

位相欠陥周りのゼロモードの再考察

Revisiting the Role of Zero Modes around Topological Solitons

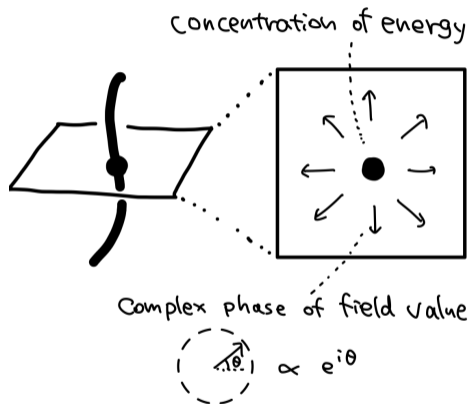
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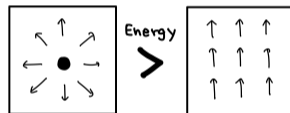
What is “Topological Soliton”?

Example: **(Cosmic) String**



(String made of complex scalar with U(1) symmetry)

- **Stationary point** of the energy (or the action).
- Not a global minimum \rightarrow Unstable?

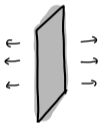


- Stable due to “**topological stability**”:
Winding of the field around the string
cannot be deformed continuously.

Variety of Topological Solitons

Topological solitons with various dimensionality exist.

- **Domain wall**



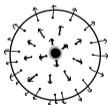
space **2**-dim + time **1**-dim

- **String**



space **1**-dim + time **1**-dim

- **Monopole**



space **0**-dim + time **1**-dim

- **Instanton**

space **0**-dim + time **0**-dim

Zero Modes around Solitons

Zero mode: Field oscillation $\phi^{(0)}$ which costs no energy (or action).

$$E[\phi + (\text{const}) \times \phi^{(0)}] = E[\phi]$$

(ϕ : soliton configuration, $\phi^{(0)}$: zero mode)

Localized (decreasing at far away from the soliton) zero modes are important.

(No such mode around trivial vacuum because their spatial dependence costs energy.)

- Boson localized zero modes:

Transformation of the soliton which cost no energy (translation, rotation, ...).

- Fermion localized zero modes:

There often exist zero modes of fermions interacting with the soliton.

$$E[\phi, \psi \propto \psi^{(0)}] = E[\phi, \psi = 0]$$

Impact of Fermion Zero Modes

- Fermion zero modes prevent instanton effect:

Path integral around the soliton vanishes due to fermion zero modes.

$$Z \propto \int \mathcal{D}\psi e^{-E[\psi]} \propto \int d(\text{zero mode}) \times (\text{const}) = 0.$$

Instanton effects on physics vanishes when fermion zero modes are present.

- Superconduction along string:

Fermion zero modes localized in (x, y) + String direction z + Time t

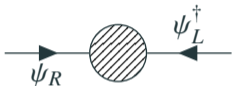
→ Massless fermion along the string.

Superconducting string

Fermion Zero Modes around Instantons

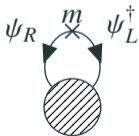
Effects of fermion zero modes are captured by fermion lines emanating from instanton.

- Case 1: $SU(N)$ gauge theory with a **massless** N -plet Dirac fermion $\Psi = (\psi_L, \psi_R)$.



There exist fermion zero modes.

- Case 2: $SU(N)$ gauge theory with a **massive** N -plet Dirac fermion $\Psi = (\psi_L, \psi_R)$.



Due to the mass, zero modes in Case-1 are not zero modes now.

$$E[A, \Psi \propto \Psi^{(0)}] \neq E[A]$$

(A : instanton configuration of gauge field,
 $\Psi^{(0)}$: zero mode in massless case)

Our Work

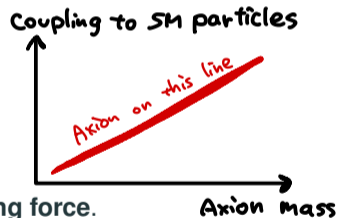
with M. Ibe, S. Shirai and K. Watanabe

Strong CP Problem and Axion

Strong CP Problem

One of free parameters of Standard Model, θ_{QCD} , is **very near to a special point of the theory**. (Strong force has CP symmetry when $\theta_{\text{QCD}} = 0$. Why $|\theta_{\text{QCD}}| \lesssim 10^{-10}$?)

- Axion: attractive solution to the strong CP problem.
- Axion mass is **predicted in a model-independent way**.
 1. Axion mass is dynamically generated (\supset instantons).
 2. To align $\theta_{\text{QCD}} \rightarrow 0$, axion dynamics is **dominated by strong force**.
 3. Mass is basically **irrelevant to small-scale physics**.



Axion Mass Enhancement by Small-scale Physics?

- Axion mass is **enhanced by instantons in hidden gauge sector** in a toy model.

[P. Agrawal and K. Howe (2017), C. Csáki, M. Ruhdorfer and Y. Shirman (2020)]

Q. Axion mass enhancement in theoretically “nice” models?

→ Impact on search strategy and relaxation of theoretical subtlety.

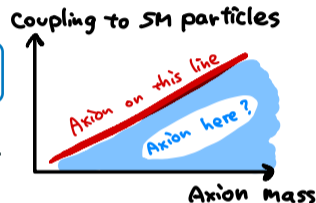
Our target: A model by M. Redi and R. Sato (2016)

The model is partly **similar** to the toy model of axion mass enhancement.

→ Naively, axion mass enhancement.

Result: **NO axion mass enhancement** due to a symmetry arising simultaneously when solving the strong CP problem in a “theoretically-nice” ways.

(**Fermion zero modes** from instantons cannot be closed due to the symmetry!)



Conclusions

- Topological solitons are “**topologically stable**.”
→ Domain walls, strings, monopoles, instantons, . . .
- There exist **boson / fermion zero modes** around solitons.
- Fermion zero modes have drastic impacts.
→ Superconductivity along string, Suppression of instanton effects, . . .
- Application: We have found that **axion mass is not enhanced** in a theoretically “nice” model which looks similar to **the toy model in which axion mass is enhanced**.

BACKUP

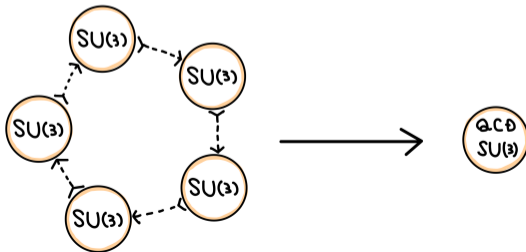
Impact of Hidden Dynamics

Axion Mass Enhancement?: → Search strategy. Relaxing a theoretical subtlety.

Product group model: Gauge $[SU(3)]^{n_s}$ symmetry with n_s axions (by hand).

$$\mathcal{L} = \sum_{i=1}^{n_s} \left[-\frac{1}{4} F_i F_i + \left(\theta_i + \frac{a_i}{f_i} \right) \frac{g_i^2}{32\pi^2} F_i \tilde{F}_i \right] + (\text{scalars for symmetry breaking})$$

Bi-fundamental scalars break gauge symmetry: $[SU(3)]^{n_s} \rightarrow SU(3)_{\text{QCD}}$



Axion Mass Enhancement?

1. Small instanton effects from each $SU(3)_i$: $\Delta V(a_i) \propto -v^4 \exp\left(-\frac{8\pi^2}{g_i^2(v)}\right) \cos\left(\frac{a_i}{f_i}\right)$
2. Matching of couplings: $\frac{1}{g_{\text{QCD}}^2(v)} = \frac{1}{g_1^2(v)} + \dots + \frac{1}{g_{n_s}^2(v)}$

Axion mass enhancement by large couplings of $SU(3)_i$.

[Agrawal & Howe (2017), Csaki et al (2020)]

The Simplest Composite Axion Model

An Example: The Simplest Composite Axion Model [Choi & Kim (1985)]

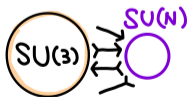
New fermions

	SU(N)	SU(3) _{QCD}
ψ_1	N	$\bar{3}$
ψ'_1	N	1
ψ_2	\bar{N}	3
ψ'_2	\bar{N}	1

Maximal flavor symmetry for (3+1)-pairs of (N, \bar{N}):

(in vanishing coupling limit of SU(3)_{QCD})

$$\begin{array}{c} \text{U}(4)_N \times \text{U}(4)_{\bar{N}} = \text{SU}(4)_V \times \text{U}(1)_V \times \text{SU}(4)_A \times \text{U}(1)_A \\ \quad \cup \quad \quad \quad \cup \\ \text{SU}(3)_{\text{QCD}} \quad \quad \text{U}(1)_{\text{PQ}} \end{array}$$



PQ-breaking scale = SU(N) dynamical scale

“Dimensional transmutation”

The Simplest Composite Axion Model

An Example: The Simplest Composite Axion Model [Choi & Kim (1985)]

New fermions

	SU(N)	SU(3) _{QCD}
ψ_1	N	$\bar{3}$
ψ'_1	N	1
ψ_2	\bar{N}	3
ψ'_2	\bar{N}	1

More on Symmetries

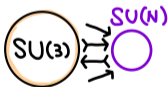
$$\begin{array}{ccc}
 \mathbf{U(4)}_N \times \mathbf{U(4)}_{\bar{N}} & = & \mathbf{SU(4)}_V \times \mathbf{U(1)}_V \times \mathbf{SU(4)}_A \times \mathbf{U(1)}_A \\
 & \cup & \cup \\
 & \mathbf{SU(3)}_{\text{QCD}} & \mathbf{U(1)}_{\text{PQ}}
 \end{array}$$

- $\mathbf{U(1)}_A$ is $\mathbf{SU(N)}$ -anomalous, nullifying $\mathbf{SU(N)}$ θ -angle.
- Spontaneously broken $\mathbf{SU(4)}_A$ yields 15 would-be Nambu-Goldstone bosons.

QCD colors: $\mathbf{15} = \mathbf{8} \oplus \mathbf{3} \oplus \bar{\mathbf{3}} \oplus \mathbf{1}$

The Simple Model

	$SU(N)$	$SU(3)_{\text{QCD}}$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$
ψ'_1	\mathbf{N}	$\mathbf{1}$
ψ_2	$\bar{\mathbf{N}}$	$\mathbf{3}$
ψ'_2	$\bar{\mathbf{N}}$	$\mathbf{1}$

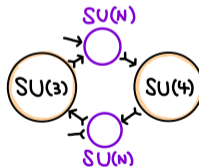


$U(1)_{\text{PQ}}$ is imposed **by hand**.

A Model Addressing the Quality Problem

[M. Redi & R. Sato (2016)]

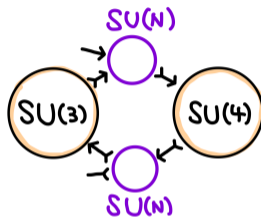
	$SU(N)_{\text{ST}_2}$	$SU(3)_{\text{W}}$	$SU(N)_{\text{ST}_1}$	$SU(4)_{\text{W}}$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$		
ψ'_1	\mathbf{N}	$\mathbf{1}$		
ψ_2		$\mathbf{3}$	$\bar{\mathbf{N}}$	
ψ'_2		$\mathbf{1}$	$\bar{\mathbf{N}}$	
ψ_3			\mathbf{N}	$\bar{\mathbf{4}}$
ψ_4	$\bar{\mathbf{N}}$			$\mathbf{4}$



$U(1)_{\text{PQ}}$ is **accidental**.

Model – Symmetries

	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$		
ψ'_1	\mathbf{N}	$\mathbf{1}$		
ψ_2		$\mathbf{3}$	$\bar{\mathbf{N}}$	
ψ'_2		$\mathbf{1}$	$\bar{\mathbf{N}}$	
ψ_3			\mathbf{N}	$\bar{\mathbf{4}}$
ψ_4	$\bar{\mathbf{N}}$			$\mathbf{4}$



Flavor symmetry of $SU(N)_{ST_1} \times SU(N)_{ST_2}$ dynamics:

$$U(4)_1^N \times U(4)_1^{\bar{N}} \times U(4)_2^N \times U(4)_2^{\bar{N}} \supset SU(3)_W \times SU(4)_W \times [U(1)]^6$$

1. Two $U(1)$'s are anomalous w.r.t. $SU(N)_{ST_1} \times SU(N)_{ST_2}$, aligning their θ angles.
2. The other $[U(1)]^4$: $U(1)_{PQ}^{(SSB)} \times U(1)_1 \times [U(1)]^2$ (:anomalous)

Model – Spontaneous Breaking

Fermion Condensations

$SU(3)_W, SU(4)_W$ couplings $\rightarrow 0$.

Then, $[SU(N)_{ST}]^2$: independent vector-like theories.

$$U(4)_1^N \times U(4)_1^{\bar{N}} \rightarrow [U(4) \text{ subgroup}]$$

$$U(4)_2^N \times U(4)_2^{\bar{N}} \rightarrow [U(4) \text{ subgroup}]$$

	$SU(N)_{ST2}$	$SU(3)_W$	$SU(N)_{ST1}$	$SU(4)_W$	$U(1)_{PQ}$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$			1
ψ'_1	\mathbf{N}	$\mathbf{1}$			-3
ψ_2		$\mathbf{3}$	$\bar{\mathbf{N}}$		1
ψ'_2		$\mathbf{1}$	$\bar{\mathbf{N}}$		-3
ψ_3			\mathbf{N}	$\bar{\mathbf{4}}$	0
ψ_4				$\mathbf{4}$	0

Gauge symmetries: $SU(3)_W \times SU(4)_W \rightarrow SU(3)_{QCD}$: diagonal subgroup

Global symmetries: Broken $[U(4)]^2 = [U(1)]^2 \times [SU(4)]^2 \supset U(1)_{PQ}$

$([SU(N)_{ST}]^2\text{-anomalous } [U(1)]^2)$

+ (15 massive gauge bosons)

$$+ (\mathbf{15} = \mathbf{8} \oplus \mathbf{3} \oplus \bar{\mathbf{3}} \oplus \mathbf{1})$$

Model – Symmetries

Accidental $[U(1)]^4$ Global Symmetry

$$U(4)_1^N \times U(4)_1^{\bar{N}} \times U(4)_2^N \times U(4)_2^{\bar{N}} \supset SU(3)_W \times SU(4)_W \times U(1)_{PQ} \times U(1)_1 \times U(1)_2 \times U(1)_3$$

(anomalous)

	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$	$U(1)_{PQ}^{(SSB)}$	$U(1)_1$	$U(1)_2$	$U(1)_3$
ψ_1	N	$\bar{3}$			1	1	1	1
ψ'_1	N	1			-3	1	-3	1
ψ_2		3	\bar{N}		1	1	-1	-1
ψ'_2		1	\bar{N}		-3	1	3	-1
ψ_3			N	$\bar{4}$	0	-1	0	1
ψ_4	\bar{N}			4	0	-1	0	-1

Two θ -angles of $SU(3)_W$ and $SU(4)_W \leftarrow$ “nullified” by $U(1)_{PQ}$ and $U(1)_1$.

Axion Mass Enhancement?

Hidden instantons:

$SU(3)_{\text{W}}$ and $SU(4)_{\text{W}}$ hidden small instantons:

$$\propto \Lambda^4 \exp\left(-\frac{8\pi^2}{g_{SU(3)_{\text{W}}}^2(\Lambda)}\right) \quad \text{and} \quad \propto \Lambda^4 \exp\left(-\frac{8\pi^2}{g_{SU(4)_{\text{W}}}^2(\Lambda)}\right).$$

PQ symmetry: anomalous with respect to $SU(3)_{\text{W}}$

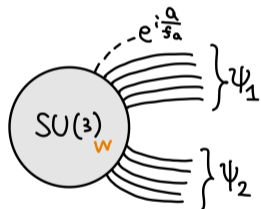
→ Hidden (small) instantons: milder suppression **with coupling stronger than QCD**. $\left(\frac{1}{g_{\text{QCD}}^2(\Lambda)} = \frac{1}{g_{SU(3)_{\text{W}}}^2(\Lambda)} + \frac{1}{g_{SU(4)_{\text{W}}}^2(\Lambda)} \text{ permits } g_{SU(3)_{\text{W}}}(\Lambda) \gg g_{\text{QCD}}(\Lambda)\right)$

Question: Axion mass enhancement by $SU(3)_{\text{W}}$ hidden instanton?

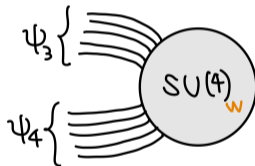
Axion Mass Enhancement?

't Hooft Vertex: [’t Hooft (1976)]

Effect of fermion zero modes around instantons are captured by “’t Hooft vertex”



(1, 0) instanton



(0, 1) instanton

	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$		
ψ'_1	\mathbf{N}	$\mathbf{1}$		
ψ_2		$\mathbf{3}$	$\bar{\mathbf{N}}$	
ψ'_2		$\mathbf{1}$	$\bar{\mathbf{N}}$	
ψ_3			\mathbf{N}	$\bar{\mathbf{4}}$
ψ_4	$\bar{\mathbf{N}}$			$\mathbf{4}$

Axion Mass Enhancement?

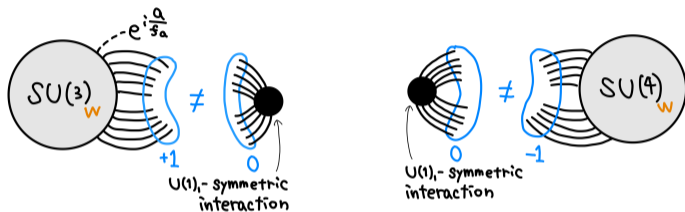
$U(1)_1$ charge of fermions around $(1, 0)$ or $(0, 1)$ Instantons:



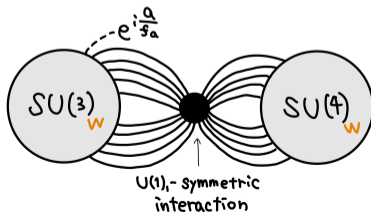
	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$	$U(1)_{PQ}^{(SSB)}$	$U(1)_1$	$U(1)_2$	$U(1)_3$
ψ_1	\mathbf{N}	$\bar{\mathbf{3}}$			1	$+1$	1	1
ψ'_1	\mathbf{N}	$\mathbf{1}$			-3	$+1$	-3	1
ψ_2		$\mathbf{3}$	$\bar{\mathbf{N}}$		1	$+1$	-1	-1
ψ'_2		$\mathbf{1}$	$\bar{\mathbf{N}}$		-3	$+1$	3	-1
ψ_3			\mathbf{N}	$\bar{\mathbf{4}}$	0	-1	0	1
ψ_4	$\bar{\mathbf{N}}$			$\mathbf{4}$	0	-1	0	-1

Axion Mass Enhancement?

Fermion legs cannot be closed around a single $(1, 0)$ or $(0, 1)$ instanton,



while their pair with $U(1)_1$ -symmetric interactions generated by strong dynamics.



No Axion Mass Enhancement!

Small instanton effects are always from “pairs”

A pair of (1, 0) and (0, 1) instanton:

$$\begin{aligned} \exp\left(-\frac{8\pi^2}{g_{\text{SU}(3)\text{w}}^2(\Lambda)}\right) \times \exp\left(-\frac{8\pi^2}{g_{\text{SU}(4)\text{w}}^2(\Lambda)}\right) &= \exp\left(-8\pi^2\left[\frac{1}{g_{\text{SU}(3)\text{w}}^2(\Lambda)} + \frac{1}{g_{\text{SU}(4)\text{w}}^2(\Lambda)}\right]\right) \\ &= \exp\left(-\frac{8\pi^2}{g_{\text{QCD}}^2(\Lambda)}\right) \end{aligned}$$

- Matching of couplings: $\frac{1}{g_{\text{QCD}}^2(\Lambda)} = \frac{1}{g_{\text{SU}(3)\text{w}}^2(\Lambda)} + \frac{1}{g_{\text{SU}(4)\text{w}}^2(\Lambda)}$

→ **No axion mass enhancement by large coupling of $\text{SU}(3)\text{w}$.**

(Even when $g_{\text{SU}(3)\text{w}} \gg g_{\text{QCD}}$, effects are accompanied by $g_{\text{SU}(4)\text{w}} \sim g_{\text{QCD}}$ suppression.)

No Axion Mass Enhancement!: Comment on Axion Quality

Lowest-Dimensional PQ-Breaking Operator

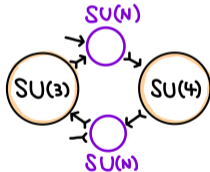
PQ-breaking, dimension-6 operator:

$$\psi_1 \psi_2 \psi_3 \psi_4$$

Not enough to avoid **quality problem**.

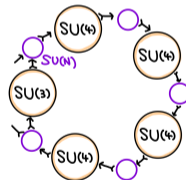
	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$
ψ_1	N	3		
ψ'_1	N	1		
ψ_2		3	\bar{N}	
ψ'_2		1	\bar{N}	
ψ_3			N	$\bar{4}$
ψ_4	\bar{N}			4

→ In Redi and Sato model, **improvement of axion quality** is easily possible.



$$n_s = 2$$

PQ breaking dimension: $4 + d \geq 6$



$$n_s = 5$$

PQ breaking dimension: $4 + d \geq 15$

No axion mass enhancement also for $n_s \geq 3$.

Quality Problem and Larger Model

Lowest-Dimensional PQ-Breaking Operator

PQ-breaking, dimension-6 operator:

$$\psi_1 \psi_2 \psi_3 \psi_4$$

Not enough to avoid **quality problem**.

	$SU(N)_{ST_2}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_W$
ψ_1	N	$\bar{3}$		
ψ'_1	N	1		
ψ_2		3	\bar{N}	
ψ'_2		1	\bar{N}	
ψ_3			N	$\bar{4}$
ψ_4	\bar{N}			4

In larger model with

$$[SU(N)_{ST}]^{n_s} \times SU(3)_W \times [SU(4)_W]^{n_s-1}$$

symmetry, dimension- $3n_s$ is the lowest-dimension PQ breaking.

$$(n_s = 3 \rightarrow)$$

	$SU(N)_{ST_3}$	$SU(3)_W$	$SU(N)_{ST_1}$	$SU(4)_{W_1}$	$SU(N)_{ST_2}$	$SU(4)_{W_2}$
ψ_1	N	$\bar{3}$				
ψ'_1	N	1				
ψ_2		3	\bar{N}			
ψ'_2		1	\bar{N}			
ψ_3			N	$\bar{4}$		
ψ_4				4	\bar{N}	
ψ_5					N	$\bar{4}$
ψ_6	\bar{N}					4

We find **no enhancement** similarly in larger models with $n_s \geq 3$

Larger Model ($n_s = 3$)

	$SU(N)_{ST3}$	$SU(3)_W$	$SU(N)_{ST1}$	$SU(4)_{W1}$	$SU(N)_{ST2}$	$SU(4)_{W2}$
ψ_1	N	$\bar{3}$				
ψ'_1	N	1				
ψ_2		3	\bar{N}			
ψ'_2		1	\bar{N}			
ψ_3			N	$\bar{4}$		
ψ_4				4	\bar{N}	
ψ_5					N	$\bar{4}$
ψ_6	\bar{N}					4

	$U(1)_{PQ}^{(SSB)}$	$U(1)_1$	$U(1)_2$	$U(1)_3$	$U(1)_4$
ψ_1	1	1	1	1	0
ψ'_1	-3	1	-3	1	0
ψ_2	1	1	-1	-1	0
ψ'_2	-3	1	3	-1	0
ψ_3	0	-1	0	1	0
ψ_4	0	0	0	-1	-1
ψ_5	0	0	0	1	1
ψ_6	0	-1	0	-1	0

- Only $U(1)_{PQ}$ is spontaneously broken, also for larger n .
- Additional $n - 2$ anomalous (and unbroken) $U(1)$ s, cancelling the additional θ angles.

Axion Mass Enhancement?

Another Explanation: Directly from symmetry, without relying on 't Hooft vertex.

Vacuum amplitude with fixed axion field value a and background $SU(3)_W$ and $SU(4)_W$ gauge field:

$$W(a)|_{m,n} = \int \prod \mathcal{D}A_{ST} \mathcal{D}\psi^\dagger \mathcal{D}\psi e^{-S[\psi, A_{ST}, a]}$$

- m, n are winding number for each sectors.
- The amplitude = contribution to the axion potential.

We can redefine (rename) the fermions in path integral by $U(1)_1$ rotation $e^{i\alpha}$.

→ $W(a)|_{m,n}$ changes its phase by anomaly, without shifting the axion a .

$$W(a)|_{m,n} = \exp[2i\alpha(m - n)] W(a)|_{m,n}.$$

The amplitude (effects on the axion potential) vanishes, unless $m = n$