

ニュートリノ反応率に依存する
ニュートリノ集団振動の振る舞い
(Behaviors of Collective Neutrino Oscillations
Induced by Neutrino Reactions)

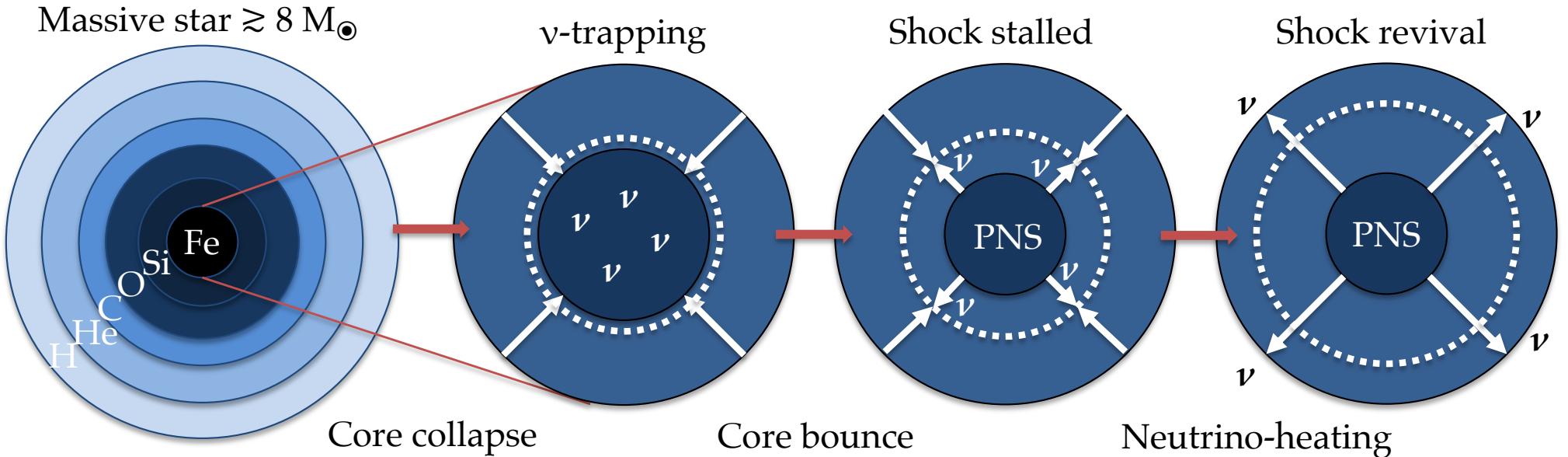
Masamichi Zaizen

Komaba, Univ. of Tokyo

arXiv:2502.09260

第11回超新星ニュートリノ研究会
Komaba, Univ. of Tokyo @ Mar. 04, 2025

Roles of Neutrinos in CCSNe



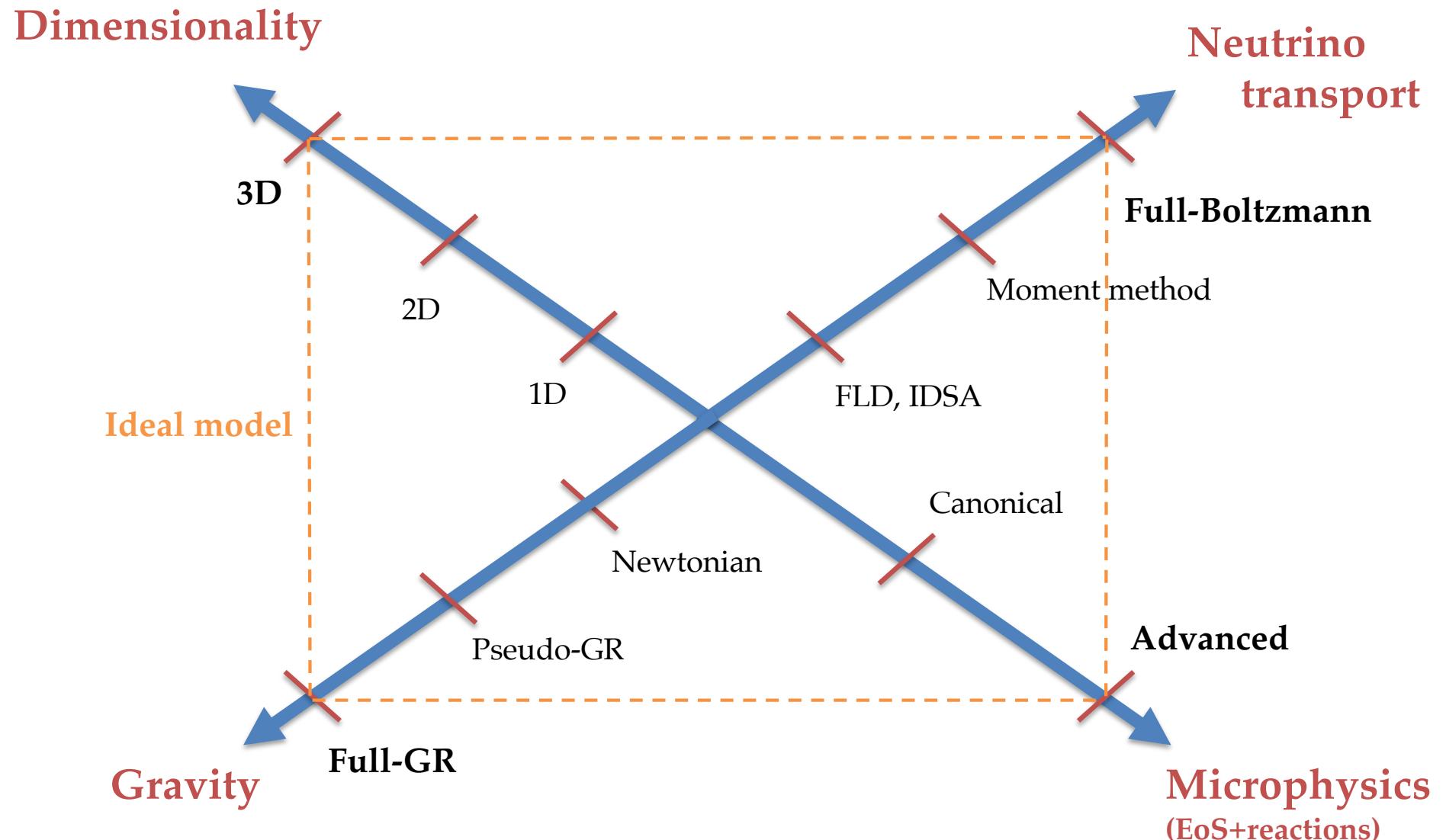
Neutrino-heating process:

- Shock wave stalls due to accreting matter and fails to explode.
- **Neutrinos transfer their energy from the hotter center to the colder stalled shock.**
- Neutrinos work as **mediators** because of their weakly-coupling with matter.

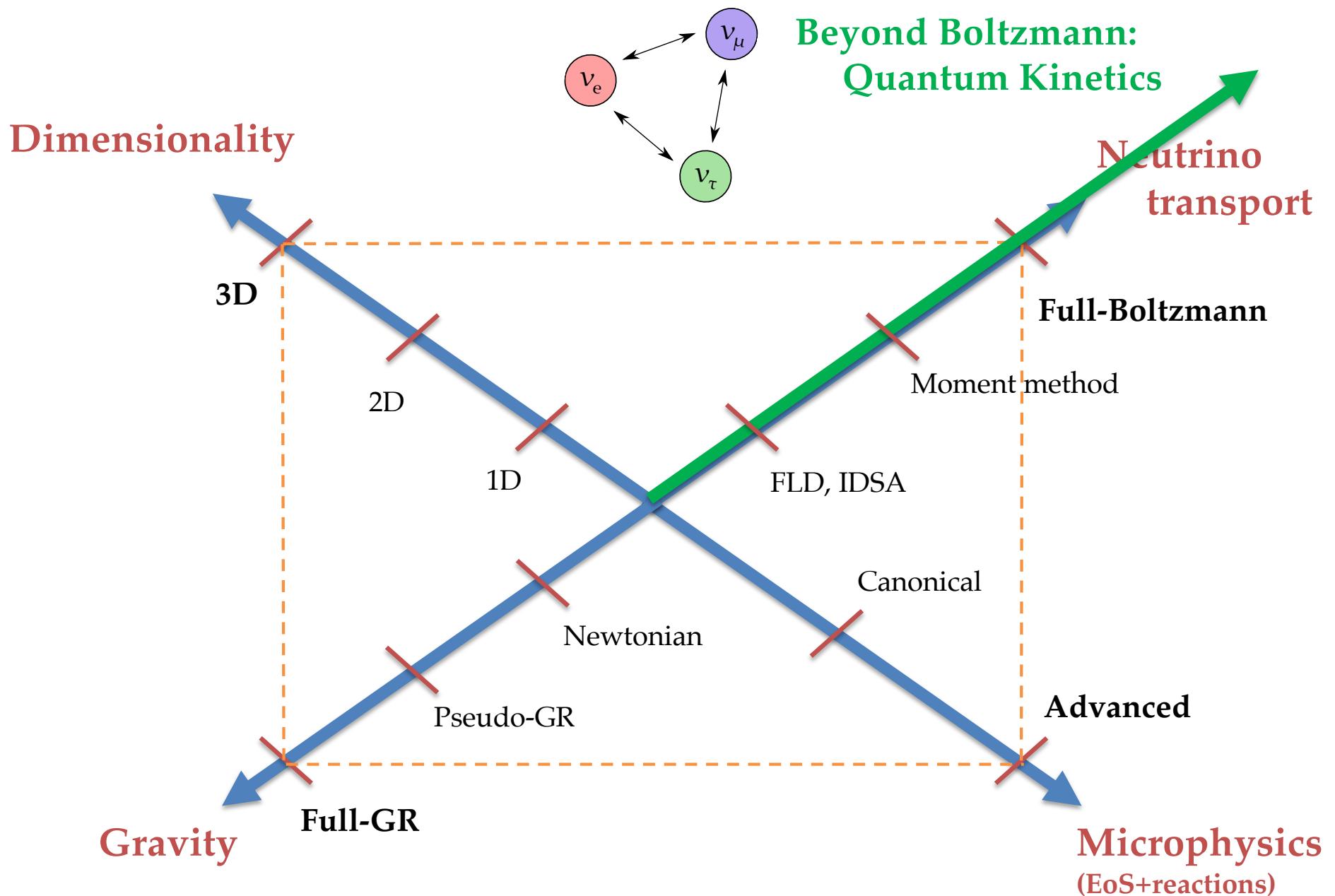
Theoretical studies / modelings on v -transport are essential.

→ *One of the uncertainties is neutrino oscillation.*

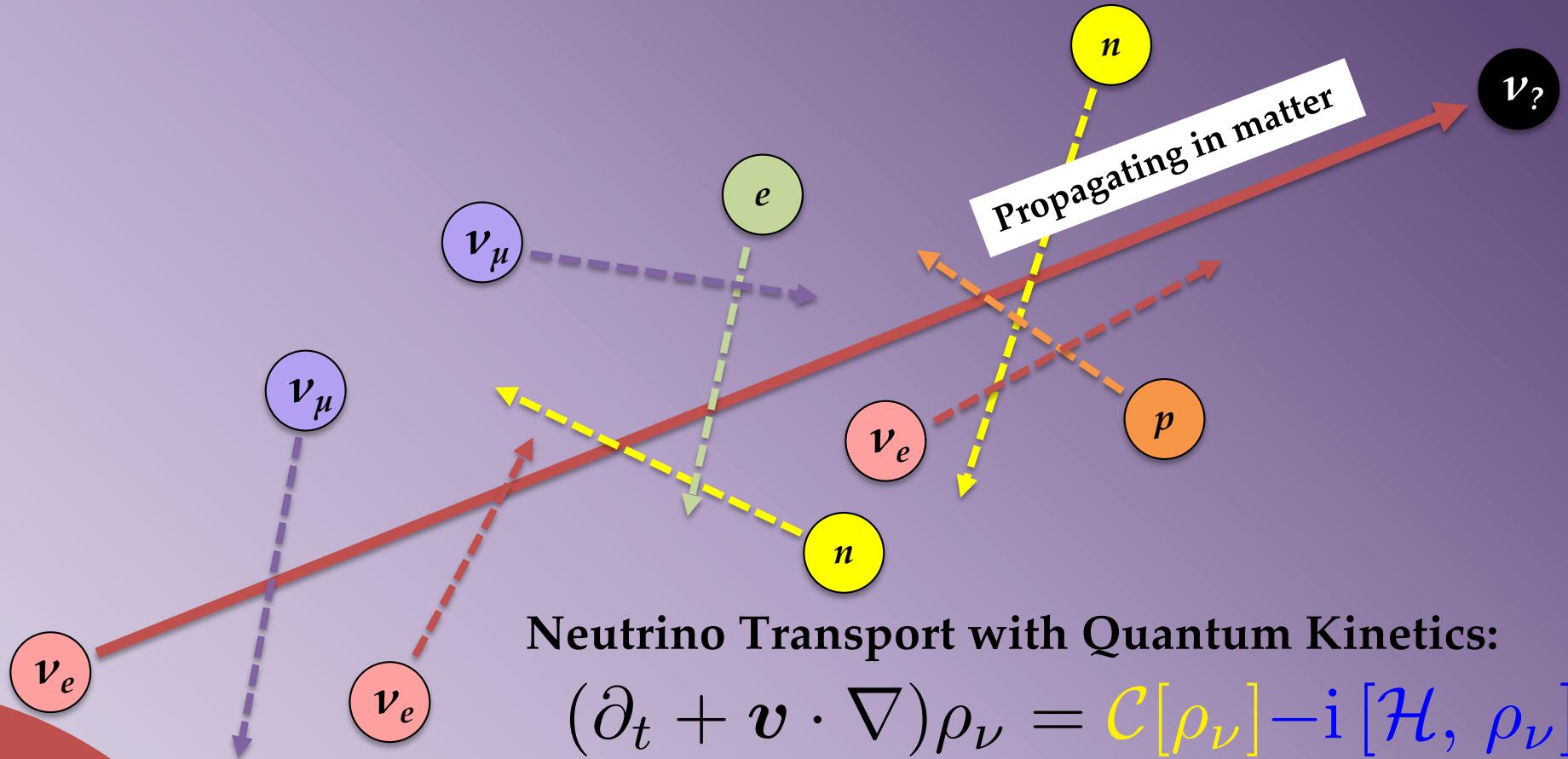
Progress in CCSN Simulation



Advance in Neutrino Transport



Sea of Leptons & Nucleons



Neutrino Transport with Quantum Kinetics:

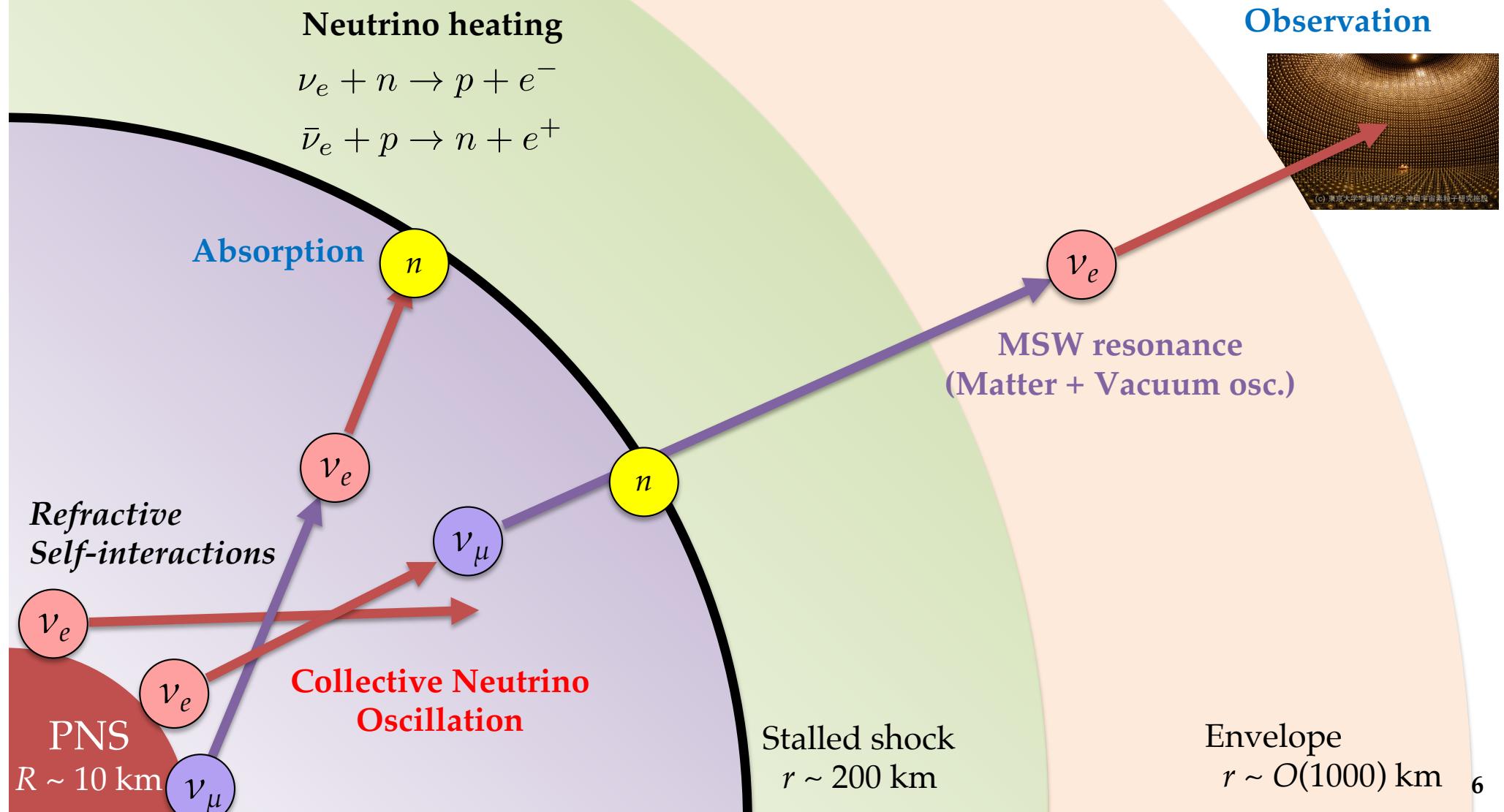
$$(\partial_t + \mathbf{v} \cdot \nabla) \rho_\nu = \mathcal{C}[\rho_\nu] - i [\mathcal{H}, \rho_\nu]$$

- Collisions $\propto (G_F^2 n_l)^{-1}$
- Refractions $\propto (G_F n_l)^{-1}$
 - Flavor conversion (e.g., MSW effects)
 - Shorter (faster) physical scale ~ 1 cm!!

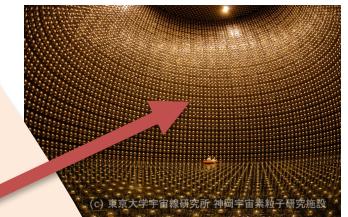
PNS
 $R \sim 10$ km

Quantum Kinetic Neutrino Transport

(Boltzmann) Neutrino Transport
+ Quantum Kinetics



Observation



(c) 東京大学宇宙線研究所 特別宇宙素粒子研究施設

MSW resonance
(Matter + Vacuum osc.)

Refractive
Self-interactions

Collective Neutrino
Oscillation

PNS

$R \sim 10$ km

Stalled shock
 $r \sim 200$ km

Envelope
 $r \sim O(1000)$ km

Flavor Conversion in ν -Transport

Classical Boltzmann Equation

$$\left(p^\mu \frac{\partial}{\partial x^\mu} + \frac{dp^j}{d\tau} \frac{\partial}{\partial p^j} \right) f_\nu = \mathcal{C}[f_\nu]$$



Quantum Kinetic Equation

$$\left(p^\mu \frac{\partial}{\partial x^\mu} + \frac{dp^j}{d\tau} \frac{\partial}{\partial p^j} \right) \rho_\nu = \underline{-i[H_{\text{osc}}, \rho_\nu]} + \mathcal{C}[\rho_\nu]$$

Refractive effect
= Oscillation term

Neutrino density matrix (for 2-flavor) :

$$\begin{aligned} \rho_\nu &= |\psi_\nu\rangle \langle \psi_\nu| = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix} & \bullet \quad \rho^{\alpha\alpha} : \text{flavor content of } \alpha \\ && = \text{same as } f_\alpha \\ &= \begin{pmatrix} \langle \nu_e | \nu_e \rangle & \langle \nu_e | \nu_\mu \rangle \\ \langle \nu_\mu | \nu_e \rangle & \langle \nu_\mu | \nu_\mu \rangle \end{pmatrix} & \bullet \quad \rho^{\alpha\beta} : \text{flavor correlation} \\ && & \quad \text{between } \alpha \text{ and } \beta \end{aligned}$$

Flavor Conversion in ν -Transport

Neutrino density matrix (for 2-flavor):

$$\rho_\nu = |\psi_\nu\rangle \langle \psi_\nu| = \begin{pmatrix} \rho_{ee} & \rho_{e\mu} \\ \rho_{\mu e} & \rho_{\mu\mu} \end{pmatrix}$$

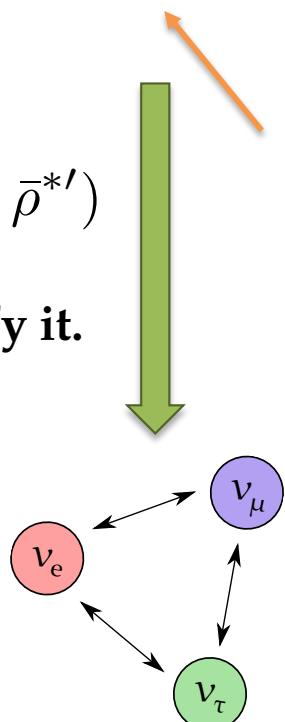
- $\rho^{\alpha\alpha}$: flavor content of α
= same as f_α
- $\rho^{\alpha\beta}$: flavor correlation
between α and β

$$= \begin{pmatrix} \langle \nu_e | \nu_e \rangle & \langle \nu_e | \nu_\mu \rangle \\ \langle \nu_\mu | \nu_e \rangle & \langle \nu_\mu | \nu_\mu \rangle \end{pmatrix}$$

$$\mathcal{H}_{\nu\nu} = \sqrt{2}G_F \int d\Gamma' v^\mu v'_\mu (\rho' - \bar{\rho}'^{*\prime})$$

Self-interactions amplify it.

Linear stability analysis
→ Flavor instability



Via mixing angles,
Flavor correlation becomes perturbative.
(Less dependent on mass ordering)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Significant flavor conversion

Instability by Self-Interactions

Quantum Kinetic Equation:

$$(\partial_t + \mathbf{v} \cdot \nabla) \rho = -i \left[\underline{\mathcal{H}_{\text{vac}} + \mathcal{H}_{\text{mat}}} + \underline{\mathcal{H}_{\nu\nu}, \rho} \right] + \underline{\mathcal{C}_{\text{col}}} \quad \begin{matrix} \textit{Self-interactions} \\ \boxed{\mathcal{H}_{\nu\nu}, \rho} \\ \textit{Collisions} \end{matrix}$$

e.g., Duan+ '06

Slow flavor instability (SFI)

By energy crossing

$$\tau_{\text{slow}} \sim \mathcal{O}(\sqrt{\mu \omega_v})^{-1}$$

e.g., Sawyer '16

Fast flavor instability (FFI)

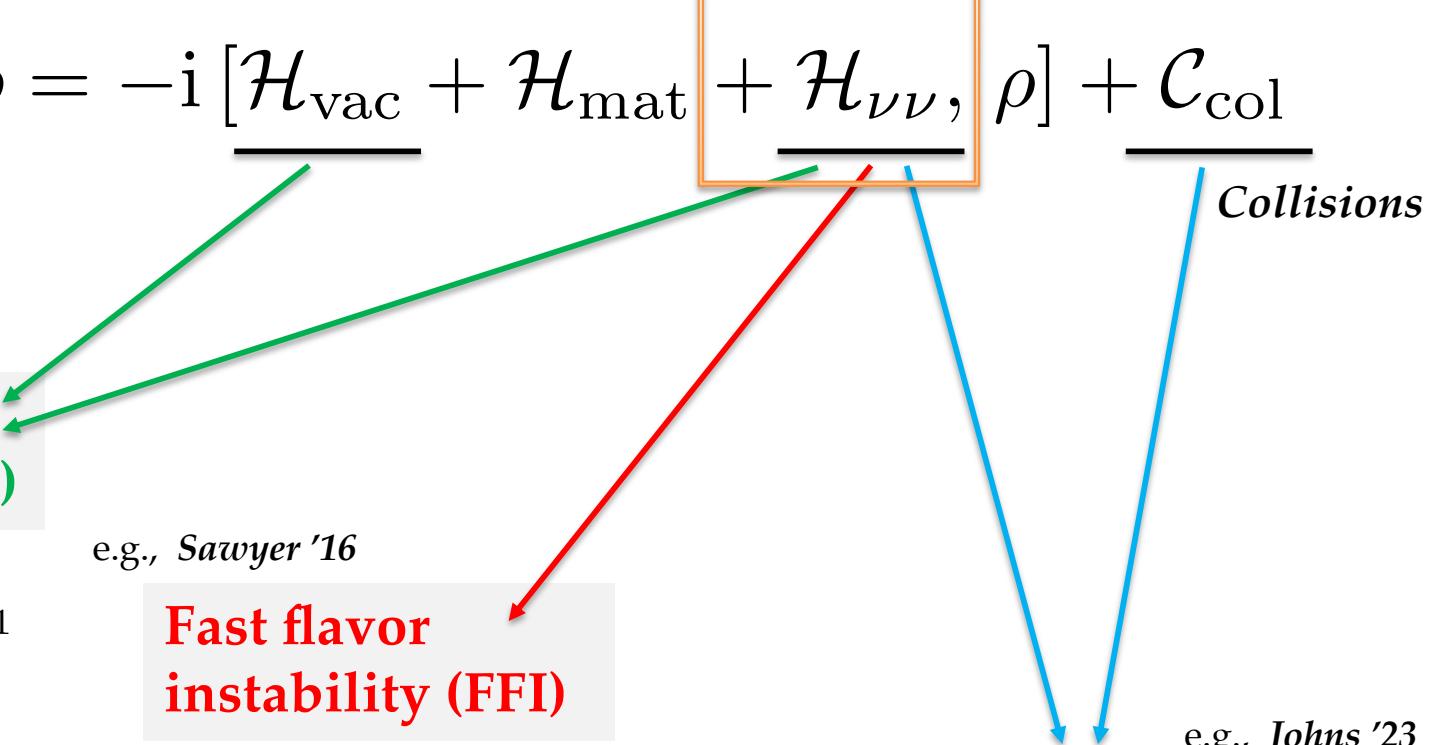
By anisotropy of angular dist.

$$\tau_{\text{fast}} \sim \mathcal{O}(\mu^{-1})$$

$$\sim \mathcal{O}(G_F n_\nu)^{-1} \sim 1 \text{ cm}$$

Challenging!!

Self-interactions



e.g., Johns '23

Collisional flavor instability (CFI)

By disparity in collision rates

$$\tau_{\text{col}} \sim \mathcal{O}(\sqrt{\mu \Gamma})^{-1} - \mathcal{O}(\Gamma)^{-1}$$

Relatively longer scale

Collisions in Quantum Regime

e.g., Emission & Absorption processes are

$$\mathcal{C}[\rho] = \begin{pmatrix} R_{\text{emi}}(1 - \rho_{ee}) - R_{\text{abs}}\rho_{ee} & -\frac{1}{2}(R_{\text{emi}} + R_{\text{abs}})\rho_{ex} \\ -\frac{1}{2}(R_{\text{emi}} + R_{\text{abs}})\rho_{xe} & 0 \end{pmatrix}$$
$$\equiv \mathcal{C}_{\text{cls}} + \mathcal{C}_{\text{qke}}$$

Quantum contributions (off-diagonal parts)
• Flavor-decohering collisions $\sim -R_E\rho_T$

Classical collisions (diagonal parts)
• Changing the numbers/momenta of (anti-)neutrinos
• Contribution (C_{xx}) to heavy-leptonic flavors is neglected.

→ Reactions settle down the neutrinos to “flavor-diagonal” distributions.
~ Oscillations are fixed on the mixed-flavor population.

→ *Flavor Instability?*

Collisional Flavor Instability

$$\partial_t \rho = -i [\mathcal{H}_{\nu\nu}, \rho] - R_E \rho_T$$

Self-interactions: $\mathcal{H}_{\nu\nu} = \sqrt{2} G_F \int d\Gamma' v^\mu v'_\mu (\rho' - \bar{\rho}'^*)$

Couplings

$$\partial_t \bar{\rho} = -i [\bar{\mathcal{H}}_{\nu\nu}, \bar{\rho}] - \bar{R}_E \bar{\rho}_T$$

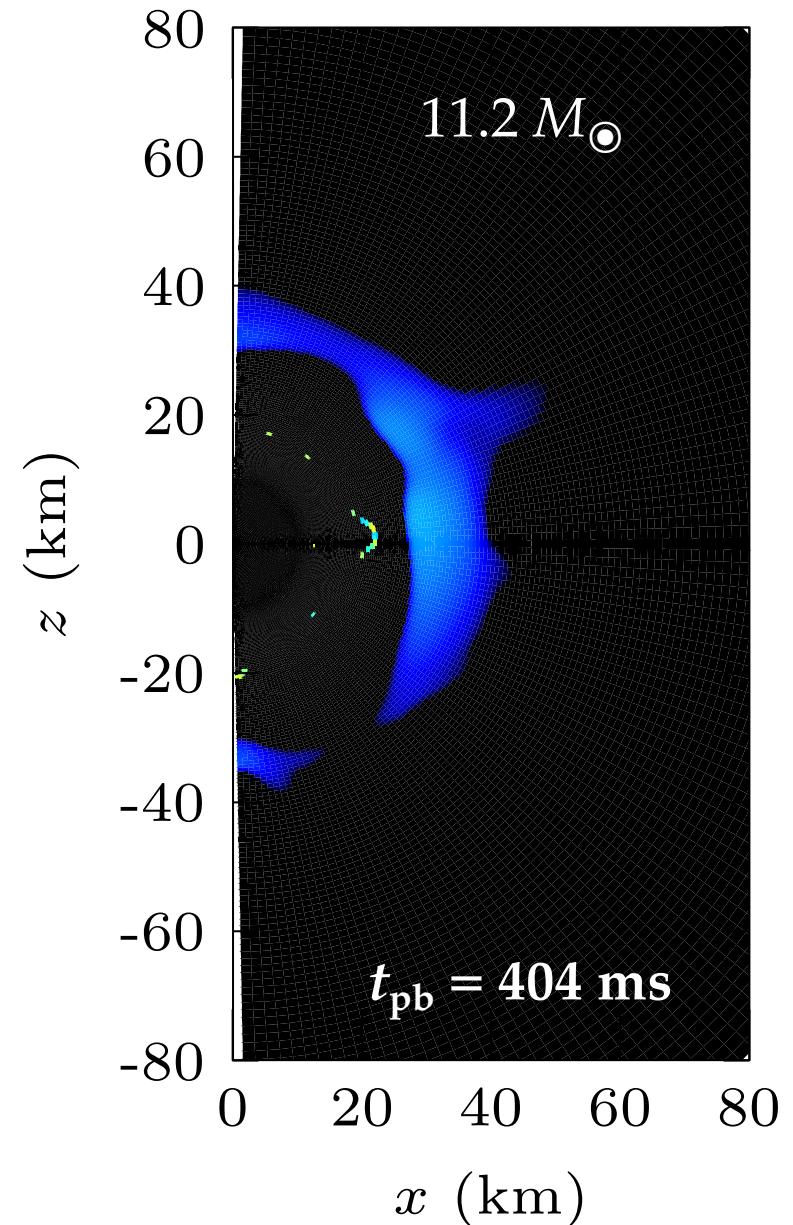
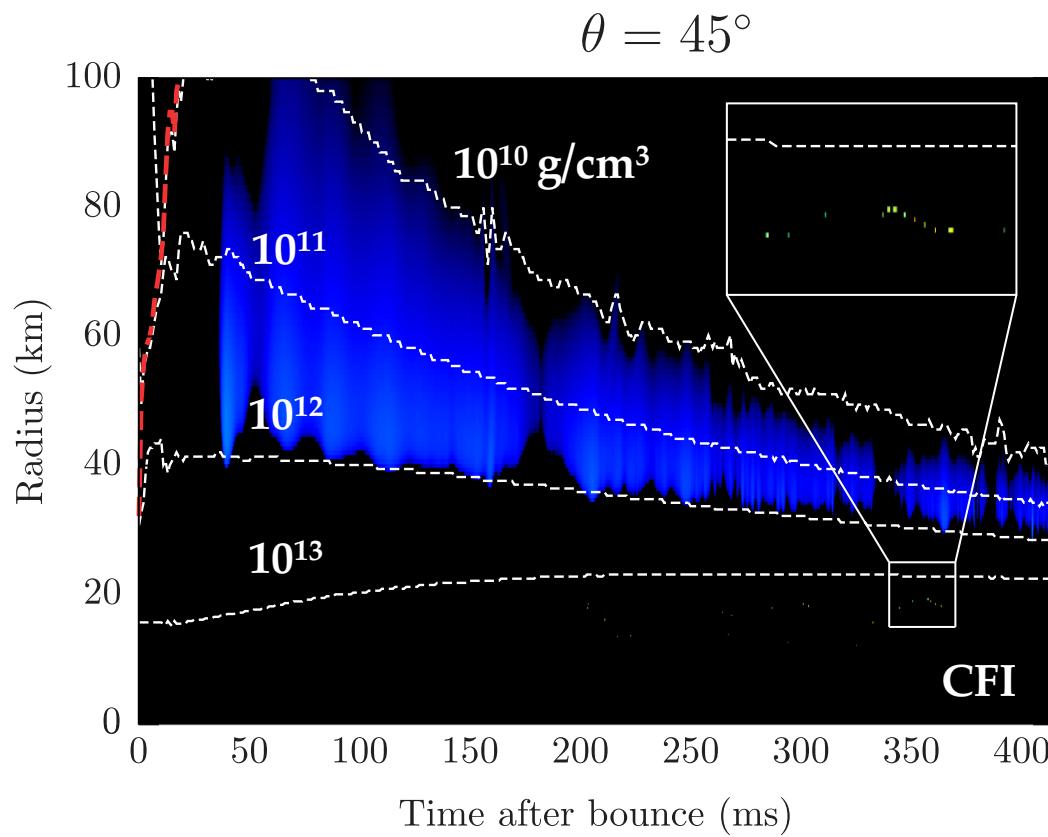
- When the entire system has *the disparity in collision rates* between neutrinos and antineutrinos, flavor instability can appear. *Johns PRL '23*

$$\mathcal{H}_{\nu\nu} \rightarrow \int d\Gamma (-R_E \rho_{ex} + \bar{R}_E \bar{\rho}_{ex}) \propto \underline{-\langle R_E \rangle + \langle \bar{R}_E \rangle}$$

→ Flavor correlation can grow!!
(But relatively slower)

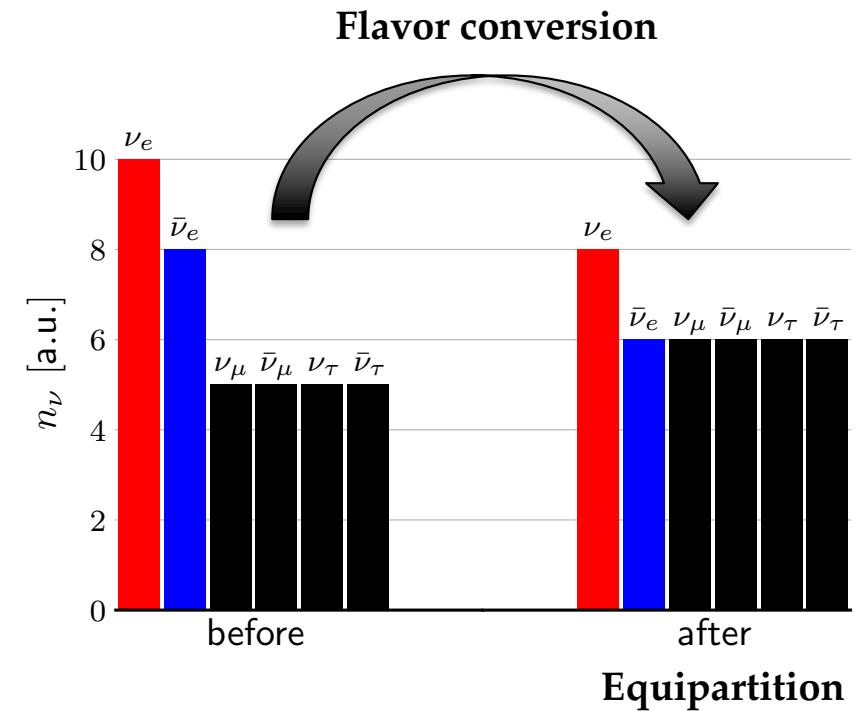
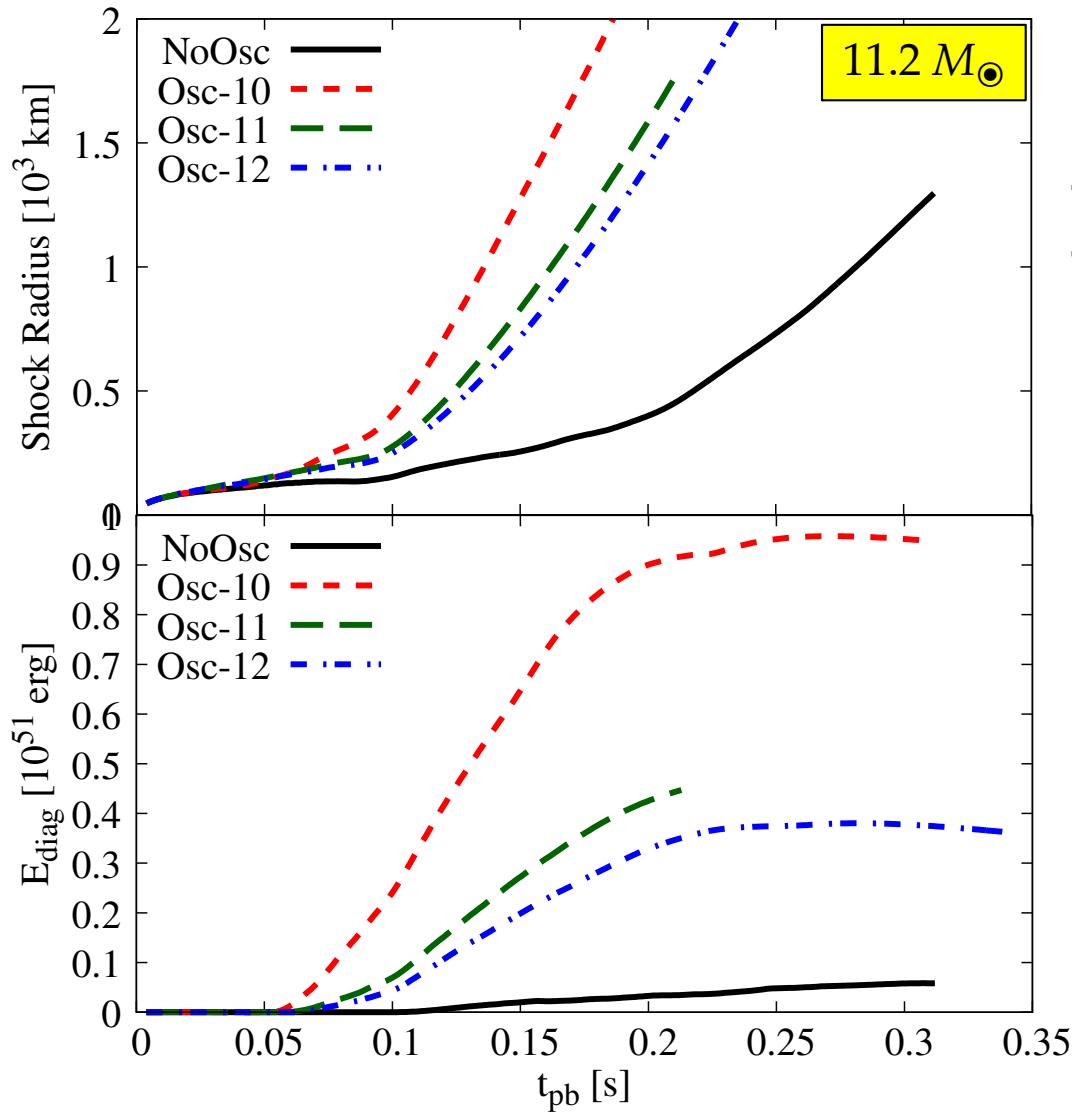
Search of Flavor Instability

In the 2D-model, flavor instability (CFI) appears at broad radii.



Phenomenological Approach

K. Mori+ PASJ '25. 3D-CCSN simulations



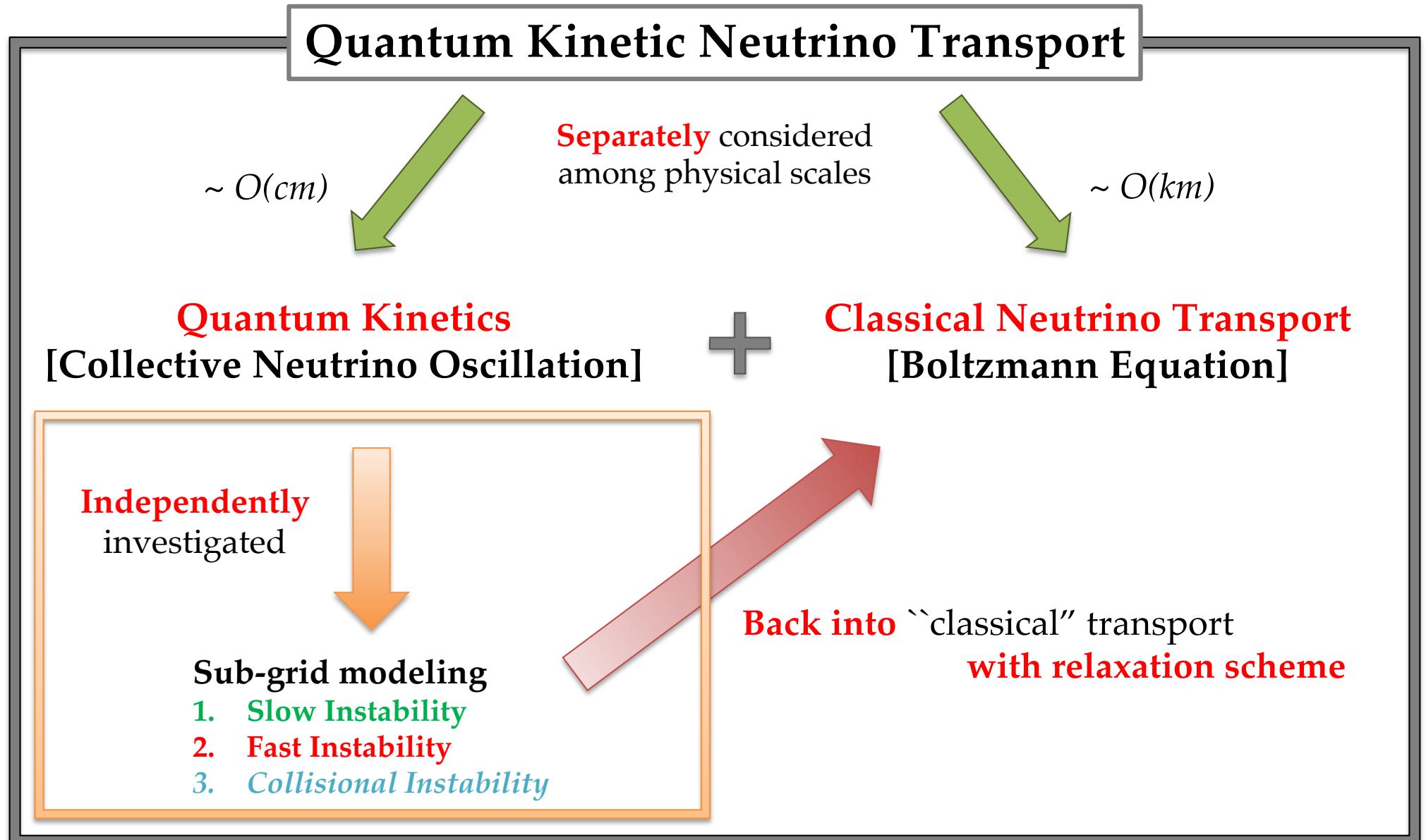
Assumptions:

1. Below a critical density, $\rho < \rho_{crit}$.
2. Equipartition with conservations.

This may overestimate but can change the shock dynamics.

**Need more accurate FC theory.
→ Direct computation!!**

Strategy for Quantum Transport



Setting QKE

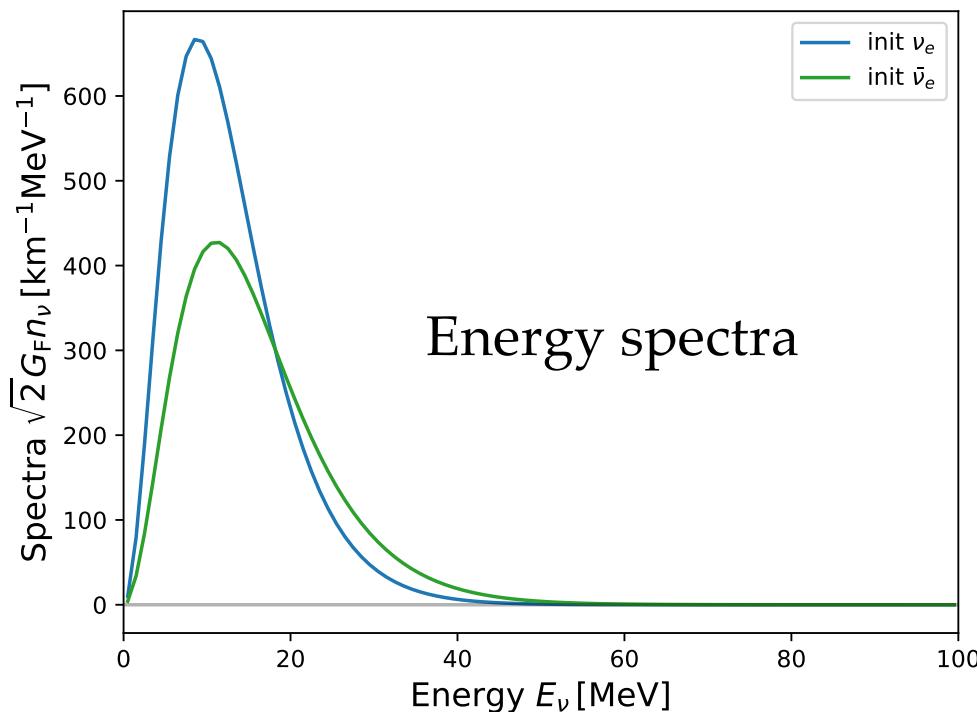
$$\partial_t \rho = -i [\mathcal{H}_{\nu\nu}, \rho] - R_E \rho_T$$

Flavor-decohering collisions:

$$R_\nu^{(-)}(E_\nu) = R_0^{(-)} \left(\frac{E_\nu}{10 \text{ MeV}} \right)^2$$

$$R_0 = 1 \text{ km}^{-1}$$

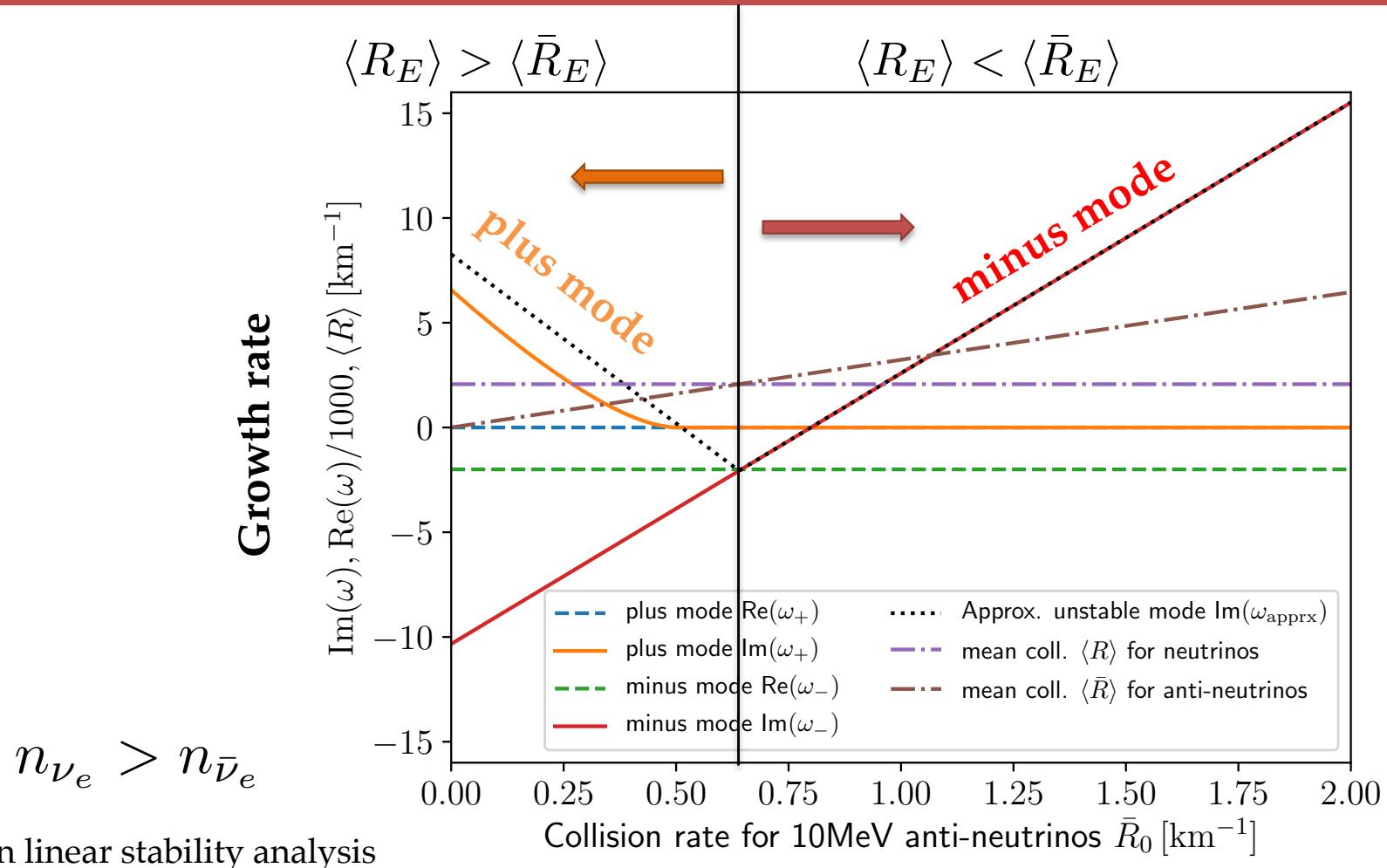
\bar{R}_0 for anti-neutrinos from 0 to 2 km^{-1}



At $\bar{R}_0 \sim 0.64 \text{ km}^{-1}$, $\langle R \rangle \sim \langle \bar{R} \rangle$

= No disparity

Collisionally Unstable Modes



$$\rho_{ex} \propto \tilde{Q}_E \exp[-i\omega t]$$



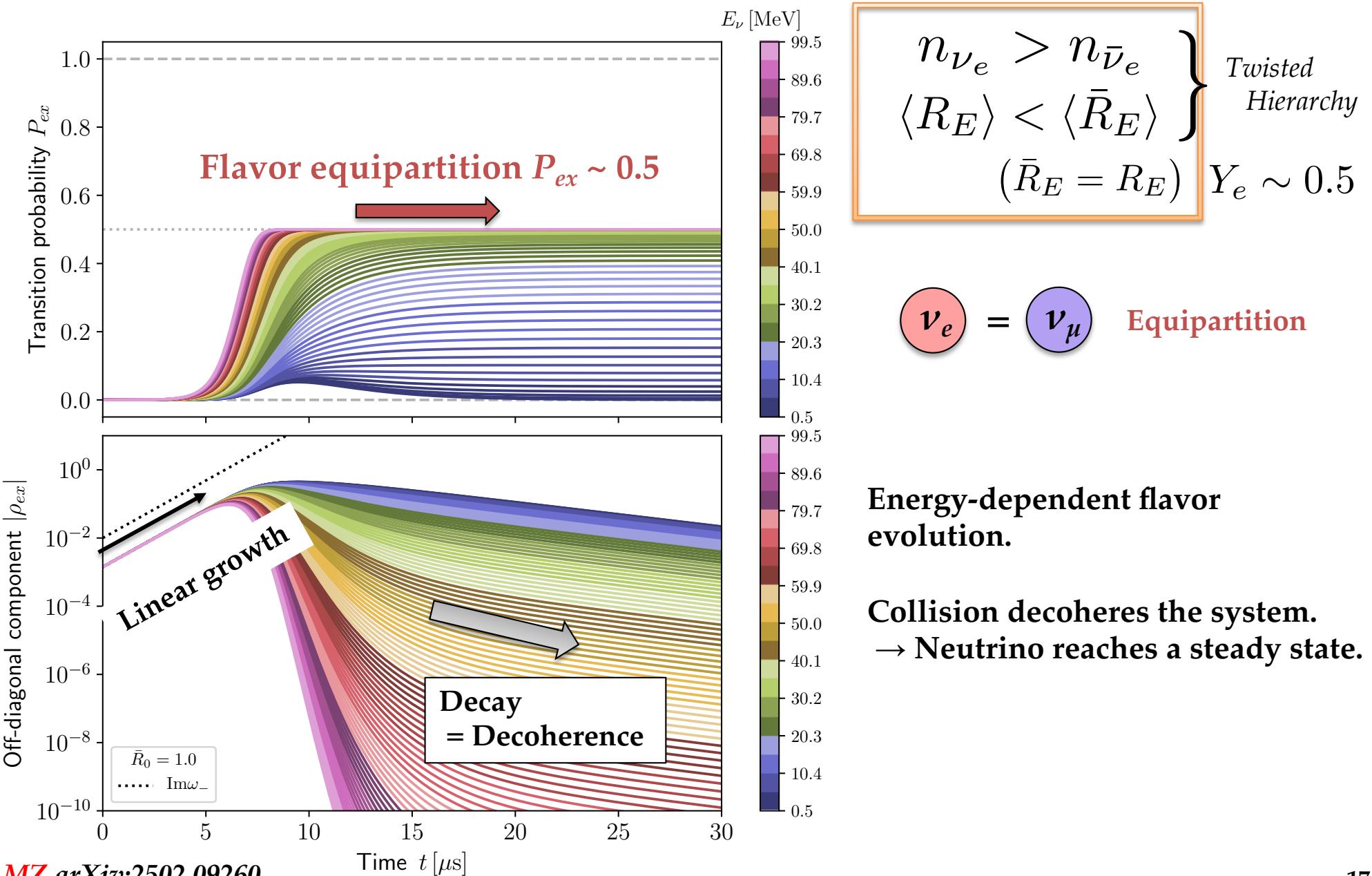
$$\omega \pm -A - i\gamma \pm \left(|A| + i\frac{G\alpha}{A} \right)$$

plus mode
minus mode

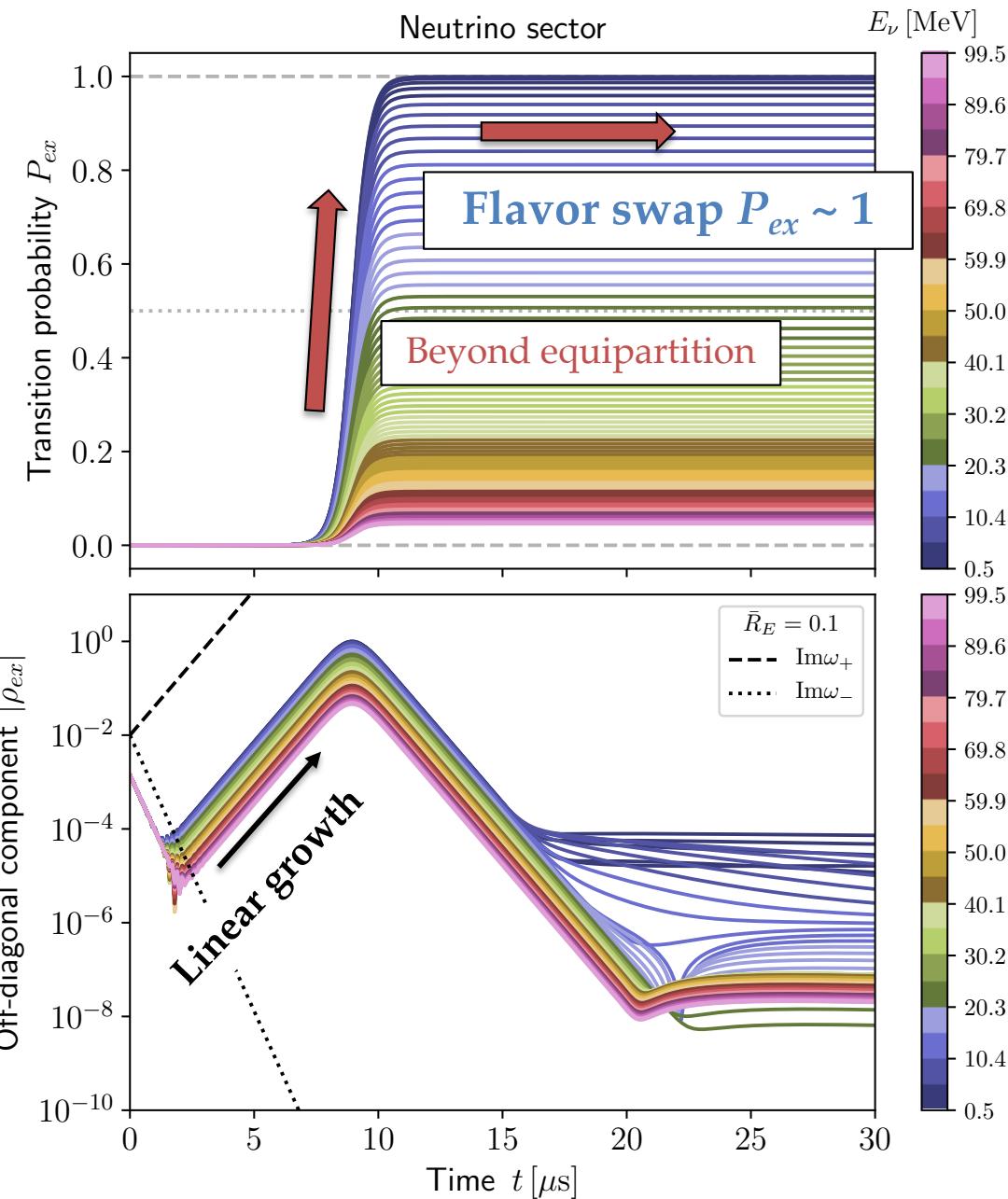
$$\gamma = \frac{R + \bar{R}}{2}, \quad \alpha = \frac{R - \bar{R}}{2},$$

$$G = \frac{g + \bar{g}}{2}, \quad A = \frac{g - \bar{g}}{2},$$

Evolution of Minus Mode



Evolution of Plus Mode



$$\left. \begin{aligned} n_{\nu_e} &> n_{\bar{\nu}_e} \\ \langle R_E \rangle &> \langle \bar{R}_E \rangle \end{aligned} \right\} \begin{array}{l} \text{Same} \\ \text{Hierarchy} \end{array} \quad (\bar{R}_E = 0.1 R_E) \quad Y_e \sim 0.1$$

$\nu_e \leftrightarrow \nu_\mu$ Swap

*Rich spectral diversity!
Not just equipartition*

Eigenvector of Unstable Modes

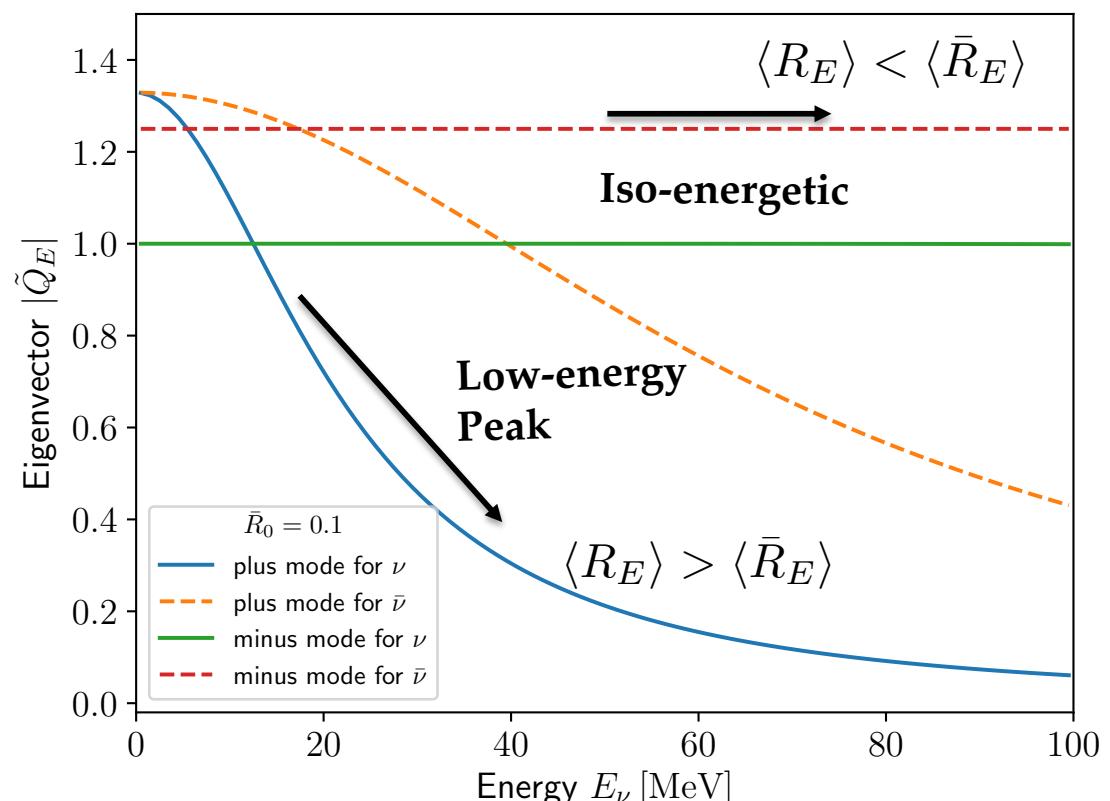
Plane-wave ansatz in linear stability analysis:

$$\rho_{ex} \propto \tilde{Q}_E \exp[-i\omega t]$$

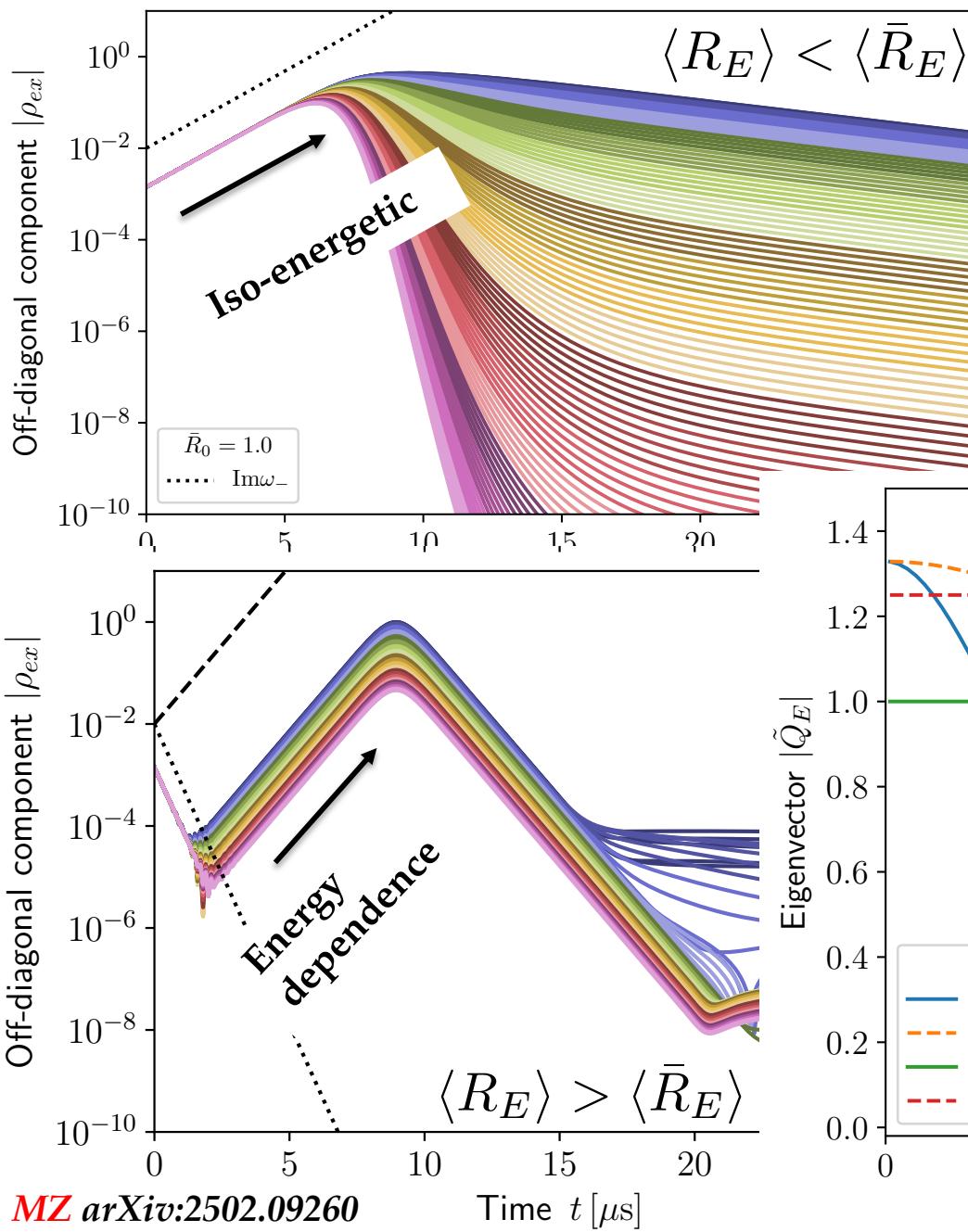
Grows
or Damps
or Oscillates

Amplitude
= Spectral structure

$$|\tilde{Q}_E| = (\rho_{ee} - \rho_{xx}) \frac{1}{\sqrt{\omega_r^2 + (\omega_i + R_E)^2}}$$

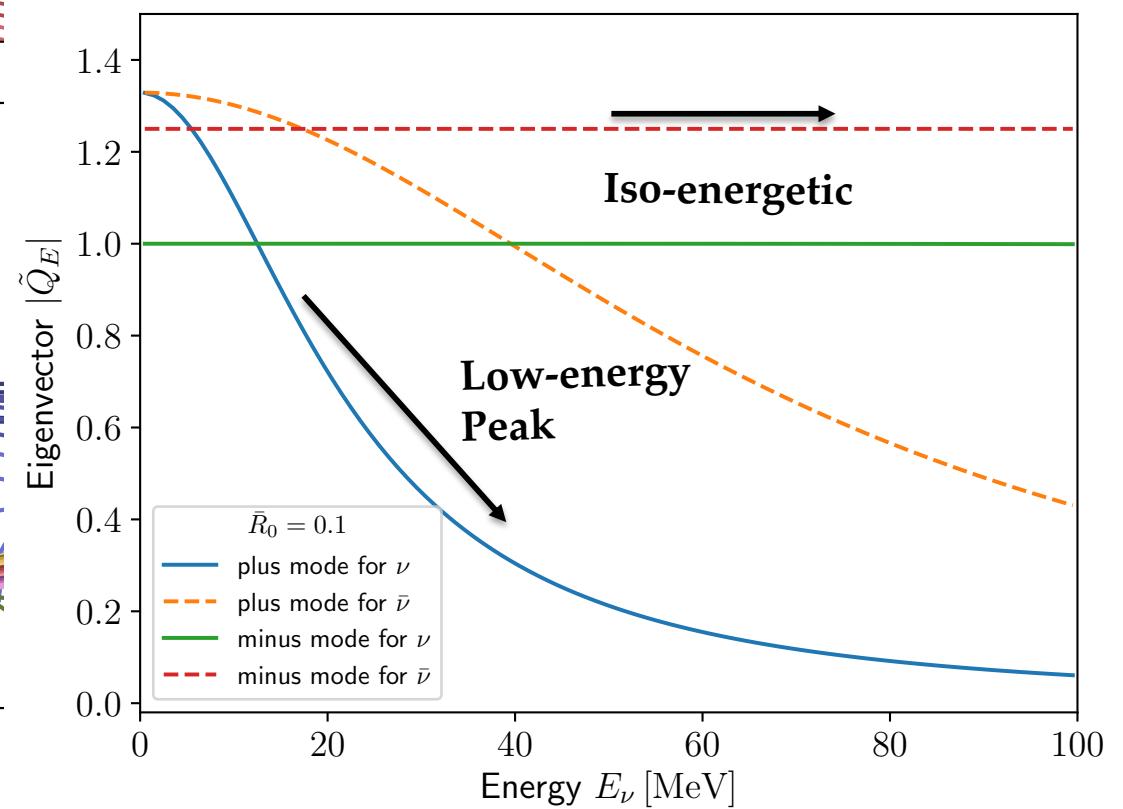


Eigenvector of Unstable Modes



$$|\tilde{Q}_E| = (\rho_{ee} - \rho_{xx}) \frac{1}{\sqrt{\omega_r^2 + (\omega_i + R_E)^2}}$$

$$\rho_{ex} \propto |\tilde{Q}_E| \exp[-i\omega t]$$



Back to Classical Transport

$$(\partial_t + \mathbf{v} \cdot \nabla) \rho = \underbrace{-i [\mathcal{H}_{\text{osc}}, \rho]}_{\text{Relaxation-Time Approximation}} + \underbrace{\mathcal{C}_{\text{col}}}_{c.f., \text{Nagakura, MZ+ PRD '24}} \equiv \mathcal{C}_{\text{cls}} + \underbrace{\mathcal{C}_{\text{qke}}}_{\text{Supply the number density.}}$$

$$(\partial_t + \mathbf{v} \cdot \nabla) \rho = \sum_{\text{inst.}} \frac{1}{\tau} (\rho - \rho^a) + \mathcal{C}_{\text{cls}}$$

For all flavor instabilities Growth rate Modeling of Asymptotic states

We'll obtain more accurate \mathbf{v} -transport implementing Quantum Kinetics w/o direct computation.

Summary

1. Collision-induced flavor conversion can occur at deeper radii.
2. Dominant unstable mode depends on the magnitude relation in number density & collision rate.
3. Asymptotic behavior (state) with energy dependence is determined by the corresponding unstable mode.
 1. Flavor equipartition or swap
4. But there are still some assumptions. More accurate demonstration is required with realistic models.