The 11th Supernova Neutrino Workshop / 第11回 超新星ニュー Komaba Campus, The University of Tokyo March 3rd / 4th

Dissecting Diffuse Supernova Neutrino Background Flux over Wide Energy Range in Upcoming Era 次世代検出器における広いエネルギー範囲での 超新星背景ニュートリノフラックス解析に向けた研究



ASHIDA, Yosuke / 芦田 洋輔 Tohoku University / 東北大学



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を始めてます。

ASHIDA, Yosuke / 芦田 洋輔 Tohoku University / 東北大学





. 68% HESE (2021







Achievements in 新学術 & 学術変革

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Exploring the Fate of Stellar Core Collapse with Supernova Relic Neutrinos

2022

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Particularly focused on **BH-forming CCSNe** and **chemical evolution of galaxy**





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In collaboration with K. Nakazato (Kyushu), T. Tsujimoto (NAOJ), and R. Akaho (Waseda)

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Diffuse Neutrino Flux Based on the Rates of Core-collapse Supernovae and Black Hole Formation Deduced from a Novel Galactic Chemical Evolution Model

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Impacts of Black-hole-forming Supernova Explosions on the Diffuse Neutrino Background

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2024







[1] Dependence on Fate of Core Collapse

- Emitted v spectrum is expected to depend on the remnant after core collapse ("fate").
 - Information about the fate are accessible by other observations (pulser, failed SN monitoring, GW etc).
- Consider three major cases as a fate and calculate DSNB flux for each.
 - Canonical mass neutron stars (~1.4*M*_{sun})
 - High mass neutron stars (~1.7*M*_{sun})
 - Black holes (failed SNe)





[1] Experimental Constraint

- Emitted v spectrum is expected to depend on the remnant after core collapse ("*fate*").
 - Information about the fate are accessible by other observations (pulser, failed SN monitoring, GW etc).
- - Black holes (failed SNe)



[2] CCSN Mass Limit

- We set the maximum mass of progenitors for successful explosions to 18M_{sun}.
 - Observationally, $m_{min} \sim 8M_{sun}$ and $m_{max} \sim 18M_{sun}$ are supported.
 - There is a theoretical work that implies failed SNe above $\sim 20 M_{sun}$.
- - Many galactic chemical evolution schemes adopt a high m_{max} (50~100 M_{sun}). Our $m_{max} = 18 M_{sun}$ assumption reduces the number of CCSNe to ~70%. \bullet
 - Accordingly, the total amount of heavy elements is reduced to ~50%. \bullet







[2] Variable IMF

- We categorize galaxies into five and assume different initial mass functions (IMF) depending types.



In order to achieve consistency with observed chemical abundance, we propose a new evolution model.

The fraction for BH formation from this model is 33~42% (higher rate than many other DSNB models).





[2] Resulting DSNB Flux

- Our model shows DSNB flux enhancements at high and low energies.
- High energy (>30 MeV): Large contribution from BH formation.
- Low energy (<10 MeV): Redshifted neutrinos from early-type galaxies with large CCSN rates.</p>









[3] Fallback-origin Neutrinos

Utilizing a steady-state neutrino emission from fallback onto a PNS, three components are considered;

- 1. Core collapse of a massive star
- Cooling of a $M_g = 1.98 M_{sun}$ PNS (corresponds to $M_b = 2.35 M_{sun}$) 2.
- 3. Fallback accretion of a total 0.35 M_{sun} (reaching maximum NS mass in Togashi EOS, $M_b = 2.70 M_{sun}$)

Fallback-induced neutrinos have higher energies.







[3] Impact on DSNB Flux

- Fallback case shows larger flux than prompt BH formation case.
- NH case observes a larger impact due to electron-type neutrinos.
- $f_{BHSN} = 0.5$ case is already disfavored by the current limits.



fallback: 175 sec $(0.002M_{sun} s^{-1})$ prompt: O(1) sec (~failed)







Take Away from Theory Side

- Many models have been proposed for recent years, including ours.
- Some models show a characteristic flux shape, such as an enhancement at low or high energies.
- Those enhancements should reflect important astrophysical / astronomical assumptions.
 - Black hole formation
 - Variable IMF
 - etc



Important to measure the DSNB flux over the wide energy range!



Experimental Search

- Signal = inverse beta decay (IBD), $\overline{v}_e + p \rightarrow e^+ + n$ (largest cross section)
 - e⁺ = "*prompt*" signal (main signal range: 10~30 MeV)
 - n = "delayed" signal via y-ray(s) from thermal capture on hydrogen or gadolinium

Many types of backgrounds mimicking this signature.

- Atmospheric neutrinos
- Radioactive isotopes produced by atmospheric muons
- Solar neutrinos
- Reactor neutrinos







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Background





Telescopes in Upcoming Decade









FV ~17 kton ntag eff >90%

FV ~189 kton ntag eff ? (ref. ~20% at SK pure water)









Pros & Cons

Super-K, KamLAND

Stably running for a long time (\sim large statistics data are already there) No big statistical jump expected until its end

JUNO

High ntag efficiency hence a lower energy threshold (~10 MeV) Potentially fewer spallation background due to the more limited chain than oxygen Well-measured neutrino cross sections on carbon Smaller statistics in the next generation (realistic reach up to ~30 MeV)

Hyper-K

Overwhelmingly larger statistics to be achieved Lower ntag efficiency Huge amount of muon spallation background due to a shallow overburden (hard at <~24 MeV)



Take Away from Experiment Side

- 10~24 MeV at Super-K, KamLAND, JUNO; >~24 MeV at Hyper-K (some efforts can improve this!)
- background systematics.





A New Tool: CARNE

- Now developing a new package to calculate sensitivity of an experiment to a certain model.
 - CARNE: Code for Analyzing Relic NEutrinos
 - *For theorists*: a tool for testing their own models
 - For experimentalists: basis for the future collaboration among experiments
- Strategy & Goals
 - Statistical model: an extended maximum unbinned likelihood method
 - Basic scheme to be provided based on released signal & background PDFs from experiments \bullet







A New Tool: CARNE

- Now developing a new package to calculate sensitivity of an experiment to a certain model.
 - CARNE: Code for Analyzing Relic NEutrinos
 - For theorists: a tool for testing their own models
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Summary

- **DSNB** will be an important probe of astrophysics in the upcoming decade.
- Model assumptions can be reflected as a characteristic flux shape in the wide energy range.
- To maximize the experimental data, a combined analysis will be needed, bridging low and high energies.
- We started a new code development, CARNE, to achieve these demands, planning a release on GitHub.

ads: another "multi" energy neutrino study

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Towards Multi Energy Neutrino Astronomy: Diagnosing Enhanced Circumstellar Material around Stripped-Envelope Supernovae

accepted by ApJ



Supplements

Neutrino Emission in Collapse Process

accretion phase

- Both **NS** and **BH** cases
- Longer accretion for BH case
- Mainly v_e and anti-v_e
- Higher energy



cooling phase

- Only **NS** case ullet
- Large integrated flux
- All flavors
- Lower energy





Diffuse Supernova Neutrino Background

The accumulated flux of neutrinos from all past core collapses over the cosmic history

= Diffuse Supernova Neutrino Background (DSNB)

- Many factors affecting DSNB (SFR, nuclear EOS, BH formation, neutrino oscillation, etc).
- Experimental detection is challenging because of small flux & huge backgrounds.

$\Phi = \int [v \text{ emission}] \otimes [\text{Star formation}] \otimes [\text{Universe expansion}]$





DSNB Flux Predictions

Overall scale by CCSN rate, etc

Most theoretical predictions exist within ~1 order of magnitude at 10~30 MeV.

Not experimentally discovered so far... (best limits by Super-Kamiokande)





Mass Hierarchy Impact

$$\begin{aligned} \frac{dN_{\bar{\nu}_e}}{dE_{\nu}} &= |U_{e1}|^2 \frac{dN_{\bar{\nu}_1}}{dE_{\nu}} + |U_{e2}|^2 \frac{dN_{\bar{\nu}_2}}{dE_{\nu}} + |U_{e3}|^2 \frac{dN_{\bar{\nu}_3}}{dE_{\nu}} \\ &= \cos^2 \theta_{12} \cos^2 \theta_{13} \frac{dN_{\bar{\nu}_1}}{dE_{\nu}} + \sin^2 \theta_{12} \cos^2 \theta_{13} \frac{dN_{\bar{\nu}_2}}{dE_{\nu}} + \sin^2 \theta_{13} \frac{dN_{\bar{\nu}_3}}{dE_{\nu}} \\ &\sim 0.68 \cdot \frac{dN_{\bar{\nu}_1}}{dE_{\nu}} + 0.30 \cdot \frac{dN_{\bar{\nu}_2}}{dE_{\nu}} + 0.02 \cdot \frac{dN_{\bar{\nu}_3}}{dE_{\nu}}, \end{aligned}$$

$$\label{eq:linear} \stackrel{}{\longrightarrow} \ \frac{dN_{\bar{\nu}_e}}{dE_{\nu}} \sim \frac{dN_{\bar{\nu}_x}^0}{dE_{\nu}}. \quad \mbox{Inverted: NuMu+NuTau}$$



Contributions from Different Redshifts



Figure 10. Total fluxes of SRNs (solid) and contributions from various redshift ranges for the reference model. The lines except for the solid line correspond, from top to bottom, to the redshift ranges 0 < z < 1, 1 < z < 2, 2 < z < 3, 3 < z < 4, and 4 < z < 5, for $E_{\nu} > 10$ MeV. The left and right panels show the cases for normal and inverted mass hierarchies, respectively.

K. Nakazato et al., ApJ 804, 75 (2015)





Nuclear EOS Impact (BH Formation Case)



correspond to u_{ℓ} , \bar{u}_{ℓ} , and u_{k} ($=u_{\mu} = \bar{u}_{\mu} = u_{\tau} = \bar{u}_{\tau}$), respectively.

Shen is stiffer than LS (maximum mass of neutron stars is higher).

Figure 6. Neutrino number spectra for black hole formation with $30M_{\odot}$, Z = 0.004 and Shen EOS (solid) and LS EOS (dotted). The left, central, and right panels

K. Nakazato et al., ApJ 804, 75 (2015)







Failed SN & Electron-Capture SN Contributions



ECSNe = electron-capture supernovae (marginal one around mass threshold, w/ ONeMg core)

D. Kresse et al., ApJ 909, 169 (2021)



Ref: Observed Neutron Star Mass

- Natural born heavy, or gained mass through accretion from companion stars. •



Neutron mass distribution from optical observations of the binary system shows a peak and higher tail.



Ref: Failed SN Fraction

- Monitoring luminous stars gave constraints on a failed SN fraction. •
- 2 failed SN candidates (N6946-BH1, M101-OC1) out of 8 SNe.
 - Failed SN fraction ~ 4–39% (90% C.L.), assuming $N_{\text{FSN}} = 1$ and $N_{\text{SN}} = 8$.



Table 5. Failed supernova/core-collapse fraction

er limit	Median	Upper limit
.079	0.236	0.470
.037	0.162	0.394
_	_	0.226

Notes: Limits are presented at the 90 per cent confidence level.

C. M. Basinger et al., arXiv:2007.15658







Ref: Nuclear Equation-of-State Impact

- In the NS case, neutrino emission amount depends on *radius* of proto-NS.
- In the BH case, neutrino emission amount depends on *maximum mass* of proto-NS.



 $[M_{\odot}]$

 $M_{\mathrm{grav}}^{\mathrm{max}}$







Mixture of Three Fluxes

- to form a DSNB flux.



(*Example*) Integrated DSNB flux for a certain energy range for different {**f**нNs, **f**вн} combinations





Experimental Sensitivity (2σ C.L.)



Detectable above lines



Nuclear EOS Dependence







Hyper-K Sensitivity





Event Rate

- Event rate spectrum at a water volume is calculated with IBD cross section.
- SK-Gd w/ 70% ntag efficiency over 10yr = 15~18 signals
- Hyper-K w/ Super-K ntag efficiency over 10yr = 50~60 signals







Sensitivity Estimation

Bayes' theorem:



- Prior: P(model) = 1/N for testing N models.
- Likelihood: prepared based on signal+background expectations for each model.
- Utilize two energy ranges (13.3–17.3 MeV & 17.3–31.3 MeV).
- Use real data for SK-IV, and nominal expectations for SK-Gd and Hyper-K.



Likelihood





(2) GDIMF-noBH



Signal Significance

- Background model used for likelihood is based on extrapolation from Super-K analysis.
- In most cases, our signal models can be detected well over background.



Our reference model is tested against background only at different detectors based on Bayes' theorem.



Model Discrimination

- In NH case, the reference model is well discriminated from others.
- In IH case, only IMF assumption can be tested well.



Our reference model is tested against alternative models with different assumptions on IMF and BH.

The results differ for other choices of SFR and nuclear EOS (all results are discussed in the paper).

Posterior probability



EOS & SFR Dependences





Posterior probability



Muon Spallation







End-point energy [MeV]



Muons for Spallation



FIG. 2. Muon energy spectrum at the location of SK detector in the mine inside Mt. Ikenoyama.





Spallation by FLUKA



FIG. 8 (color online). The expected number of background isotopes as a function of the total muon energy loss. The solid line is our calculation assuming vertical through going muons that travel 32.2 m in the FV, and the dashed line is the (corrected to match assumptions) Super-K measurement.

¹⁰Be ⁹Be sum

¹²C

¹¹C

 ^{11}B

¹⁰C

 $^{10}\mathbf{B}$

S. W. Li and J. F. Beacom, Phys. Rev. C 89, 045801 (2014) S. W. Li and J. F. Beacom, Phys. Rev. D 91, 105005 (2015)

-life (s)	Decay mode	Yield (total) (×10 ⁻⁷ $\mu^{-1}g^{-1}cm^2$)	Yield ($E > 3.5 \text{ MeV}$) (×10 ⁻⁷ $\mu^{-1}\text{g}^{-1}\text{cm}^{2}$)	Primary process
		2030		
.624	β^{-}	0.02	0.01	$^{18}O(n,p)$
.173	$\beta^- n$ ¹⁸ O is V	very little (~0.2%).59	0.02	$^{18}O(n,n+p)$
.13	$\beta^{-}\gamma$ (66%), β^{-} (28%)	18	18	(<i>n</i> , <i>p</i>)
.747	β⁻n small a	amount > 3.5 MeV 0.02	0.003	$(\pi^{-}, n + p)$
.449	$\beta^{-}\gamma$ (63%), β^{-} (37%)	0.82	0.28	(<i>n</i> ,2 <i>p</i>)
.0138	$eta^-\gamma$	0.02	0.02	(<i>n</i> ,3 <i>p</i>)
.0086	eta^+	0.26	0.24	$(\mu^{-}, p + 2n + \mu^{-} + \pi^{-})$
.0174	eta^-	1.9	1.6	$(\pi^{-}, 2p + n)$
.0110	eta^+	1.3	1.1	$(\pi^+, 2p + 2n)$
.0202	eta^-	12	9.8	$(n, \alpha + p)$
.0236	eta^-	0.10	0.08	$(\pi^{-}, \alpha + p + n)$
.8	β^{-} (55%), $\beta^{-}\gamma$ (31%)	0.81	0.54	$(n, \alpha + 2p)$
.0085	β^{-n} very s	hort life-time 0.01	0.01	$(\pi^+, 5p + \pi^+ + \pi^0)$
.127	β^+	0.89	0.69	$(n, \alpha + 4n)$
.178	$\beta^{-}n$ (51%), β^{-} (49%)	1.9	1.5	$(\pi^-, \alpha + 2p + n)$
.77	β^+ lo	w energy 5.8	5.0	$(\pi^+, \alpha + 2p + 2n)$
.838	β ⁻ (end p	oint ~8 MeV) 13	11	$(\pi^-, \alpha + {}^2\mathbf{H} + p + n)$
.119	$\beta^{-}\gamma$ (84%), $\beta^{-}n$ (16%)	0.23	0.16	$(\pi^{-},^{3}\mathrm{H} + 4p + n)$
		351		(γ,n)
↓ Not direct backgrounds in S		Is in SK \cdot 773		(γ, p)
		13		(n, 3n)
•	stable	295		$(\gamma, n+p)$
 long half-life 		64		(n, n+2p)
		19		$(\gamma,^{3}\mathrm{H})$
•	invisible decay	225		$(n,^2\mathbf{H} + p + n)$
•	low energy	792		(γ, α)
	low onorgy	105		$(n, \alpha + 2n)$
		174		$(n, \alpha + p + n)$
		7.6		$(n, \alpha + 3n)$
		77		$(n, \alpha + p + 2n)$
		24		$(n, \alpha + 2p + n)$
		38		$(n,2\alpha)$
		3015	50	



SS

Atmospheric Neutrinos



multiple-γ produced in the final state







Background: Experimental Classification

Classification by physical source

Muon spallation

- Decay without neutron
- Decay with neutron (⁹Li, etc)

Atmospheric neutrinos

- Neutral-current quasielastic interactions (NCQE)
- v_e/\overline{v}_e charged-current (CC) interactions
- Muon/pion-producing interactions (CCQE, CC1π, NC1π, etc)

Solar neutrinos (electron scattering)

Reactor neutrinos (IBD)



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