signal) pairs
	- $\rightarrow$  Can remove backgrounds without neutrons
- So far, delayed signal was 2.2 MeV gamma ray by neutron capture on proton
	- $\rightarrow$  Neutron detection efficiency was low (~20%)





## **DSNB flux upper limits**



# **NCQE reaction**

- Neutral-current quasielastic scattering (NCQE) reaction
	- $\rightarrow$  Neutrino ( $(0(10^2) 0(10^4)$  MeV) knocks out a nucleon in a nucleus



## **NCQE reaction on oxygen**

- <sup>16</sup>O has three states  $(p_{1/2}, p_{3/2},$  and  $s_{1/2})$
- $p_{1/2}$  state is knocked out
	- $\rightarrow$  Residual nucleus: <sup>15</sup>N or <sup>15</sup>O (ground state)
	- $\rightarrow$  No particle is generated
- $p_{3/2}$  or  $s_{1/2}$  state is knocked out
	- $\rightarrow$  Residual nucleus: <sup>15</sup>N or <sup>15</sup>O (excited state)
	- $\rightarrow$  Gamma rays and nucleons are generated



## **NCQE cross section measurement in SK**

• Measured NCQE cross section using atmospheric neutrinos

 $\rightarrow$   $\rm{\left<\sigma_{NCQE}^{measured}\right> = 1.01 \pm 0.17(stat.) ^{+0.78}_{-0.30}(syst.) \times 10^{-38} \ cm^2/oxygen^*}$ 



- Only one secondary interaction model was available • Only one secondary interaction model was available FIG. 9. The gray histogram shows the atmospheric neutrino
- Delayed signal: 2.2 MeV gamma ray by neutron capture on proton • Delayed signal: 2.2 MeV gamma ray by neutron capture on proton  $\frac{1}{\sqrt{2}}$  is section.
- → Neutron detection efficiency was low (~20%)  $\rightarrow$  **Neutron detection emotency w**

\* L. Wan *et al*. (SK Collaboration), Phys. Rev. D **99**, 032005 (2019)  $\frac{1}{\sqrt{1-\frac{1$  $L$ . Wall et al. (SK Collaboration), Priys. Hev. D **99**, 0320

#### **NCQE cross section measurement in T2K** numbers is <sup>ð</sup>10<sup>−</sup><sup>38</sup> cm<sup>2</sup>=oxygenÞ<sup>2</sup>. στ στη Π<sub>α</sub>σ **10** ×1.5

• Measured NCQE cross section using accelerator neutrinos  $\rightarrow \langle \sigma_{\nu-\rm NCQE}^{\rm measured} \rangle = 1.70 \pm 0.17 ({\rm stat.})^{+0.51}_{-0.38} ({\rm syst.}) \times 10^{-38} ~{\rm cm^2/oxygen^*}$  $\rightarrow$   $\rm{\langle\sigma_{\rm{\bar{\nu}}-N CQE}^{\rm{measured}}\rangle=0.98\pm0.16(stat.)^{+0.26}_{-0.19}(syst.)\times10^{-38}~cm^2/oxygen^*}$ • Measured NCQE cross section using accelerator neutrinos  $\rightarrow$   $\sqrt{\nu_{\bar{v}}-NCQE}$  /  $\rightarrow$  0.70  $\pm$  0.10(Stat.  $J=0.19$ ist.  $j \times 10^{-38}$  cm<sup>2</sup>/oxygen  $\frac{1}{2}$ rst.)  $\times$   $10^{-38}$   $\, {\rm cm}^2/{\rm oxygen}^*$  [GeV] E<sup>ν</sup>  $\ddot{\phantom{0}}$  $0.5 \times 10^{-38}$   $21$   $*$ 



\* K. Abe *et al*. (T2K Collaboration), Phys. Rev. D **100**, 112009 (2019) <sup>\*</sup> K. Abe *et al*. (T2K Collaboration), Phys. Rev. D **100**, 112009 (2019)

#### **Backgrounds**

#### **Decays of radioactive isotopes by muon nuclear spallation (Spallation events)**

- Lithium-9 (<sup>9</sup>Li)
	- $\rightarrow$  High rate and long half-life



End-point energy [MeV]

**e or** !

**e** + **n**

اتا<sup>11</sup>،

## **Backgrounds**

#### **Atmospheric neutrino charged-current (CC) reactions (CC events)**

- Electron or muon (prompt signal) and neutron (delayed signal) are generated
- Muon energy is below Cherenkov threshold
	- $\rightarrow$  Decay electron is generated
	- $\rightarrow$  Electron-neutron pair



# **Backgrounds**

#### **Atmospheric neutrino NC single meson production**

- Similar to NCQE events
	- $\rightarrow$  Remains a lot even after event selection

#### **Reactor neutrinos (Reactor events)**

- IBD same as DSNB
- Low energy and little effect on this analysis

#### **Accidental events**

- Accidentally electron-neutron pair is formed
- Mostly nuclear spallation events without neutrons and neutron misidentification events





#### **Number of events**

- 38 events remained after event selection
- $N_{\text{NCQE}}^{\text{exp}}$  is different largely among secondary interaction models



#### **Systematic uncertainties**

- $N_{\text{spallation}}^{\text{exp}}$  $: 60.0\% \leftarrow$  From DSNB analysis
- $N_{\text{Reactor}}^{\text{exp}}$  $: 100.0\% \leftarrow$  From DSNB analysis
- $N_{\text{Accidental}}^{\text{exp}} = \epsilon_{\text{mis}} \times N_{\text{pre-ntag}}^{\text{obs}}$  : 4.6%  $\leftrightarrow$  From systematic uncertainty of  $\epsilon_{\text{mis}}$  and

statistical uncertainty of  $N_{\rm pre-ntag}^{\rm obs}(=5,447)$ 



## **Systematic error of NCQE cross section**

• Determine systematic uncertainty of

 $\sigma_\mathrm{NCQE}^\mathrm{measured} \rangle =$  $N^{\rm obs}$  –  $N^{\rm exp}_{\rm NC\,non-QE}$  –  $N^{\rm exp}_{\rm Others}$  $N_{\text{NCQE}}^{\text{exp}}$  $\frac{\text{on}-\text{QE}}{\text{exp}}$   $\propto$   $\left\langle \sigma^\text{theory}_\text{NCQE} \right\rangle$  using toy MC

- 1. Determine  $N_{\text{NCQE}}^{\text{exp}}, N_{\text{NC non}-\text{QE}}^{\text{exp}},$  and  $N_{\text{others}}^{\text{exp}}$  according to each uncertainty
- 2. Calculate  $\langle \sigma^\mathrm{measured}_\mathrm{N CQE}\rangle$  to plot
- 3. Repeat procedures above 1 million times
- 4. Range of  $1\sigma$  from

 $\langle \sigma_{\rm NCQE}^{\rm measured} \rangle = 0.74 \times 10^{-38}$   ${\rm cm^2/oxygen}$ 

is the systematic uncertainty

•  $\langle \sigma_{\text{NCQE}}^{\text{measured}} \rangle = 0.74 \pm 0.22 \text{(stat.)}^{+0.85}_{-0.15} \text{(syst.)}$ 



#### **Secondary interaction models**

- GEANT3-based SK detector simulation was used in previous study\*
- Geant4-based SK detector simulation was developed
	- $\rightarrow$  Can compare various secondary interaction models

Bertini Cascade Model (BERT, SK official model)

Binary Cascade Model (BIC)

Liège Intranuclear Cascade Model (INCL++)



\* L. Wan *et al*. (SK Collaboration), Phys. Rev. D **99**, 032005 (2019)

#### **Secondary interaction models**



#### **Differences**

- Reaction point with nucleons in the nucleus
	- BERT  $\rightarrow$  Determined using mean free path
	- BIC, INCL++  $\rightarrow$  Determined using closest approach distance



#### **Differences**

- Stopping time of intranuclear cascade process
	- BERT, BIC  $\rightarrow$  Stop when all (escapable) particles escape the nucleus

INCL++  $\rightarrow$  Forced to stop at the following time  $(t_{\text{stop}})$ 

$$
t_{\rm stop} = 70 \, \text{fm}/c \times \left(\frac{A}{208}\right)^{0.16}
$$

• Nuclear model (nucleon density)

BERT  $\rightarrow$  Change discretely with distance from center of the nucleus BIC, INCL++  $\rightarrow$  Change smoothly with distance from center of the nucleus

• Condition for termination of the evaporation process

BERT  $\rightarrow$  End when excitation energy falls below  $10^{-15}$  MeV

 $BIC$ , INCL++  $\rightarrow$  End after continuous and discrete transitions

# **Number of gamma rays**

- In BERT, the number of gamma rays per neutron inelastic scattering is large
- BIC and INCL++ show similar tendency





# **Number of gamma rays**

- In BERT, the number of gamma rays by neutron inelastic scattering per event is large
- BIC and INCL++ show similar tendency





# **Energy of gamma rays**

- In BERT, there are many continuous components in addition to peaks of deexcitation gamma rays
- BIC and INCL++ show similar tendency





## **Number of neutron captures**

- In BERT, the number of neutron captures per event is large
- BIC and INCL++ show similar tendency





# **Cherenkov angle of prompt signal**

- What is the peak around 60 degrees?
	- $\rightarrow$  No problem in reconstructed vertex and other variables
	- $\rightarrow$  Concluded that it was a statistical fluctuation



#### **Reconstructed vertex**

• Events are uniformly distributed





• Calculate  $\chi^2$  using Poisson likelihood

$$
\chi^{2} = 2 \sum_{i=1}^{\text{bin}} \left( N^{\text{exp}, i} - N^{\text{obs}, i} + N^{\text{obs}, i} \ln \frac{N^{\text{obs}, i}}{N^{\text{exp}, i}} \right)
$$

- $N<sup>obs</sup>$ : The observed number of events
- $N^{\text{exp}}$  : The expected number of events
- $\rightarrow$  Not conclusive due to small statistics
- $\rightarrow \chi^2$  in BIC and INCL++ is smaller than that in BERT in all distributions



# $\chi^2/ndf$

• p-value is larger (model is closer to data) as  $\chi^2$ /ndf is smaller



**Figure 40.2:** The 'reduced'  $\chi^2$ , equal to  $\chi^2/n$ , for *n* degrees of freedom. The curves show as a function of *n* the  $\chi^2/n$  that corresponds to a given *p*-value.

#### **Statistics**

- SK continues to observe at 0.03% Gd mass concentration (SK-VII)
- Neutron tagging efficiency improved from 35.6% (Gd: 0.011%, SK-VI) to 63.0% (Gd: 0.03%, SK-VII)
	- $\rightarrow$  Statistics increases by about 1.4 times with the same live time



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